

# Group Testing To Eliminate Efficiently All Defectives in a Binomial Sample

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*In group-testing, a set of  $x$  units is taken from a total starting set of  $N$  units, and the  $x$  units ( $1 \leq x \leq N$ ) are tested simultaneously as a group with one of two possible outcomes: either all  $x$  units are good or at least one defective unit is present (we don't know how many or which ones). Under this type of testing, the problem is to find the best integer  $x$  for the first test and to find a rule for choosing the best subsequent test-groups (which may depend on results already observed), in order to minimize the expected total number of group-tests required to classify each of the  $N$  units as good or defective. It is assumed that the  $N$  units can be treated like independent binomial chance variables with a common, known probability  $p$  of any one being defective; the case of unknown  $p$  and several generalizations of the problem are also considered.*

## I. SUMMARY

A finite number,  $N$ , of units are to be tested in groups. A "group-test" is a simultaneous test on  $x$  units ( $x$  to be chosen so that  $1 \leq x \leq N$ ) with only two possible outcomes: "success," indicating that all  $x$  units are good; and "failure," indicating that at least one of the  $x$  units is defective (we don't know how many or which ones). The problem is to define a simple and efficient procedure (or an optimal procedure) for separating all the defective units from the good units — efficiency being defined in the sense of minimizing the expected number of group-tests required. Each unit is assumed to represent an independent observation from a binomial population with a common known *a priori* probability,  $q$ , of being good and  $p = 1 - q$  of being defective. (The case of  $q$  unknown is briefly treated in Section X.)

A procedure (or decision rule),  $R_1$ , which describes a mode of action for any given value of  $q$ , is proposed and compared with several other procedures applicable to the same problem. The procedure  $R_1$  is simple

in the sense that at any time,  $t$ , the experimenter must separate the units not yet proven to be good or defective into only two sets; units within either of these two sets need not be distinguishable. If it is given that the identification of units within the group being tested is economically impractical or impossible, then the procedure  $R_1$  is conjectured to be optimal for all values of  $q$ .

Explicit instructions for carrying out  $R_1$  are given for  $N = 1(1)16$  for all  $q$  and for  $N = 17(1)100$  for the particular values  $q = 0.90, 0.95$  and  $0.99$ . Exact formulae for the expected number of group-tests required under  $R_1$  are given in Table IV B for all  $q$  and for  $N = 1(1)12$ ; numerical results for  $q = 0.90, 0.95$  and  $0.99$  are given in Tables V A, V B and V C for  $N = 1(1)100$ . Other numerical comparisons are made in Tables II A and II B. [Tables II through VIII appear at the end of this paper.]

Another procedure,  $R_2$ , which is simpler to compute and compares favorably with  $R_1$ , is defined in Appendix A in terms of information theory concepts.

Several different directions for generalization of the problem and corresponding generalizations of the procedure  $R_1$  are considered in Section XI. Industrial applications are mentioned, in addition to the known application to blood testing.

## II. INTRODUCTION

A problem which has hitherto been considered only in connection with blood-testing applications<sup>1,2,3</sup> can be shown to have industrial applications, and these have focused interest on a more general treatment of the problem. During World War II, a great saving was accomplished in the field of blood testing by pooling a fixed number of blood samples and testing the pooled sample for some particular disease. If the disease was not present, then several people were passed by a single test; if the disease was present, then there was enough blood remaining in each blood sample to test each one separately. The amount of time, money and effort saved by such a procedure depends on how rare the disease is in the population of people being tested. In this application, the total number of people to be tested was regarded as unknown and very large.

The goal of the problem treated here is the same — namely, to separate the defective units from the good units with a minimal (or approximately minimal) number of group-tests. This problem differs from the blood-testing problem in the following respects:

- i. The population size  $N$  (number of people to be tested) is known at the outset.

ii. The number of units in each group-test (pooled blood sample) is not necessarily constant.

iii. If a group-test fails (the disease is present) we do not necessarily test each item separately.

In practice, the simplicity of the procedure deserves some consideration. The proposed procedure  $R_1$  defined in Section III, after having been computed and described explicitly in advance of any experimentation, is in some sense no more complicated than the blood-testing procedure described above; this is explained in Section V.

Some typical industrial applications are:

1. It is desired to remove all "leakers" from a set of  $N$  devices. One chemical apparatus is available and the devices are tested by putting  $x$  of them (where  $1 \leq x \leq N$ ) in a bell jar and testing whether any of the gas used in constructing the devices has leaked out into the bell jar. It is assumed that the presence of gas in the bell jar indicates only that there is at least one leaker and that the amount of gas gives no indication of the number of leakers. The *a priori* probability,  $q$ , of a unit being good is given by the records of similar units tested in the past.

2. Paper capacitors are tested at most  $n$  at a time, and each test indicates by the presence or absence of a current whether or not there is at least one defective present. For given  $n$  and  $q$  and given cost of unit manufacture, should the operator throw away a whole set of  $n$  units if it contains at least one defective? If not, how should he proceed to sort out the defective units to minimize the expected number of tests required? If the cost of a group-test and the cost of producing a unit are known, a related problem is to find a procedure which minimizes the total cost (including testing costs) of producing a good unit.

3. *Christmas tree lighting problem.* A batch of  $n$  light bulbs is electrically arranged in series and tested by applying a voltage across the whole batch or any subset thereof. If this is to be done on a routine basis, what procedure should be used to minimize the expected number of tests required to remove all the defective light bulbs, assuming the value of  $q$  is given?

4. A test indicates whether or not there is at least one *good* unit present in a batch of  $n$ , without indicating which ones or how many are good. Given  $q$ , what procedure should be used to remove the good units? This dual problem, which is useful in salvaging good components on a routine basis, is mathematically equivalent to those above, if the definitions of good and defective are interchanged.

A procedure  $R_1$  is defined to solve the above problems, and is compared with several other procedures for the same problem. A procedure  $R_2$ ,

based on maximizing the information in each group-test, is defined in Appendix A. Another procedure,  $R_3$ , which does not allow any recombination, is defined in Appendix B. Two "halving procedures," which can be carried out without knowing the true value of  $q$ , are defined in Appendix C. Procedures  $R_7$  and  $R_6$  are the best procedures that can be obtained by the methods of Dorfman<sup>1</sup> and Sterrett,<sup>3</sup> respectively, for small population sizes. For  $N = 4, 8$  and  $12$  and various  $q$  values, Table II A gives a numerical comparison of the expected number of group-tests required for all these procedures.

Different directions of generalization, some of which are discussed in Section XI, are the following:

1. Two (or more) different kinds of units with (say) known probabilities  $q_1, q_2$  of a unit being good are present, and the two different kinds can be put into the same test group.

2. Two (or more) experimenters may be working on a single set of  $N$  units by carrying out simultaneous, parallel group-tests and cooperating in such a way as to minimize the time required to accomplish the task.

3. The restriction is sometimes applied (particularly in blood-testing) that any one unit can be included in at most  $k$  group-tests; here the goal is to minimize the expected number of group-tests subject to this restriction. For  $k = 2$  the proposed procedure is necessarily based on the method of Dorfman;<sup>1</sup> i.e., if a group-test fails, then the units therein are all tested individually.

4. Various generalizations appear if it is assumed that each test on  $x$  units gives three (or more) different possible results. For example, a test could indicate that either (a) all are good or (b) all are defective or (c) there are at least one good unit and at least one defective present.

5. A unit can be defective in either of two ways (e.g., electrical or mechanical) with the two *a priori* probabilities of being defective assumed to be independent but not necessarily equal. If there are two different tests corresponding to the two types of defectives, then, in addition to deciding the next test-group size, it may be necessary to decide which test to use next.

6. For positive continuous chance variables with a known distribution (like weight) the following problem is analagous. It is desired to separate  $N$  units into two groups according as the weight per unit is less than or greater than a constant (say, unity). Any number of units can be included in a single weighing. The problem is to accomplish the separation in a minimal number of weighings, assuming that the individual weights are independent observations from the common known distribution.

Many of these generalizations will be omitted from this paper and treated separately.

III. THE PROCEDURE  $R_1$

The procedure  $R_1$  is defined implicitly by a pair of recursion formulae and boundary conditions, but first we shall need some definitions and preliminary results. The units proven to be good and the units proven to be defective are never used in subsequent tests. Aside from such units, this procedure requires that at every stage the remaining units be separated into at most two sets. For one set of size  $m \geq 0$ , which we call the *defective set*, it is known that it contains at least one defective unit; for the other set of size  $n - m \geq 0$ , which we call the *binomial set*, our *a posteriori* knowledge is, so to speak, in the original binomial state; i.e., given the past history of testing, the units in the binomial set act like independent binomial chance variables with a common probability  $p$  of being defective. For the defective set, the conditional probability that  $Y$ , the number of defectives present, equals  $y$  is

$$\Pr \{Y = y | Y \geq 1\} = \frac{\binom{m}{y} p^y q^{m-y}}{1 - q^m} \quad (y = 1, 2, \dots, m). \tag{1}$$

If  $X$  denotes the number of defectives present in a subset of size  $x$  randomly chosen from the defective set, then

$$\Pr \{X = 0 | Y \geq 1\} = \sum_{y=1}^{m-x} \frac{\binom{m}{y} p^y q^{m-y}}{1 - q^m} \frac{\binom{m-y}{x}}{\binom{m}{x}} = \frac{q^x(1 - q^{m-x})}{1 - q^m}. \tag{2}$$

Before defining the procedure it is convenient to prove a lemma in a more general setting. Let  $T(r_i)$  ( $i = 1, 2, \dots, t$ ) denote a test on  $n$  units ( $1 \leq r_i \leq n$ ) such that there are only two mutually exclusive possible outcomes: a "failure," indicating that there are at least  $r_i$  defectives present, and a "success," indicating that at most  $r_i - 1$  of the units in the test are defective. In Lemma 1 we consider any integers  $r_i, r_0$  with  $1 \leq r_i \leq n$  ( $i = 0, 1, 2, \dots, t$ ), but the most important application is the case  $r_0 = r_1 = \dots = r_t = 1$ . Let  $\mathcal{A}$  be any set of units, and let  $\mathcal{B}_i$  ( $i = 1, 2, \dots, t$ ) denote sets not necessarily disjoint from one another but such that each is disjoint from  $\mathcal{A}$ ; the case  $t = 1$  is the one used for procedure  $R_1$ . At the outset, all units are independently and binomially distributed with a common probability  $p$  of being defective.

*Lemma 1:* If a test  $T(r_i)$  on  $\mathcal{A} + \mathcal{B}_i$  produces a failure for ( $i = 1, 2, \dots, t$ ) and another test  $T(r_0)$  on  $\mathcal{A}$  also produces a failure, then for  $r_0 \geq \max r_i$  the conditional distribution associated with all the units in the sets  $\mathcal{B}_i$  ( $i = 1, 2, \dots, t$ ), given both conditions above, is exactly the same as the original binomial distribution.

*Proof:* Let  $A$  and  $B_i$  denote the chance number of defectives present in  $\mathcal{A}$  and  $\mathcal{B}_i$ , respectively. For the  $j$ th set  $\mathcal{B}_j$  the conditional probability  $P$  of interest is

$$P = \Pr\{B_j \leq b \mid A + B_i \geq r_i (i = 1, 2, \dots, t), A \geq r_0\}. \quad (3)$$

Since  $r_0 \geq \max r_i$  ( $i = 1, 2, \dots, t$ ), the condition  $A \geq r_0$  implies that  $A + B_i \geq r_i$ , and hence

$$P = \Pr\{B_j \leq b \mid A \geq r_0\}. \quad (4)$$

Since  $\mathcal{B}_j$  and  $\mathcal{A}$  are disjoint, it follows that  $B_j$  and  $A$  are independent and hence, from (4),

$$P = \Pr\{B_j \leq b\}, \quad (5)$$

which proves the lemma.

Let  $G_1(m, n; q) = G_1(m, n)$  denote the expected number of group-tests remaining to be performed if the defective set is presently of size  $m$ , the binomial set is presently of size  $n - m$ , the *a priori* probability of a good unit is the known constant  $q$  and the procedure  $R_1$  is used. For the special case  $m = 0$  we use the symbol  $H_1(n; q) = H_1(n)$ . The values of  $m$  and  $n$  vary as the procedure is carried out; at the outset,  $m = 0$  and  $n = N$ . It will also be convenient to refer to the *G-situation* or *G(m, n)-situation* if  $m > 1$  and to the *H-situation* or *H(n)-situation* if  $m = 0$ .

#### *Recursion Formulae Defining Procedure $R_1$*

If  $x$  denotes the size of the very next group-test, then we write for any situation with  $m = 0$

$$H_1(n) = 1 + \min_{1 \leq x \leq n} \{q^x H_1(n - x) + (1 - q^x) G_1(x, n)\}, \quad (6)$$

and, with the help of (2) and Lemma 1, we write for  $n \geq m \geq 2$

$$G_1(m, n) = 1 + \min_{1 \leq x \leq m-1} \left\{ \left( \frac{q^x - q^m}{1 - q^m} \right) G_1(m - x, n - x) + \left( \frac{1 - q^x}{1 - q^m} \right) G_1(x, n) \right\}. \quad (7)$$

The *boundary conditions* state that for all  $q$

$$H_1(0) = 0 \quad \text{and} \quad G_1(1, n) = H_1(n - 1) \quad \text{for} \quad n = 1, 2, \dots \quad (8)$$

In (6) and (7) the constant 1 represents the very next group-test of size  $x$  and the expression in braces is the conditional expected number of additional group-tests given  $x$ . It follows from (6) and (8) that  $H_1(1) = 1$  for all  $q$ .

*Remark 1:* To justify writing  $G_1(x, n)$  in (7) we make use of Lemma 1 with  $t = 2, r_2 = 0, r_1 = r_0 = 1$ ; we take  $\mathcal{A} + \mathcal{B}_1$  as the defective set of size  $m$  and  $\mathcal{A}$  as a subset of size  $x < m$ . Then, by Lemma 1, if the subset  $\mathcal{A}$  of size  $x$  is shown to contain at least one defective, the *a posteriori* distribution associated with the  $m - x$  units in  $B_1$  is exactly binomial. These are then mixed or recombined with the  $n - m$  "binomial units," giving a total of  $n - x$  binomial units, and this justifies the expression  $G_1(x, n)$  in (7).

*Remark 2:* These two recursion formulae, together with the boundary conditions, allow one to compute successively for any  $q$  the functions  $G_1(2, 2), H_1(2), G_1(2, 3), G_1(3, 3), H_1(3), G_1(2, 4), G_1(3, 4), G_1(4, 4), H_1(4), \dots$  to any desired value of  $m$  and  $n$ .

*Remark 3:* The integer  $x$  which accomplishes the minimization in (6) and (7) for each situation characterized by the integers  $m$  and  $n$  is particularly important, since this is the size of the next test to be run according to the procedure  $R_1$ . These integers  $x = x_H(n; q)$  and  $x = x_G(m, n; q)$  implicitly define the procedure  $R_1$ . An illustration of how the procedure  $R_1$  is to be carried out is given in Section IV.

*Remark 4:* If  $m > 1$ , then it is assumed in (7) that a subset of size  $x$  with  $1 \leq x < m$  will be taken from the defective set without mixing it with units from the binomial set. It follows from (6), (7) and (8) that any lack of optimality can only arise from this "no mixing" assumption. This assumption was used in the derivation of the algorithm (7) (see Remark 1 above). It will be noted in Section XIII that, when all the units are individually identified, then by dropping this assumption, an improvement to the procedure  $R_1$  for high values of  $q$  can be found. A specific example of a modification and improvement of the procedure  $R_1$ , which drops the "no mixing" assumption at the expense of more complication will be more thoroughly discussed in a separate paper.

#### IV. ILLUSTRATION OF THE PROCEDURE $R_1$

Suppose we start with  $N = 12$  units and it is given that  $q = 0.98$ . Referring to the column headed  $H_1(12)$  in Fig. 3, we find that the first

test-group is of size  $x = 12$ ; i.e., we start by testing all 12 units. If a success occurs, the experiment is over; if a failure occurs, then according to the column headed  $G_1(12, n)$  of Fig. 4 the next test group is of size  $x = 4$  chosen at random from the 12. Similarly, we continue along one of the sample paths shown in Fig. 1. The complete "tree" is not shown here, but testing continues in a similar manner and the specific details can be obtained from Figs. 3 and 4, which appear at the end of this paper.

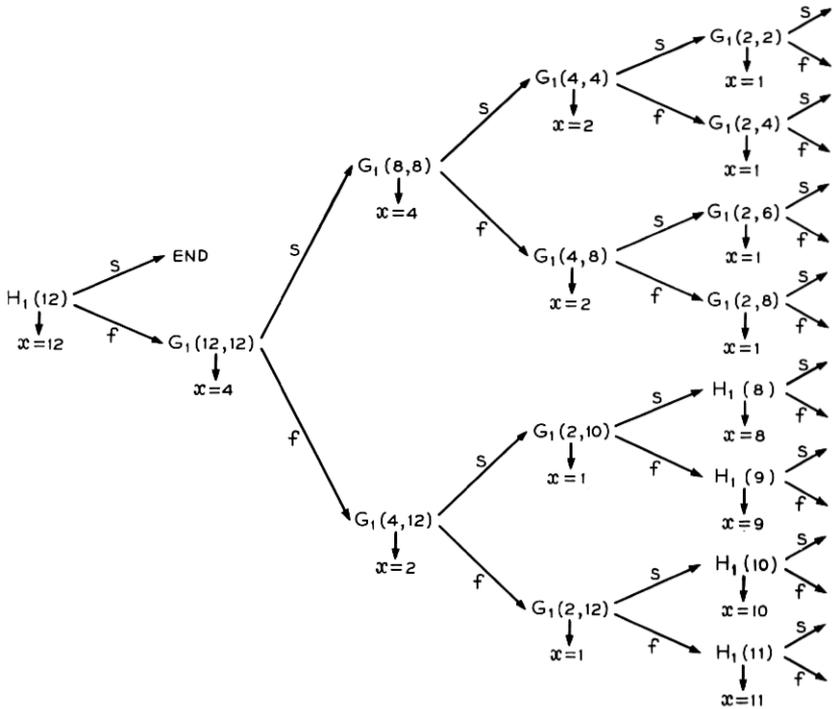


Fig. 1—Initial part of the tree for procedure  $R_1$  for  $q = 0.98$  and starting with an  $H(12)$ -situation.

It is obvious that the above procedure terminates in a finite number of steps. In fact, it can be shown for procedure  $R_1$  (proof is omitted) that the maximum number  $M(n)$  [ $M(m,n)$ ] for any  $H$ -situation [ $G$ -situation] occurs when  $q$  is close to unity and the  $n$  unanalyzed units are all defective. It follows easily that

$$\begin{aligned}
 M(n) &= (n + 1) [1 + \alpha(n)] + 1 - 2^{1+\alpha(n)}, \\
 M(m,n) &= \alpha(m) + n [1 + \alpha(n - 1)] + 1 - 2^{1+\alpha(n-1)}, \quad (m > 1)
 \end{aligned}
 \tag{10}$$

where  $\alpha(z)$ , for any positive integer  $z$ , is defined by

$$2^{\alpha(z)} \leq z < 2^{1+\alpha(z)}. \quad (11)$$

For the example above,  $\alpha(12) = 3$  and  $M(12) = 37$ ; it is interesting to note that the constant term in  $H_1(n)$  expressed in powers of  $q$  in Table IV B is also the length of the longest "chain" (that is, 37 for  $n = 12$ ) in the "tree" used for the interval of  $q$ -values ending at unity. Although the maximum number is so large, the expected number of tests is only 2.07. This is explained partly by the fact that the procedure terminates after one group-test with probability 0.7847. A table of such probabilities for the number of tests  $T$  required when  $q = 0.98$  and  $N = 12$  is given below:

$T$	1	2	3	4	5	6	7	8	9	10-37
Probability	0.7847	0	0	0	0.0801	0.1124	0.0003	0.0016	0.0134	0.0075

If we assign the probability 0.0075 to  $T = 10$ , we obtain an estimate of  $H_1(12)$  (namely, 2.070) which is a lower bound. The exact value, 2.073, can be obtained from the formula for  $H_1(12)$  in Table IV B. Similarly, an estimate of the standard deviation is computed to be  $\sigma \cong 2.1$ , and this also is easily shown to be a lower bound.

It is interesting to note that, if the starting number  $N$  is exactly a power of 2 and  $q$  is large, the procedure  $R_1$  starts off the same as a "halving" procedure. Such a procedure  $R_4$  is defined in Appendix C for any  $N$ , and it has the property that it can be carried out without knowing the true value of  $q$ . To compare the means and standard deviations of  $R_1$  and  $R_4$ , we consider the case  $N = 6$ , where  $M = 14$  for both  $R_1$  and  $R_4$ . For any  $q > 0.844$ , the expectation under  $R_1$  can be put in the form

$$\begin{aligned} E(T; R_1) = & 1(q^6) + 4(3pq^5) + 5(3pq^5 + 2p^2q^4) + 6(2p^2q^4) \\ & + 7(7p^2q^4 + 2p^3q^3) + 8(4p^2q^4 + 5p^3q^3) + 9(8p^3q^3 + 3p^4q^2) \\ & + 10(5p^3q^3 + 5p^4q^2) + 11(3p^4q^2 + 2p^5q) \\ & + 12(3p^4q^2 + p^5q) + 13(p^4q^2 + 2p^5q) + 14(p^5q + p^6), \end{aligned} \quad (12)$$

where, for each term, the expression in parentheses is the probability that  $T$  takes on the value of the associated integer coefficient. For any  $q$  the corresponding expression under  $R_4$  is

$$\begin{aligned}
E(T;R_4) = & 1(q^6) + 4(3pq^5) + 5(3pq^5 + 2p^2q^4) + 6(p^2q^4 + p^3q^3) \\
& + 7(7p^2q^4) + 8(5p^2q^4 + 5p^3q^3) + 9(6p^3q^3 + 2p^4q^2) \\
& + 10(7p^3q^3 + 4p^4q^2) + 11(p^3q^3 + 4p^4q^2 + p^5q) \\
& + 12(4p^4q^2 + 2p^5q) + 13(p^4q^2 + 2p^5q) + 14(p^5q + p^6).
\end{aligned} \tag{13}$$

The procedure  $R_1$  is better than  $R_4$  (at least for  $q > 0.844$ ) since

$$E(T;R_4) - E(T;R_1) = 2p^2q^4 + 5p^3q^3 + 4p^4q^2 + p^5q \geq 0. \tag{14}$$

[The fact that each term in (14) is positive indicates that  $R_1$  is better than  $R_4$ , even if we know how many defectives are actually present among the  $N$  units.] Some numerical comparisons of means and standard deviations are given in Table I. The maximum difference for  $N = 6$  between  $E(T; R_4)$  and  $E(T; R_1)$  occurs at  $q = 0.844$ , and is equal to 0.0379. For  $N = 6$ , the procedure  $R_1$  appears to have a variance smaller than

TABLE I — COMPARISON OF PROCEDURES  $R_1$  AND  $R_4$  FOR  $N = 6$

	$q = 0.85$	$q = 0.90$	$q = 0.95$	$q = 0.98$	$q = 0.99$
$E(T; R_1)$	3.8118	2.9434	2.0092	1.4133	1.2083
$E(T; R_4)$	3.8472	2.9605	2.0136	1.4141	1.2085
$\sigma(T; R_1)$	2.536	2.269	1.762	1.179	0.850
$\sigma(T; R_4)$	2.593	2.304	1.776	1.183	0.851

that of  $R_4$  for all  $q < 1$ . A more complete comparison of  $E(T; R_1)$  with that of several other procedures is given in Table II A.

#### V. THE SIMPLICITY OF $R_1$

It will be shown in this section that, for any given  $q$  and any situation  $G(m, n)$ , the appropriate  $x$  [i.e., the integer which accomplishes the minimization in (7)] does not depend on  $n$ . A somewhat simpler method of computing  $x$  is given and a new function of  $m$  alone is introduced to replace  $G_1(m, n)$  in the definition of the procedure  $R_1$ . For any  $m$  and any pair of integers  $(x, x + 1)$  both possible under  $R_1$ , there is always a single dividing point  $q_G(x) = q_G(x, x + 1; m)$  that separates the interval for  $x$  from the interval for  $x + 1$ . (This property was observed for  $m \leq n \leq 16$  and is treated as a conjecture for all  $m$  and  $n$  in Section VII.)

According to Remark 4 in Section III, the procedure  $R_1$  for  $m > 1$  is to "break down" the defective set. This "breaking down" is continued until a single unit is established to be defective and removed. Instead of randomizing the order of the units in the defective group again and again before each test group is selected, it will be convenient to assume,

without affecting the properties of the procedure  $R_1$ , that the order is randomized only once at the outset.† Units or groups of units removed later are then to be taken in that order. If the  $i$ th unit (in that order) is the first defective unit, then the “breaking down” mentioned above leads to an  $H$ -situation with  $n - i$  binomial units, and the converse also holds true.‡

It is convenient to introduce  $F_1(m, q) = F_1(m)$  defined as the expected number of group-tests required to “break down” a defective set of size  $m$  and for the first time reach an  $H$ -situation when  $q$  is given and the procedure  $R_1$  is used. Then  $F_1(m)$  clearly does not depend on  $n$  and the above argument permits us to write

$$G_1(m, n) = F_1(m) + \left( \frac{p}{1 - q^m} \right) \sum_{i=1}^m q^{i-1} H_1(n - i). \quad (15)$$

For algebraic simplicity we let

$$G_1^*(m, n) = \left( \frac{1 - q^m}{1 - q} \right) G_1(m, n) \text{ and } F_1^*(m) = \left( \frac{1 - q^m}{1 - q} \right) F_1(m). \quad (16)$$

Then (7) and (15) take on the simpler forms

$$G_1^*(m, n) = \sum_{i=1}^m q^{i-1} + \min_{1 \leq x \leq m-1} \{q^x G_1^*(m - x, n - x) + G_1^*(x, n)\}, \quad (17)$$

$$G_1^*(m, n) = F_1^*(m) + \sum_{i=1}^m q^{i-1} H_1(n - i). \quad (18)$$

Substituting (18) in (17), the three summations cancel and the result is

$$F_1^*(m) = \sum_{i=1}^m q^{i-1} + \min_{1 \leq x \leq m-1} \{q^x F_1^*(m - x) + F_1^*(x)\}, \quad (19)$$

which does not depend on  $n$ . The boundary condition,  $F_1^*(1) = 0$  for all  $q$ , also does not depend on  $n$ . It is clear from this derivation that (19), which does not depend on  $n$ , must define the same integer values  $x = x_a(m; q)$  as (17) or (7). This proves the following theorem.

† It should be pointed out that even this single randomization at the outset can be disregarded in carrying out the procedure  $R_1$  if there is no doubt about the assumption of independent chance variables or if the units are already well-mixed in the process of delivery to the experimenter.

‡ It follows from the above that, for any procedure which “breaks down” the defective set in the above-mentioned manner (including a method of testing units from the defective set one at a time until a defective unit is found), the expected number of good units eliminated between a  $G(m, n)$ -situation and the next  $H$ -situation is  $q/p - mq^m/(1 - q^m)$ , and the number of defective units eliminated is always exactly one.

*Theorem 1:* For any  $G$ -situation with  $n \geq m > 1$  and any  $q$ , the size of the next test group, defined implicitly by (7), does not depend on  $n$ .

This result simplifies the explicit instructions needed to describe the procedure. Thus the two diagrams, Figs. 3 and 4, describe the procedure  $R_1$  for all values of  $q$  and for any  $N \leq 16$ .

Equations (15) and (16) can also be substituted in (6), yielding

$$H_1(n) = 1 + \min_{1 \leq x \leq n} \left\{ q^x H_1(n-x) + (1-q) \left[ F_1^*(x) + \sum_{i=1}^x q^{i-1} H_1(n-i) \right] \right\}, \quad (20)$$

which, together with (19), gives a pair of "one-dimensional" recursion formulae for defining  $R_1$  instead of the "two-dimensional" set, (6) and (7).

*Remark 5:* It should be pointed that, if one were to ask for a procedure that "breaks down" the defective set in as small an expected number of group tests as possible, then one would write (19) as one of the basic recursion formulae defining the procedure. This shows that  $R_1$  "breaks down" the defective set and returns to an  $H$ -situation in a minimal number of tests.

## VI. SOME PROPERTIES OF $R_1$ FOR $q$ CLOSE TO UNITY

For any  $G$ -situation with  $m > 1$ , consider the effect of increasing  $q$ . It is easy to see by an induction argument that  $G_1(m, n)$  is a strictly decreasing function of  $q$ . The function  $H_1(n)$  is also strictly decreasing unless the value of  $q$  is such that the procedure  $R_1$  tests all units one at a time, in which case  $H_1(n)$  is constant. The "tree" remains the same in an interval as  $q$  increases, and changes only when it becomes more efficient under  $R_1$  to increase the size of some test group in the "tree"; i.e., if we proceed down the "tree" along any path, the first change encountered, if any, will be an increase in some test group size. It therefore seems reasonable to expect (in both  $G$ - and  $H$ -situations) that the largest value of  $x$  assigned by  $R_1$  (say,  $x_{\max}$ ) occurs in an interval of  $q$ -values ending at unity. This unproved assertion that under  $R_1$  large values of  $x$  are associated with large values of  $q$  is an immediate consequence of Conjectures 1 and 2 stated in Section 7.

For fixed  $m > 1$ , let the integers  $\alpha(m)$  and  $\beta(m)$

$$[\alpha(m) \geq 1, \quad 0 \leq \beta(m) < 2^{\alpha(m)}]$$

be defined by

$$m = 2^{\alpha(m)} + \beta(m). \quad (20a)$$

Under the assumption that  $R_1$  assigns  $x_{\max}$  in an interval of  $q$  values ending at unity, it will be shown for any  $G$ -situation that in this interval the value of  $x = x_{\max}$  is given by

$$x_{\max} = \begin{cases} 2^{\alpha(m)-1} & \text{for } 2^{\alpha(m)} \leq m \leq 3 \cdot 2^{\alpha(m)-1} \\ m - 2^{\alpha(m)} & \text{for } 3 \cdot 2^{\alpha(m)-1} \leq m < 2^{\alpha(m)+1}. \end{cases} \quad (21)$$

As a corollary it then follows that, under  $R_1$  ( $G$ -situation),

$$\frac{m}{3} \leq x_{\max} \leq \frac{m}{2}. \quad (22)$$

Also in the above-mentioned interval we have

$$F_1^*(m) = \alpha(m) \left( \frac{1 - q^m}{1 - q} \right) + q^{m-2\beta(m)} \left[ \frac{1 - q^{2\beta(m)}}{1 - q} \right]. \quad (23)$$

*Proof of (21), (22) and (23):* In (19), since  $x \leq m - 1$  and  $m - x \leq m - 1$ , we can use the induction hypothesis (23) to obtain

$$F_1^*(m) - \sum_{i=1}^m q^{i-1} = \min_{1 \leq x \leq m-1} \left\{ q^x \alpha(m-x) \left( \frac{1 - q^{m-x}}{1 - q} \right) + q^{m-2\beta(m-x)} \left( \frac{1 - q^{2\beta(m-x)}}{1 - q} \right) + \alpha(x) \left( \frac{1 - q^x}{1 - q} \right) + q^{x-2\beta(x)} \left( \frac{1 - q^{2\beta(x)}}{1 - q} \right) \right\}. \quad (24)$$

For  $q$  close to unity, the right side of (24) is equivalent to minimizing

$$Q(x) = [x\alpha(x) + 2\beta(x)] + [(m-x)\alpha(m-x) + 2\beta(m-x)]. \quad (25)$$

For the moment, let us utilize the symmetry of  $Q(x)$  for  $2 \leq x \leq m - 2$  and limit our considerations to  $x \leq m/2$  and  $m \geq 4$ .

Let  $[m/2]$  denote the largest integer less than or equal to  $m/2$ . For  $x = [m/2], [m/2] - 1, \dots$ , we consider  $Q(x + 1) - Q(x)$  and distinguish several cases according as

- a.  $\alpha(x - 1) = \alpha(x) = \alpha(m - x) \leq \alpha(m - x + 1)$ ;
- b.  $\alpha(x - 1) + 1 = \alpha(x) = \alpha(m - x) \leq \alpha(m - x + 1)$ ;
- c.  $\alpha(x - 1) = \alpha(x) = \alpha(m - x - 1)$   
 $\qquad = \alpha(m - x) - 1 = \alpha(m - x + 1) - 1$ ; (26)
- d.  $\alpha(x - 1) + 1 = \alpha(x) = \alpha(m - x - 1)$   
 $\qquad = \alpha(m - x) - 1 = \alpha(m - x + 1) - 1$ ;
- e.  $m \geq 2x + 1$  and  $\alpha(x) < \alpha(m - x - 1)$ .

Using the fact that, for any integer  $y > 1$ ,

$$y[\alpha(y) - \alpha(y - 1)] + 2[\beta(y) - \beta(y - 1)] = 2, \quad (27)$$

we obtain for all the cases in (26) the result,

$$Q(x + 1) - Q(x) = \alpha(x) - \alpha(m - x) \leq 0. \quad (28)$$

In particular, the value is zero only when (26a) holds and  $\alpha(x) = \alpha(m - x)$ . Hence,  $Q(x)$  is a nonincreasing function of the integer  $x$  for  $1 \leq x \leq m/2$  and is constant for  $x_0 \leq x \leq m - x_0$ , where  $x_0$  is defined by

$$\alpha(x_0 - 1) + 1 = \alpha(x_0) = \alpha(m - x_0 - 1) \quad (29)$$

or

$$\alpha(x_0) = \alpha(m - x_0 - 1) = \alpha(m - x_0) - 1,$$

whichever gives the maximum. These imply that

$$x_0 = 2^{\alpha(x_0)} \leq m - x_0 - 1 < 2^{\alpha(x_0)+1} = 2x_0 \quad (30)$$

or

$$x_0 < m - x_0 = 2^{\alpha(x_0)+1} \leq 2x_0.$$

Both lead to the same results; namely,

$$\frac{m}{3} \leq x_0 < \frac{m}{2}. \quad (31)$$

It follows that

$$\frac{m}{3} \leq x_{\max} \leq \frac{2m}{3}$$

and, from (29), for any integer  $x$  with  $x_0 \leq x \leq m - x_0$ , the values of  $\alpha(x)$  and  $\alpha(m - x)$  can differ by at most unity.

For any  $x$  with  $x_0 \leq x \leq m - x_0$  we consider two cases according as  $|\alpha(x) - \alpha(m - x)|$  is zero or unity. Let the expression in braces in (24) be denoted  $C_1^*(x)$ . For integers  $x$  with  $x_0 \leq x \leq m - x_0$  the number of terms in  $C_1^*(x)$  is constant and the expression  $C_1^*(x)$  is to be minimized by making more powers of  $q$  larger.

*Case 1.* We can write

$$C_1^*(x) = \alpha(x) \left[ \frac{1 - q^m}{1 - q} \right] + q^{m-2\beta(m-x)} \left[ \frac{1 - q^{2\beta(m-x)}}{1 - q} \right] + q^{x-2\beta(x)} \left[ \frac{1 - q^{2\beta(x)}}{1 - q} \right], \quad (32)$$

and the problem is to find the  $x$  which minimizes  $C_1^*(x)$  for large values of  $q$  (i.e., to find  $x_{\max}$ ). Since  $\alpha(x) = \alpha(m - x)$ ,  $\beta(m - x) - \beta(x) = m - 2x$  and, using the fact that  $x_{\max} \geq m/3$ , we consider only integers  $x \geq m/3$  and obtain

$$m - x \geq 2(m - 2x) = 2[\beta(m - x) - \beta(x)], \quad (33)$$

and hence

$$m - 2\beta(m - x) \geq x - 2\beta(x). \quad (34)$$

It follows that the last term in (32) has lower powers of  $q$  than the previous term and that (32) is minimized by setting  $\beta(x) = 0$ , i.e., by taking  $x_{\max}$  to be a power of 2. To complete the proof of (21) and (23), we note that

$$\begin{aligned} m &= x + (m - x) = 2^{\alpha(x)} + 2^{\alpha(m-x)} + \beta(m - x) \\ &= 2^{\alpha(x)+1} + \beta(m - x), \end{aligned} \quad (35)$$

so that  $\alpha(m) = \alpha(x) + 1$ ,  $\beta(m) = \beta(m - x)$  and, since  $x = x_{\max}$  is a power of 2, we have

$$x_{\max} = 2^{\alpha(m)-1}. \quad (36)$$

Since, by (35),  $\beta(m) = \beta(m - x) < \frac{1}{2}2^{\alpha(m)}$ , then (36) holds for  $2^{\alpha(m)} \leq m < 3[2^{\alpha(m)-1}]$ . Substituting these values of  $\alpha(x)$ ,  $\beta(x)$ ,  $\alpha(m - x)$  and  $\beta(m - x)$  into (32) and using (24) gives

$$F_1^*(m) = \alpha(m) \left( \frac{1 - q^m}{1 - q} \right) + q^{m-2\beta(m)} \left( \frac{1 - q^{2\beta(m)}}{1 - q} \right), \quad (37)$$

which completes the induction for Case 1. Conversely, if  $2^{\alpha(m)} \leq m < 3[2^{\alpha(m)-1}]$  then  $x_0 = 2^{\alpha(m)-1}$  and, for any  $x$  with  $x_0 \leq x \leq m - x_0$ , we have  $\alpha(x) = \alpha(m - x)$ . Hence (32) is minimized for  $x_{\max} = x_0 = 2^{\alpha(m)-1}$  by the above argument.

*Case 2.* Since we are now considering only possibilities outside Case 1, we must have  $3[2^{\alpha(m)-1}] \leq m \leq 2^{\alpha(m)+1}$ . Using (29), and the fact that  $x_0 \leq x \leq m - x_0$ , it follows that either  $\alpha(x) = \alpha(m - x - 1) = \alpha(m - x) - 1$ , so that  $m - x$  is a power of 2 and  $x < m/2$ , or else  $\alpha(m - x) = \alpha(x - 1) = \alpha(x) - 1$ , so that  $x$  is a power of 2 and  $x > m/2$ . In the former alternative, we obtain

$$m = x + (m - x) = 3[2^{\alpha(x)}] + \beta(x),$$

$\alpha(m) = \alpha(x) + 1$  and  $\beta(m) = 2^{\alpha(x)} + \beta(x) = x$ . It follows that

$$x = x_{\max} = m - 2^{\alpha(m)}. \quad (38)$$

In the latter alternative, it can be concluded that  $x = 2^{\alpha(m)} > m/2$ . In the latter alternative (or in both alternatives), we now compare the values of  $C_1^*(x)$ , computed from (24), for the two arguments,

$$x_1 = 2^{\alpha(m)} > m/2 \quad \text{and} \quad x_2 = m - 2^{\alpha(m)} < m/2.$$

Using the general result that  $\beta(m^*) < m^*/2$  for any positive integer  $m^*$  and the implied result that  $\alpha(m - 2^{\alpha(m)}) = \alpha(m) - 1$ , it is easy to show (the details are omitted) that  $C_1^*(x_2) < C_1^*(x_1)$  for  $0 < q < 1$ . Hence, for either alternative, we obtain the same result, (38). As a corollary, (22) holds. In this case  $C_1^*(x)$ , after algebraic simplification, is given by

$$C_1^*(x) = [\alpha(m) - 1] \left( \frac{1 - q^m}{1 - q} \right) + q^{m-2\beta(m)} \left[ \frac{1 - q^{2\beta(m)}}{1 - q} \right], \quad (39)$$

which, using (24), again gives the result (23). The fact that (23) holds for  $m = 2, 3$  and  $4$  is easily shown and the details are omitted. This completes the proof of (23).

It is also possible to get expressions for  $G_1^*(m, m)$ ,  $G_1^*(m, n)$  and  $H_1(n)$  for large values of  $q$  using (23) and the fact that, for any positive  $n$  and sufficiently large values of  $q$  (depending on  $n$ ),

$$H_1(n) = 1 + (1 - q^n)G_1(n, n) = 1 + pG_1^*(n, n). \quad (40)$$

Then, by (18), we obtain for large values of  $q$

$$G_1^*(m, m) = m - 1 + qF_1^*(m) + p \sum_{j=2}^m F_1^*(j), \quad (41)$$

$$G_1^*(m, n) = n - 1 - (n - m - 1)q^m + F_1^*(m) + p(1 - q^m) \sum_{j=2}^{n-m-1} F_1^*(j) + p \sum_{j=n-m}^{n-1} F_1^*(j), \quad (42)$$

$$H_1(n) = q + np + pF_1^*(n) + p^2 \sum_{j=2}^{n-1} F_1^*(j), \quad (43)$$

where (42) is to be used only for  $m < n$  and the summation from  $a$  to  $b$  is taken to be zero for  $b < a$ . Using these and (23), the last equation (i.e., the equation for the last  $q$ -interval which ends at unity) can be obtained independently and more simply for  $F_1^*(m)$ ,  $G_1^*(m, n)$  and  $H_1(n)$ .

It is clear from the results above that, as  $q$  approaches unity,

$$\lim H_1(n) = 1 \quad (43a)$$

and that, for  $q$  in an interval ending at unity, we have  $x_H(n; q) = n$ . Here  $x_H(n; q)$  is the size of the next test group when the procedure  $R_1$

is used for an  $H(n)$ -situation with *a priori* probability  $q$  of a unit being good. In an  $H$ -situation the probability that there are no defectives present approaches unity as  $q$  approaches unity. In a  $G$ -situation with  $m > 1$ , the probability that there is exactly one defective in the defective set and none in the binomial set approaches unity as  $q$  approaches unity. Defining  $x_G(m; q)$  in a similar manner, it was shown above that  $x_G(m; q) = x_{\max}$  [as given in (21)] in an interval ending at unity, and it follows from (23), (41) and (42) [or (23) and (15)] that, as  $q$  approaches unity,

$$\lim F_1(m) = \alpha(m) + 2 \frac{\beta(m)}{m} \quad (m > 1), \quad (43b)$$

lim  $G_1(m, n) =$

$$\begin{cases} 1 + \lim F_1(m) = 1 + \alpha(m) + 2 \frac{\beta(m)}{m} & (\text{for } n > m) \\ 1 - \frac{1}{m} + \alpha(m) + 2 \frac{\beta(m)}{m} & (\text{for } n = m). \end{cases} \quad (43c)$$

It is interesting to note that the result (43c) depends on  $m$  but not on  $n$ .

#### VII. CONJECTURED PROPERTIES OF $R_1$

In this section we shall state some properties which appear to hold for procedure  $R_1$  based on numerical calculations for  $N \leq 16$  but have not been proved for all  $N$ .

1. For any  $G$ -situation with fixed  $m > 1$ , if  $x_G(m; q)$  denotes the size of the next test group under  $R_1$ , then  $x_G(m; q)$  is a nondecreasing step function of  $q$  with step size unity. That is, for any pair  $q \leq q^+ \leq 1$ ,

$$x_G(m; q) \leq x_G(m; q^+) \quad (44)$$

and, for sufficiently small  $\epsilon > 0$ ,

$$x_G(m; q + \epsilon) \leq x_G(m; q) + 1. \quad (45)$$

Also for fixed  $q$  the value of  $x_G(m + 1; q)$  is either the same or one greater than  $x_G(m; q)$ ; i. e.

$$x_G(m; q) \leq x_G(m + 1; q) \leq x_G(m; q) + 1. \quad (46)$$

If the dividing point between  $x$  and  $x + 1$  for any  $G$ -situation under  $R_1$  [denoted by  $q_G(x; m)$ ] is shown to exist (and be unique), then the three properties (44), (45) and (46) are equivalent to the two properties that, for any  $m > 1$ ,

$$q_G(x; m) \geq q_G(x; m + 1) \quad \text{for } 1 \leq x < x_{\max}, \quad (47)$$

$$q_G(x; m) < q_G(x + 1; m) \quad \text{for } 1 \leq x < x_{\max} - 1. \quad (48)$$

The assumption used in Section VI that the largest  $x$ -values are associated with the largest  $q$ -values is a simple consequence of (44).

2. For any  $H$ -situation, we can define  $x_H(n; q)$  similar to  $x_G(m; q)$  and the property corresponding to (44) still holds: that, for  $q \leq q^+ \leq 1$  and all positive integers  $n$ ,

$$x_H(n; q) \leq x_H(n; q^+). \quad (49)$$

We can also define  $q_H(x; n)$  similar to  $q_G(x; m)$  with the understanding that, if  $x$  does not appear under  $H_1(n)$  in Fig. 3, then the interval for  $x$  is assumed to have length zero but the endpoints still exist, and, in fact,  $q_H(x - 1, n)$  will then be equal to  $q_H(x; n)$ . Then (49) is equivalent to the property that for all  $x \geq 1$  and all positive integers  $n$

$$q_H(x; n) \leq q_H(x + 1; n). \quad (50)$$

3. If the experimental situation is such that it is impossible or economically impractical to identify or keep separate the individual units in any test group, then, after each test on a batch of  $x$  units, the disposition of the  $x$  units must be made on a batch basis. In such a situation it is conjectured that the procedure  $R_1$  is the optimal procedure for all values of  $q$ .

4. There are various patterns existing in Table II B both within a column and across columns, none of which have been proved. For example, if  $q = q_G(x; m)$  is the dividing point between  $x$  and  $x + 1$ , then the first entry in the appropriate column is  $1 - q^{2x} - q^{2x+1}$ , and the last entry, for  $m = \infty$ , is  $1 - q^x - q^{x+1}$ . In the first column, the entry can be written as  $1 - q - q^2 + q^m$  for all  $m$ . In the second column of Table II B the pattern shown is to replace the highest power of  $q$  (say,  $q^h$ ) by  $q^{h-2}$  or by  $q^{h+2} + q^{h+3}$ , depending on whether  $m$  is odd or even. Thus the pattern displays a cycle of 2. In the third column one can similarly find a pattern with a cycle of 3, starting with  $m \geq 9$ . If a general rule for all these patterns were proved then it might be easier to find the dividing points for higher values of  $m$ . The conjecture in this case lies in the fact that these patterns exist and can be mathematically established.

#### VIII. CHARACTER OF $R_1$ FOR SMALL VALUES OF $q$

For the procedure  $R_1$  it will now be shown that, when

$$q < q_0 = \frac{1}{2}(\sqrt{5} - 1) = 0.618$$

(to three decimal places), then, for both  $G$ - and  $H$ -situations with any

positive integers  $m \leq n$ , the units are all tested one at a time. Of course, if we start with the  $H$ -situation and test units one at a time, then a  $G$ -situation never arises, but in the induction proof that follows it must first be shown for the  $G$ -situation and then for the  $H$ -situation. This property that units are tested one at a time was recently shown<sup>4</sup> to hold for the optimal procedure (without specifying what the optimal procedure is or whether it exists). Simple formulae for  $H_1(n)$ ,  $G_1(m, n)$  and  $F_1(m)$  are obtained for  $q \leq q_0$ .

*Theorem 2:* For procedure  $R_1$  with  $1 \leq m \leq n$  and  $0 \leq q < q_0$ ,

$$x_G(m; q) = x_H(n; q) = 1, \tag{51}$$

$$H_1(n) = n, \tag{52}$$

$$G_1(m, n) = n - \frac{pq^{m-1}}{1 - q^m}, \tag{53}$$

$$F_1(m) = \frac{q}{p} + \frac{1 - q^{m-1} - mq^m}{1 - q^m}. \tag{54}$$

[Remarks: The last term in (53) results from the possibility of saving one test if the defective set of size  $m$  contains exactly one defective unit that is discovered inferentially by showing that all the other  $m - 1$  units are good. It is interesting to note that (54) can be obtained by summing the series

$$F_1(m) = \frac{p}{1 - q^m} (1 + 2q + 3q^2 + \dots + (m - 1)q^{m-2} + (m - 1)q^{m-1}). \tag{55}$$

In the proof below, (54) is shown first and then (52); the proof of these contain the result (51). Then (53) follows from (15), (52) and (54)].

*Proof:* The proof of (54) is by induction. The result holds for  $m = 1$ , since  $F(1) = 0$ . Assuming (54) holds for arguments less than  $m$ , we can use (19) with (16) to obtain

$$F_1^*(m) = \frac{1 - q^m}{p} + \min_{1 \leq x \leq m-1} \left\{ q^x \left[ \frac{1 - q^{m-x-1} - (m-x-1)pq^{m-x}}{p^2} \right] + \frac{1 - q^{x-1} - (x-1)pq^x}{p^2} \right\} = \left[ \frac{1 - q^{m-1} - (m-1)pq^m}{p^2} \right] + \frac{1 - q^m}{p} + \frac{1}{p} \min_{1 \leq x \leq m-1} \{x(q^m - q^x) - pq^{x-1}\}. \tag{56}$$

To prove part of (51) it is now shown that the minimum of the expression above in braces [say,  $f_0(x)$ ] is attained at  $x = 1$ . Since this is obvious for  $m = 2$ , it is now assumed that  $m \geq 3$ . Then

$$f_0(x) - f_0(1) = (x - 1)q^m + 1 - xq^x - pq^{x-1}, \quad (57)$$

and it suffices to show that, for  $q \leq q_0$  and  $x = 2, 3, \dots, m - 1$ ,

$$f_1(x) = 1 - xq^x - pq^{x-1} \geq 0. \quad (58)$$

Similarly, it suffices to show that, for  $q \leq q_0$  and  $x = 3, 4, \dots, m - 1$ ,

$$f_2(x) = \frac{f_1(x) - f_1(2)}{q} = 1 + q - xq^{x-1} - pq^{x-2} \geq 0. \quad (59)$$

More generally, if it suffices to show that, for  $q \leq q_0$  and  $x = y + 1, y + 2, \dots, m - 1$ ,

$$f_y(x) = 1 + (y - 1)q - xq^{x-y+1} - pq^{x-y} \geq 0, \quad (60)$$

then it also suffices to show that, for  $q \leq q_0$  and  $x = y + 2, y + 3, \dots, m - 1$ ,

$$f_{y+1}(x) = \frac{f_y(x) - f_y(y + 1)}{q} = 1 + yq - xq^{x-y} - pq^{x-y-1} \geq 0. \quad (61)$$

Setting  $y = m - 3$  in (61), it suffices to show that, for  $q \leq q_0$  and  $x = m - 1$  (we can now replace  $x$  by  $m - 1$ ),

$$1 + (m - 4)q - (m - 2)q^2 = 1 - q - q^2 + (m - 3)pq \geq 0. \quad (62)$$

Since  $q_0$  is the root of  $1 - q - q^2$  and  $m \geq 3$ , the inequality (62) is proved and the minimum of (56) is attained at  $x = 1$ . Setting  $x = 1$  in (56) gives the bracketed expression in (56) and proves the result (54).

To prove (52), we substitute (54) in (20) to obtain

$$\begin{aligned} H_1(n) &= 1 + \min_{1 \leq x \leq n} \left\{ q^x(n - x) - pq^{x-1} + p \sum_{i=1}^x iq^{i-1} \right. \\ &\quad \left. + p \sum_{i=1}^x (n - i)q^{i-1} \right\} \\ &= n + 1 - \max_{1 \leq x \leq n} \{ xq^x + pq^{x-1} \}, \end{aligned} \quad (63)$$

where the value of  $q^{x-1}$  for  $q = 0$  and  $x = 1$  is taken to be unity.

To prove the rest of (51), it is now shown that the maximum of the expression above in braces [say,  $h(x)$ ] is attained at  $x = 1$  for  $q \leq q_0$ .

Then, for  $q \leq q_0$  and  $x \geq 1$ ,

$$\begin{aligned} h(x) - h(x + 1) &= xpq^x - 2q^x + q^{x-1} \\ &= q^{x-1}[1 - q - q^2 + (x - 1)pq] \geq 0. \end{aligned} \tag{64}$$

Clearly, the maximum of  $h(x)$  is attained at  $x = 1$  not only for  $0 < q \leq q_0$  but also for  $q = 0$ . Setting  $x = 1$  in (63) gives  $H_1(n) = n$ , and this completes the proof of (52). The fact that the minimum is attained only at  $x = 1$  for  $q < q_0$  in both (56) and (63) proves (51) and shows that, under  $R_1$  with  $q < q_0$ , units are tested one at a time.

IX. CONSTRUCTION OF TABLES FOR  $R_1$

Figs. 3 and 4 describe the procedure  $R_1$  for  $n = 2(1)16$  and  $m \leq n$  in the form of two diagrams that are easy to use in a practical situation. Tables III A and III B give the polynomials, the roots of which are the dividing points in Figs. 3 and 4. Table IV A gives the polynomial equation for  $F_1^*(m)$  for  $m = 2(1)16$ . Tables IV B and IV C give the polynomial equations for  $H_1(n)$  and  $G_1^*(m, n)$ , respectively, for  $n = 2(1)12$  and  $2 \leq m \leq n$ . These can be obtained from (6), (7) and (8), or from (18), (19) and (20) and the boundary conditions,  $H_1(0) = F_1^*(1) = 0$ . For the sake of brevity, Table IV C has been reduced so that it gives the results only for  $q \geq 0.85$ , and only for pairs  $m, n$  which can arise starting from an  $H(n)$ -situation with  $n \leq 12$ .

Having computed  $H_1(n)$  and  $F_1^*(m)$  for  $2 \leq m \leq n \leq 12$ , we can make the procedure  $R_1$  explicit for  $12 \leq n \leq 16$  by a different method, which will now be explained. Let  $H_1(n | x)$  denote the value of  $H_1(n)$  if (i.e., for those  $q$ -values for which) the next sample size is  $x$ ; let  $F_1^*(m | x)$  be defined similarly. Then (20) can be written as

$$\begin{aligned} H_1(n | x) - H_1(n - 1) &= 1 + pF_1^*(x) \\ &\quad - \sum_{i=1}^{x-1} q^i [H_1(n - i) - H_1(n - i - 1)]. \end{aligned} \tag{65}$$

Writing a similar equation for  $H_1(n | y)$  for  $y > x$  and subtracting gives

$$\begin{aligned} H_1(n | x) - H_1(n | y) &= -p[F_1^*(y) - F_1^*(x)] \\ &\quad + \sum_{j=x}^{y-1} q^j [H_1(n - j) - H_1(n - j - 1)]. \end{aligned} \tag{66}$$

If  $4 \leq x < y \leq 16$  and  $12 < n \leq 16$ , then the right member of (66) involves  $H$ -function arguments only up to 12. In particular, for  $y = x + 1$  we set the right member of (66) equal to zero and obtain a poly-

nomial whose root (between zero and one) is the dividing point,  $q_H(x, n)$ , between  $x$  and  $x + 1$ . Table II A shows that, for  $n > 12$  and  $x < 4$ , the pattern for the dividing points is well stabilized; for example, the value of  $q_H(1, n) = 0.618$  (to three decimal places) is shown to hold for all  $n$  in Section VIII. By considering various pairs  $x, y$  (most often of the type  $x, x + 1$ ) in (66) it is possible to determine the procedure  $R_1$  for the  $H(n)$ -situation for  $12 < n \leq 16$ , without explicitly computing the formula for  $H_1(n)$ .

Similarly, we can do the same for the  $G$ -situation by using

$$F_1^*(m | x) - F_1^*(m | y) = F_1^*(y) - F_1^*(x) + q^y F_1^*(m - y) - q^x F_1^*(m - x), \quad (67)$$

but in this case (according to Section VI) we need only consider values  $y = x + 1$  up to and including  $m/2$ .

Table II A gives a numerical comparison for  $N = 4, 8$  and  $12$  of  $R_1$  and several other procedures, two of which are based on the work of Dorfman<sup>1</sup> and Sterrett,<sup>3</sup> the others are defined in the Appendices to this paper. Table II B gives a brief numerical comparison of  $H_1(n)$  and  $H_3(n)$  (corresponding to procedure  $R_3$  defined in Appendix B) for large values of  $n$  [viz.,  $n = 10(10)100$ ] and  $q = 0.90, 0.95$  and  $0.99$ ; these entries were computed on the IBM-704.

Tables V A, V B and V C give the numerical values of  $H_1(n)$  and  $G_1(m, n)$  as well as the values of  $x_G(m; q)$  and  $x_H(n; q)$  for  $q = 0.90, 0.95$  and  $0.99$  for  $2 \leq n \leq 100$ , and for appropriate values of  $m$ ; these entries were computed on the IBM-704. For  $q = 0.90$  the value of  $x$  is always at most 9 and hence, if we start with an  $H$ -situation, there is no need to consider values of  $m > 9$ ; similarly, for  $q = 0.95$  we disregard values of  $m > 19$ . For  $q = 0.99$  we should consider all values of  $m$  up to and including  $m = 100$  but many of these were omitted for the sake of brevity. It is interesting to note that  $G_1(m, n)$  is strictly monotonic in the second argument (and hence also in the argument  $n - m$ ) for fixed  $m$ , but it is curious and difficult to explain why it is not monotonic in the first argument for fixed  $n$ .

#### X. A SUGGESTED PROCEDURE FOR THE CASE OF UNKNOWN $q$

It is reasonable to expect that a knowledge of good procedures for the case of known  $q$  will suggest good procedures for the case of unknown  $q$ . From this point of view we consider modifications of the basic procedure  $R_1$  that make it adaptable when  $q$  is unknown. It is suggested that after each test we form a new estimate of  $q$  and that the procedure  $R_1$  be

used with the estimated value in place of the true value. At the outset we can start with an estimate based on past experience or we can start by testing one unit at a time. A thorough investigation of the relative merit of this procedure has not been carried out. Some discussion on the maximum likelihood method of estimating  $q$  is given below.

Let  $d$  and  $s$  denote the number of units proven defective and proven good, respectively, so that at any stage of experimentation we have

$$N = d + s + m + (n - m) = d + s + n, \quad (68)$$

where  $N$  is the total number of units at the outset,  $m$  is the size of the defective set (which is known to contain at least one defective) and  $n - m$  is the size of the binomial set. The likelihood  $L$  of the observed result (68) is given by

$$L = \binom{N - n}{d} p^d q^{N-n-d} (1 - q^m). \quad (69)$$

Then it is easily shown that

$$\frac{d}{dq} (\log L) = -\frac{d}{dp} (\log L) = -\frac{1}{pq} \left[ d - (N - n)p + \frac{mpq^m}{1 - q^m} \right]. \quad (70)$$

Setting the latter equal to zero, we find that, for  $m \neq 0$ , the maximum likelihood estimate  $\hat{q}$  of  $q$  is the root of

$$(N - n + m)(1 - \hat{q}^m)(1 - \hat{q}) - d(1 - \hat{q}^m) - m(1 - \hat{q}) = 0 \quad (71)$$

or, equivalently,

$$s - d \sum_{i=1}^m \hat{q}^i - (m + s)\hat{q}^m = 0 \quad (72)$$

and, for  $m = 0$ , we have  $\hat{q} = s/(d + s)$ , the usual estimate. For  $s = 0$  and  $m + d \geq 1$ , we get  $\hat{q} = 0$  and, for  $s = 1$ , it is easily seen, using the Descartes Rule of Signs, that (72) has exactly one root  $\hat{q}$  (allowing multiplicities) in the unit interval and hence  $\hat{q}$  is uniquely defined. The remaining case,  $s = m = d = 0$ , can only occur at the outset when there is no observations on which to base an estimate. It is interesting to note that the same result (71) or (72) can also be obtained by computing the conditional expected proportion of defectives among the  $N$  units, given the observed  $s$ ,  $d$ ,  $m$  and  $n$ , and setting it equal to  $1 - q$ . The equation thus obtained is the same as (71), and its root is  $\hat{q}$ .

The above method of getting an estimate is being suggested in connection with procedure  $R_1$ , but it can also be used in connection with

the procedures  $R_2$  and  $R_4$  (see Appendices) without any change. For procedures  $R_3$  and  $R_5$  we can have several defective sets and several binomial sets at any one time, and (71) then becomes

$$d + (1 - \hat{q}) \left[ \sum_{i=1}^I \frac{m_i}{1 - \hat{q}^{m_i}} - \left( N - \sum_{j=1}^J n_j' \right) \right] = 0, \quad (73)$$

where  $m_1, m_2, \dots, m_I$  are the sizes of the defective sets,  $n_1', n_2', \dots, n_J'$  are the sizes of the binomial sets, and

$$N = d + s + \sum_{i=1}^I m_i + \sum_{j=1}^J n_j'. \quad (74)$$

If the number of tests carried out is large (and hence  $N - n$  must be large), the maximum likelihood estimate is approximately normally distributed with expectation equal to the true value of  $q$  and variance given by

$$\sigma^2(\hat{q}) \cong \left[ E \left( \frac{d \log L}{dq} \right)^2 \right]^{-1}, \quad (75)$$

where  $m$  and  $n$  in (69) are to be regarded as chance variables. Taking expectation first for fixed  $m$  and  $n$  and then with respect to  $m$  and  $n$ , gives

$$\sigma^2(\hat{q}) \cong \left[ E \left( \frac{N - n}{pq} \right) + E \left( \frac{mq^{m-1}}{1 - q^m} \right)^2 \right]^{-1}. \quad (76)$$

Since  $mpq^{m-1} < 1 - q^m$  for all  $m$  and all  $q < 1$ , and since the expectation of a square is nonnegative, for asymptotically large  $N - n$

$$\frac{p^2q}{p + q[N - E(n)]} \leq \sigma^2(\hat{q}) \leq \frac{pq}{N - E(n)}. \quad (77)$$

In particular, if we continue to test until a fixed proportion  $\theta > 0$  of the  $N$  units are determined to be good or bad (i.e., until  $n/N = 1 - \theta$ , approximately) then, for asymptotically large  $N$  (so that  $N\theta$  is also large), we obtain

$$\frac{p^2q}{N\theta p + q} \leq \sigma^2(\hat{q}) \leq \frac{pq}{N\theta}. \quad (78)$$

For  $\theta = 1$  and large  $N$ , the two bounds are essentially equal and the common value is the same as for ordinary binomial sampling. In general, at any stage of experimentation it appears to be conservative to estimate  $\sigma^2(\hat{q})$  in the same way as for ordinary binomial sampling based on  $N - n$  observations, using the value of  $n$  that is actually realized at that time.

In regard to the procedure, if the size of the very first test group is based on past experience, the question arises as to whether this past experience should also enter into the second, third and other early estimates of  $q$ . If it does not enter, then in the early tests we may find sudden jumps from testing very small numbers to testing very large numbers and *vice versa*, both of which are undesirable. This makes it useful to find a method to continue to use past experience until the estimate of  $q$  (without using past experience) is stabilized. In the absence of past experience, this same feature may make it desirable to test several units one at a time before starting to use any group-testing procedure.

XI. SOME GENERALIZATIONS OF  $R_1$

Returning to the case of known probabilities  $q$ , we consider some generalizations of the same basic problem and, in each case, the appropriate generalization of the procedure  $R_1$ . The appropriate formulae will be given, but only a few simple computations will be carried out.

1. Two (or more) different kinds of units with known probabilities (say,  $q_1 \leq q_2$ ) of a good unit are present and both can be put into the same test group.

Let  $H_{11}(n_1, n_2)$  denote the expected number of tests required under the proposed procedure  $R_{11}$  if there are  $n_i$  units of type  $i$  with  $q = q_i (i = 1, 2)$  and the binomial chance variables associated with the units are mutually independent. Let  $G_{11}(m_1, m_2; n_1, n_2)$  denote the expected number of tests required under  $R_{11}$  if there is a defective set containing  $m_1$  units of type 1 and  $m_2$  units of type 2 (known to contain at least one defective among the  $m_1 + m_2$  units) and a binomial set containing  $n_1 - m_1 \geq 0$  of type 1 and  $n_2 - m_2 \geq 0$  of type 2. The recursion formulae corresponding to (6), (7) and (8) are

$$H_{11}(n_1, n_2) = 1 + \min \{q_1^x q_2^y H_{11}(n_1 - x, n_2 - y) + (1 - q_1^x q_2^y) G_{11}(x, y; n_1, n_2)\}, \tag{79}$$

where the minimum is over pairs  $(x, y)$  with  $0 \leq x \leq n_1, 0 \leq y \leq n_2$  and  $x + y \geq 1$ , and

$$G_{11}(m_1, m_2; n_1, n_2) = 1 + \min \left\{ \left( \frac{q_1^x q_2^y - q_1^{m_1} q_2^{m_2}}{1 - q_1^{m_1} q_2^{m_2}} \right) \cdot G_{11}(m_1 - x, m_2 - y; n_1 - x, n_2 - y) + \left( \frac{1 - q_1^x q_2^y}{1 - q_1^{m_1} q_2^{m_2}} \right) G_{11}(x, y; n_1, n_2) \right\}, \tag{80}$$

where the minimum is over pairs  $(x, y)$  with  $0 \leq x \leq m_1$ ,  $0 \leq y \leq m_2$  and  $1 \leq x + y \leq m_1 + m_2 - 1$ . The boundary conditions state that, for all  $q_1 \leq q_2$ ,

$$G_{11}(1, 0; n_1, n_2) = H_{11}(n_1 - 1, n_2) \quad \text{for all } n_1 \geq 1, n_2 \geq 0, \quad (81)$$

$$G_{11}(0, 1; n_1, n_2) = H_{11}(n_1, n_2 - 1) \quad \text{for all } n_1 \geq 0, n_2 \geq 1, \quad (82)$$

$$G_{11}(m_1, 0; n_1, 0) = G_1(m_1, n_1; q_1); \quad (83)$$

$$G_{11}(0, m_2; 0, n_2) = G_1(m_2, n_2; q_2),$$

$$H_{11}(n_1, 0) = H_1(n_1; q_1); \quad H_{11}(0, n_2) = H_1(n_2; q_2), \quad (84)$$

where the right-hand member of each equality in (83) and (84) refers to the basic procedure  $R_1$  defined by (6), (7) and (8).

It is clear that  $H_{11}(1, 0) = H_{11}(0, 1) = 1$  and  $H_{11}(0, 0) = 0$ . It follows from (80) that, for  $q_1 \leq q_2$ ,

$$G_{11}(1, 1; 1, 1) = \frac{2 - q_2 - q_1q_2}{1 - q_1q_2}, \quad (85)$$

and the rule is to test first the unit of type 2. Using this result, we can compute

$$H_{11}(1, 1) = 1 + \min(1, 2 - q_2 - q_1q_2), \quad (86)$$

and the rule is to test either unit separately if  $1 - q_2 - q_1q_2 > 0$  and to test both simultaneously if  $1 - q_2 - q_1q_2 \leq 0$ . The latter inequality is a direct generalization of the inequality  $1 - q - q^2 \leq 0$ , which played a prominent role in the basic procedure  $R_1$ . We state (without proof) that, if  $q_1 \leq q_2 < \frac{1}{2}(\sqrt{5} - 1) = 0.618$  (to three decimals), all testing is carried out one unit at a time.

2. Two (or more) experimenters may be working on a single set of  $N$  units by carrying out simultaneous, parallel group-tests and cooperating in such a way as to minimize the time required to accomplish the task.

It is clear that no saving can be effected in the expected total number of tests by having more than one experimenter. However, if the simultaneous tests are regarded as a stage, each of which lasts the same amount of time, then minimizing the expected number of stages is equivalent to minimizing the expected time required to accomplish the task. These remarks indicate that there may be some conflict in these two aims of reducing the expected time and the expected total number of tests. For this reason, it should be stated that our primary emphasis in this problem is to reduce the expected time.

Let  $m$  and  $m'$  denote the sizes of defective sets and let  $n - (m + m') \geq 0$  denote the size of the binomial set. Let  $H_{12}(n)$  denote the expected number of stages required for  $m = m' = 0$  by the proposed procedure  $R_{12}$ . Let  $G_{12}(m, m', n)$  denote the expected number of stages required by  $R_{12}$  if we have two defective sets of size  $m, m'$  and one binomial set of size  $n - m - m' \geq 0$ . Let  $G_{12}(m, 0, n)$  and  $G_{12}(0, m, n)$  be denoted by  $G_{12}(m, n)$ , so that  $G_{12}(0, n) = H_{12}(n)$ . The recursion formulae for  $R_{12}$  are

$$H_{12}(n) = 1 + \min_{\substack{x, y \geq 1 \\ x + y \leq n}} [q^{x+y}H_{12}(n - x - y) + q^x(1 - q^y)G_{12}(y, n - x) \tag{87}$$

$$+ q^y(1 - q^x)G_{12}(x, n - y) + (1 - q^x)(1 - q^y) G_{12}(x, y, n)],$$

$$G_{12}(m, m', n) = 1 + \min_{\substack{1 \leq x \leq m \\ 1 \leq y \leq m'-1}} \left\{ \left( \frac{q^x - q^y}{1 - q^m} \right) \left( \frac{q^y - q^{m'}}{1 - q^{m'}} \right) \cdot G_{12}(m - x, m' - y, n - x - y) \right.$$

$$+ \left( \frac{q^x - q^m}{1 - q^m} \right) \left( \frac{1 - q^y}{1 - q^{m'}} \right) G_{12}(m - x, y, n - x) \tag{88}$$

$$+ \left( \frac{1 - q^x}{1 - q^m} \right) \left( \frac{q^y - q^{m'}}{1 - q^{m'}} \right) G_{12}(x, m' - y, n - y)$$

$$\left. + \left( \frac{1 - q^x}{1 - q^m} \right) \left( \frac{1 - q^y}{1 - q^{m'}} \right) G_{12}(x, y, n) \right\}$$

and

$$G_{12}(m, n) = 1 + \min \left\{ \min_{\substack{x, y \geq 1 \\ x + y \leq m}} G_{12}'(x, y), \min_{\substack{1 \leq x \leq m-1 \\ 1 \leq y \leq n-m}} G_{12}''(x, y) \right\}, \tag{89}$$

where  $G_{12}'(x, y)$  and  $G_{12}''(x, y)$  are defined by

$$G_{12}'(x, y) = \left( \frac{q^{x+y} - q^m}{1 - q^m} \right) G_{12}(m - x - y, n - x - y) + \frac{q^x(1 - q^y)}{1 - q^m} G_{12}(y, n - x) + \frac{q^y(1 - q^x)}{1 - q^m} G_{12}(x, n - y) + \frac{(1 - q^x)(1 - q^y)}{1 - q^m} G_{12}(x, y, n) \tag{90}$$

and

$$\begin{aligned}
 G_{12}''(x, y) &= \frac{q^y(q^x - q^m)}{1 - q^m} G_{12}(m - x, n - x - y) \\
 &+ \frac{q^y(1 - q^x)}{1 - q^m} G_{12}(x, n - y) \\
 &+ \frac{(1 - q^y)(q^x - q^m)}{1 - q^m} G_{12}(m - x, y, n - x) \\
 &+ \frac{(1 - q^y)(1 - q^x)}{1 - q^m} G_{12}(x, y, n).
 \end{aligned}
 \tag{91}$$

The boundary conditions state that, for all  $q$ ,

$$\begin{aligned}
 G_{12}(m, 1, n) = G_{12}(1, m, n) = G_{12}(m, n - 1) \\
 \text{for } 0 \leq m \leq n - 1,
 \end{aligned}
 \tag{92}$$

$$G_{12}(1, n) = H_{12}(n - 1) \text{ for } n \geq 1,
 \tag{93}$$

$$H_{12}(0) = 0.
 \tag{94}$$

It is easy to see that  $H_{12}(1) = G_{12}(2, 2) = H_{12}(2) = 1$  and  $G_{12}(3, 4) = G_{12}(4, 4) = 2$  for all  $q$ .

*Remark 6:* The extra complication in (89) insures that, for  $n \geq 2$ , one experimenter will not be idle while another is carrying out a test.

*Remark 7:* It is conjectured that in (89) the possibility  $x + y = m$  can be omitted, with the exception of the single case  $m = n = 2$  (and  $m' = 0$ ).

*Remark 8:* It is also conjectured that  $G_{12}''$ , which is needed for the cases  $n > m = 2$ , can be disregarded when  $m > 2$ ; i.e., that  $G_{12}'$  always gives a smaller minimum for  $m > 2$ .

*Remark 9:* It is conjectured that, at any stage in which  $m = m' \geq 2$  or in which we have both  $m = m' = 0$  and  $n$  even, the two test group sizes,  $x$  and  $y$ , will be equal. If either  $m$  or  $m' = 0$ , it is conjectured that the two test group sizes will differ by at most unity.

Further calculations yield

		Test Group Sizes
		$\frac{x}{1D} \quad \frac{y}{1B}$
$G_{12}(2, 3) = \frac{2 + q}{1 + q}$	for all $q$	1D 1B, (95)
$G_{12}(3, 3) = \frac{2 + 2q + q^2}{1 + q + q^2}$	for all $q$	1D 1D, (96)

$$G_{12}(2, 4) = \begin{cases} 2 & \text{for } 0 \leq q < 0.682 \quad 1D \ 1B, \\ \frac{3 + q - q^3}{1 + q} & \text{for } 0.682 \leq q \leq 1.000 \quad 1D \ 2B, \end{cases} \quad (97)$$

$$G_{12}(2, 2, 4) = \frac{2 + 4q + q^2}{(1 + q)^2} \quad \text{for all } q \quad 1D \ 1D', \quad (98)$$

$$H_{12}(4) = \begin{cases} 2 & \text{for } 0 \leq q < 0.691 \quad 1B \ 1B, \\ 3 - 3q^2 + 2q^3 - q^4 & \text{for } 0.691 \leq q \leq 1.000 \quad 2B \ 2B, \end{cases} \quad (99)$$

where  $1B$  indicates that 1 unit is taken from the binomial set to form one of the two test-groups and  $D, D'$  denote different defective sets.

It is interesting to compare the above result for  $H_{12}(4)$  for  $q \geq 0.691$  with the procedure  $R_{12}^*$  of giving each experimenter two units to analyze independently of each other and without any mutual cooperation. Let  $T$  denote the total number of tests and  $S$  denote the number of stages required. Then, for  $q \geq 0.691$  (letting  $T_1, T_2$  denote the number of tests in two independent experiments with  $n = 2$  under  $R_1$ ), it is easily shown that

$$E\{S \mid R_{12}^*\} = E\{\max(T_1, T_2) \mid R_1\} = 3 - q^2 - q^4, \quad (100)$$

$$E\{T \mid R_{12}\} = 2H_{12}(4) - 2q - 2q^2 + 2q^3 - 2q^4. \quad (101)$$

Hence we find that, for  $q \geq 0.691$ ,

$$\begin{aligned} E\{S \mid R_{12}\} - E\{S \mid R_{12}^*\} \\ = H_{12}(4) - (3 - q^2 - q^4) = -2q^2(1 - q) \leq 0, \end{aligned} \quad (102)$$

$$\begin{aligned} E\{T \mid R_{12}\} - E\{T \mid R_{12}^*\} \\ = 6 - 2q - 2q^2 + 2q^3 - 2q^4 - 2H_1(2) = 2q^3(1 - q) \geq 0, \end{aligned} \quad (103)$$

which illustrates the fact that  $R_{12}$  effects an improvement in the expected number of stages at the expense of a slight increase in the expected total number of tests.

3. In this generalization we apply the restriction that any one unit can be included in at most  $K$  group-tests. This is particularly appropriate in the blood testing application, where a single blood sample can be used in a small number  $K$  of blood tests and the patient does not want to be annoyed by having more than one blood sample taken.

In this problem there is again only one defective set but it is now denoted by a vector  $\mathbf{m} = \{m_0, m_1, \dots, m_{\kappa-1}\}$ , where  $m_j \geq 0$  is the num-

ber of units that have already been included in  $j$  group-tests. Similarly, the union of the binomial and defective sets is denoted by

$$\mathbf{n} = \{n_0, n_1, \dots, n_{K-1}\}$$

and the binomial set alone is the difference  $\mathbf{n} - \mathbf{m}$ . The symbol for the size of the next test group will be  $\mathbf{x} = \{x_0, x_1, \dots, x_{K-1}\}$ , where  $x_j$  is the number of units taken from  $n_j$  in an  $H$ -situation (from  $m_j$  in a  $G$ -situation). The symbols  $x, m, n$  will be used for the sum of the components in the vectors  $\mathbf{x}, \mathbf{m}, \mathbf{n}$ , respectively. Let  $G_{13}^K(\mathbf{m}; \mathbf{n})$  denote the expected number of group-tests required to remove all defective units if the defective set is  $\mathbf{m}$  and the binomial set is  $\mathbf{n} - \mathbf{m}$ . If  $m = 0$ , we denote this expectation by  $H_{13}^K(\mathbf{n})$ . For the special case in which  $\mathbf{n}$  has all except one component (say,  $n_j$ ) equal to zero, we will drop the zeros and write  $H_{13}^K(n_j)$ , with a scalar argument. The recursion formulae for this procedure  $R_{13}^K$  are given by

$$H_{13}^K(\mathbf{m}) = 1 + \min_{\substack{\text{all } \mathbf{x} \text{ with} \\ 1 \leq x \leq n \text{ and} \\ 0 \leq x_j \leq n_j \\ (j=0, 1, \dots, K-1)}} \{q^x H_{13}^K(\mathbf{n} - \mathbf{x}) + (1 - q^x) G_{13}^K(\mathbf{x}; \mathbf{n})\}, \tag{104}$$

$$G_{13}^K(\mathbf{m}; \mathbf{n}) = 1 + \min_{\substack{\text{all } \mathbf{x} \text{ with} \\ 1 \leq x \leq m-1 \\ 0 \leq x_j \leq m_j \\ (j=0, \dots, K-1)}} \left\{ \left( \frac{q^x - q^m}{1 - q^m} \right) G_{13}^K(\mathbf{m} - \mathbf{x}; \mathbf{n} - \mathbf{x}) + \left( \frac{1 - q^x}{1 - q^m} \right) G_{13}^K(\mathbf{x}; \mathbf{n}) \right\}, \tag{105}$$

where, as usual,  $m > 1$ . The boundary conditions state that, for all  $q$ , we have  $H_{13}^K(\mathbf{0}) = 0$  and, for  $m = 1$ , we can write  $G_{13}^K(\mathbf{m}; \mathbf{n}) = H_{13}^K(\mathbf{n} - \mathbf{m})$ . It is easy to see that  $H_{13}^K(\mathbf{n}) = 1$  for  $n = 1$  and all  $q$ . Some further computations for  $n = 2$  and  $n = 3$  give, for any  $K$ :

	$q$ -interval	$x$ -value	$j$ -value
$H_{13}^K(2_j) =$	$\left\{ \begin{array}{l} H_1(2) \\ 2 \end{array} \right.$	$0 \leq q \leq 1.000$ $0 \leq q \leq 1.000$	$(\text{see } R_1) \quad 0 \leq j \leq K - 2$ $(0, \dots, 0, 0, 1) \quad j = K - 1$

$H_{13}^K(3_j) =$	$\left\{ \begin{array}{l} H_1(3) \\ 3 \\ 4 - q - q^2 \\ 4 - q^2 - 2q^3 \\ 3 \end{array} \right.$	$0 \leq q \leq 1.000$ $0 \leq q \leq 0.618$ $0.618 \leq q \leq 0.707$ $0.707 \leq q \leq 1.000$ $0 \leq q \leq 1.000$	$(\text{see } R_1) \quad 0 \leq j \leq K - 3$ $(0, \dots, 0, 1, 0)$ $(0, \dots, 0, 1, 0)$ $(0, \dots, 0, 3, 0)$ $(0, \dots, 0, 0, 1)$	$j = K - 2$ $j = K - 1$
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(107)

If  $K = 1$ , all units are tested individually. If  $K = 2$ , then, after a set of size  $m > 1$  is shown to be defective, all the units in that set are tested individually; this is the procedure recommended by Dorfman.<sup>1</sup> A more thorough investigation for particular values of  $K \geq 3$  will be given in a separate paper.

Under  $R_1$  for any  $H(n)$ -situation the maximum number of additional tests  $M^*(n)$  in which any particular unit will be included before experimentation is concluded (allowing a random reordering of units in the binomial and defective sets after every test) occurs when  $q$  is close to unity and the sample has all units defective. It is easily seen that, under  $R_1$ ,

$$M^*(n) = M(n) - (n - 1) = (n + 1)\alpha(n) + 3 - 2^{1+\alpha(n)}, \tag{108}$$

where  $\alpha(n)$  and  $M(n)$  are defined by (10) and (11). Under  $R_{13}^K$ , let  $j(\mathbf{n})$  denote the largest subscript associated with a nonzero component of  $\mathbf{n}$ . For  $K \geq M^*(n) + j(\mathbf{n})$ , the restriction that no unit should be included in more than  $K$  group-tests does not affect the procedure  $R_1$ , and hence we have for procedure  $R_{13}^K$

$$H_{13}^K(\mathbf{n}) = H_1(n) \quad \text{for } 0 \leq j(\mathbf{n}) \leq K - M^*(n), \tag{109}$$

which generalizes some results in (106) and (107) and shows that  $R_{13}^K$  is a generalization of  $R_1$ .

Since units in the last component of the defective or the binomial sets cannot be tested in groups, we can remove at any time for individual testing all units in the last component of the binomial set and all but one of the units in the last component of the defective set without affecting the expected number of tests under  $R_{13}^K$ . It is easy to show that this leads to the two reduction formulae

$$H_{13}^K(\mathbf{n}) = n_{K-1} + H_{13}^K(n_0, \dots, n_{K-2}, 0), \tag{110}$$

$$\begin{aligned} G_{13}^K(\mathbf{m}; \mathbf{n}) &= n_{K-1} + \left( \frac{1 - q^{-1+mK-1}}{1 - q^m} \right) H_{13}^K(n_0, \dots, n_{K-2}, 0) \\ &\quad + q^{-1+mK-1} \left( \frac{1 - q^{+1-mK-1}}{1 - q^m} \right) \\ &\quad \cdot [G_{13}^K(m_0, \dots, m_{K-2}, 1; n_0, \dots, n_{K-2}, 0) - 1], \end{aligned} \tag{111}$$

which are useful in computations and for checking.

It is conjectured that, for  $m = 0$  or  $m > 1$ , the procedure  $R_{13}^K$  can always be carried out by putting in the next test-group only units that have been included in the same number of group tests (i.e., units in the same subset); the only possible exception to this is that, in any  $H$ -situa-

tion, if  $q$  is sufficiently close to unity, then  $R_{13}^K$  will call for a test of all the remaining units; i.e.,  $\mathbf{x} = \mathbf{n}$ .

Under the above conjecture, it is possible to carry out a simplification as in Section V and show, in direct analogy with (19), that

$$F_{13}^{*K}(\mathbf{m}) = \sum_{i=1}^m q^{i-1} + \min_{1 \leq x \leq m_{i-1}} \{q^x F_{13}^{*K}(\mathbf{m} - \mathbf{x}) + F_{13}^{*K}(\mathbf{x})\}, \quad (112)$$

where  $\mathbf{x}$  has  $x_i$  in the  $(i + 1)$ th position and zeros elsewhere (so that  $x = x_i$ ), and  $i$  is defined as the subscript associated with the first non-zero component of  $\mathbf{m}$ . The function  $F_{13}^{*K}(\mathbf{m})$  is defined as the expected number of group-tests required to reach the next  $H$ -situation, and then we define, as in Section V,

$$F_{13}^{*K}(\mathbf{m}) = \left( \frac{1 - q^m}{1 - q} \right) F_{13}^K(\mathbf{m}). \quad (113)$$

Hence under the above conjecture it is again seen that, for any  $G$ -situation with  $m > 1$ , the next test-group  $\mathbf{x}$  depends on  $\mathbf{m}$  but is independent of  $\mathbf{n} - \mathbf{m}$ .

It appears to be true (but has not been rigorously proved) that, in this case also, for  $q < q_0 = 0.618$  (to three decimal places) all units are tested one at a time.

## XII. AN ASYMPTOTIC FORMULA FOR $H_1(n)$

In this section we shall use results obtained by considering an information procedure  $R_2$ , which is defined in Appendix A. The procedure  $R_2$  appears to be a best test in the sense that it maximizes the information in the very next test but does not take into account the exact finite number of units present and the possible ways of distributing them among subsequent tests. It is therefore intuitively reasonable to expect that the procedure  $R_1$  tends toward  $R_2$  in the  $H$ -situation as  $n \rightarrow \infty$  and also in the  $G$ -situation as  $m \rightarrow \infty$ . A more rigorous proof of this assertion would be desirable. It should also be pointed out that there is considerable numerical evidence in Tables IIIA and IIIB of the above assertion, which explains the reason for putting opposite  $m = \infty$  and  $n = \infty$  in these tables the polynomial equations

$$1 - q^x - q^{x+1} = 0 \quad (x = 1, 2, \dots), \quad (114)$$

which are derived for procedure  $R_2$  in Appendix A.

We shall now derive an asymptotic formula for  $H_1(n)$  for large  $n$  based on the assumption that the above reasoning is correct. For large

values of  $n$  and fixed  $q$ , the expected number of tests required under procedure  $R_1$  is approximately given by

$$H_1(n) \cong n \left( \frac{\text{expected number of tests needed to reach the next } H\text{-situation under } R_1}{\text{expected number of units analyzed between } H\text{-situations under } R_1} \right). \quad (115)$$

The ratio of  $n$  to the denominator in (115) is the approximate number of  $H$ -situations reached if we start with  $n$  units, and this is clearly to be multiplied by the expected number of tests required to proceed from one  $H$ -situation to the next. Let  $T$  and  $U$  denote the chance variables in the numerator and denominator, respectively, of (115). For a fixed  $q$  we find from the limiting procedure  $R_2$  that, for an  $H(n)$ -situation with  $n$  large, we will, under  $R_1$ , "almost always" be using the same test-group size  $x$ , where  $x$  is that positive integer for which  $q^x$  is closer to one-half than is either  $q^{x-1}$  or  $q^{x+1}$ . Then, for this fixed integer  $x$ , which depends on the given  $q$ , we have

$$E\{U | R_1\} = xq^x + p \sum_{j=1}^x jq^{j-1} = \frac{1 - q^x}{1 - q}, \quad (116)$$

which is obtained by assuming a single randomization of the order of the units at the outset and considering the different possible positions of the first defective.

Since  $F_1(x)$  is the expected number of tests required under  $R_1$  to get from a  $G(m, n)$ -situation to the next  $H(n)$ -situation, we have

$$E\{T | R_1\} = q^x + (1 - q^x)[1 + F_1(x)] = 1 + pF_1^*(x), \quad (117)$$

where  $F_1^*(x)$  is tabulated in Table IV A for  $x = 2(1)16$  and all values of  $q$ . Hence, we obtain from (115), (116) and (117)

$$H_1(n) \cong \frac{np[1 + pF_1^*(x)]}{1 - q^x}, \quad (118)$$

where  $x$  is defined above in terms of  $q$ . This is the main result of this section; we now consider some special cases.

For values of  $q$  close to unity we can use (23) to replace  $F_1^*(x)$  by an explicit expression. If we also replace  $(1 - q^x)/(1 - q)$  by  $z$  for  $q$  close to unity, we obtain for  $q$  close to unity

$$H_1(n) \cong \frac{n}{x} \{1 + p[x\alpha(x) + 2\beta(x)]\}, \quad (119)$$

where  $\alpha(x)$  and  $\beta(x)$  are defined in (20a). If  $q$  approaches unity,  $x$  be-

comes large; if  $\alpha(x) \geq 2$ , then  $2\beta(x) < 2^{\alpha(x)+1} \leq x\alpha(x)$  and, since  $\alpha(x) \rightarrow \infty$ , it follows that we can disregard  $2\beta(x)$  in (119). For  $q$  close to unity and  $x$  large, the dividing points get closer and closer, and we obtain

$$0 \cong 1 - q^x - q^{x+1} \cong 1 - 2q^x, \tag{120}$$

so that  $x \cong \left[ \log_2 \left( \frac{1}{q} \right) \right]^{-1}$ . Also, from the definition of  $\alpha(x)$ ,

$$2^{\alpha(x)} \leq x \leq 2 \cdot 2^{\alpha(x)}, \tag{121}$$

so that

$$\log_2 \left( \frac{x}{2} \right) \leq \alpha(x) \leq \log_2 (x). \tag{122}$$

Using the upper value in (122) gives

$$H_1(n) \cong n \log_2 \left( \frac{1}{q} \right) + np \log_2 \left[ \log_2 \left( \frac{1}{q} \right) \right]^{-1}. \tag{123}$$

It can be shown that the first term in (123) goes to zero faster than the second as  $q$  approaches unity, and hence we drop the first term and re-write the second in the form

$$H_1(n) \cong -np \log_2 p. \tag{124}$$

In particular, if  $p = 1/n$  so that  $q = 1 - (1/n)$ , we obtain from (124)

$$H_1(n) \cong \log_2 n. \tag{125}$$

In this case, we also have  $x = n = 1/p$ , and a better estimate is obtained by setting  $q^x = e^{-1}$  in (23) and (118). Assuming that (23) is either equal to  $F_1^*(x)$  or is a good approximation to it, we obtain

$$H_1(n) \cong \frac{n}{x} \left[ \frac{1 + pF_1^*(x)}{1 - e^{-1}} \right] = \frac{n}{x} \left[ 1 + \alpha(x) + \frac{e^{2\beta(x)/x}}{e - 1} \right]. \tag{126}$$

For the case  $n = N = 100$  and  $q = 0.99$ , we obtain 8.32 as the exact value from Table V C;  $\log_2 100 = 6.64$ , from (125); 7.79, from (119); and 8.20 from (126).

A rough lower bound on  $H(n)$  for any procedure can be easily obtained from information theory. The total information in  $n$  units is

$$-n(p \log_2 p + q \log_2 q),$$

and this is to be equated with the product of the expected number of tests  $H(n)$  and the average information obtained per group-test. Since

the maximum information per group-test (in bits) is unity, we obtain for any procedure

$$H(n) \geq -n(p \log_2 p + q \log_2 q). \quad (127)$$

For  $n = N = 100$  and  $q = 0.99$ , this gives 8.09 as a lower bound. Since a result better than 8.09 is impossible, the smallness of the difference  $8.32 - 8.09 = 0.23$  is an indication of how far  $R_1$  can possibly be from an optimal solution. However, it should not be inferred that the lower bound (127) can be reached for any value of  $q$  (except possibly for  $p = q = \frac{1}{2}$ ) by any procedure. In fact, for  $q < \frac{1}{2}$  and  $q$  decreasing towards zero, it has been shown<sup>4</sup> that an optimal procedure must have  $H(n) = n$ , whereas the right member of (127) approaches zero.

It has been pointed out to the authors by S. W. Roberts that a lower bound for  $G(m, n)$  for any procedure is easily shown to be

$$\begin{aligned} G(m, n) &\geq \sum_{i=1}^m \frac{pq^{i-1}}{1-q^m} \left[ \log_2 \left( \frac{pq^{i-1}}{1-q^m} \right) \right. \\ &\quad \left. + (n-i)(p \log_2 p + q \log_2 q) \right] \\ &= \frac{1}{1-q^m} [q^m \log_2 q^m + (1-q^m) \log_2 (1-q^m)] \\ &\quad - \left( n + \frac{mq^m}{1-q^m} \right) (p \log_2 p + q \log_2 q). \end{aligned} \quad (128)$$

### XIII. LACK OF OPTIMALITY OF PROCEDURE $R_1$

To illustrate the fact that  $R_1$  is not optimal in the general case when units are identifiable and "mixing" of units from the binomial and defective sets is allowed, we shall describe a method of obtaining an improvement on  $R_1$ . It is sufficient to consider the case  $N = 3$ , but the case  $N = 4$  is more typical, and we shall use the latter. Let  $R_0^*$  denote a procedure for  $N = 4$ , part of which is described by Fig. 2 and the remaining part of which is arbitrary. (We can therefore also regard  $R_0^*$  as a set of procedures, with the common part shown in Fig. 2.) Let  $a_1, a_2, b_1, b_2$  denote individual units; it will be assumed that the  $a$ -units are distinguishable from the  $b$ -units. The part of Fig. 2 enclosed by dashed lines is different from  $R_1$ , since it includes mixing; the rest of the procedure agrees with  $R_1$  for  $q$  close to unity. For  $q$  close to unity and  $m = 2$ , after the first two group-tests result in failure, we should act as if there was exactly one defective present until it is proved otherwise. Then, for  $q$  close to unity, the above procedure  $R_0^*$  terminates in one or two ad-

TEST  
NUMBER

PROCEDURE  $R_0^*$  (STARTING WITH  $H(4)$ -SITUATION)

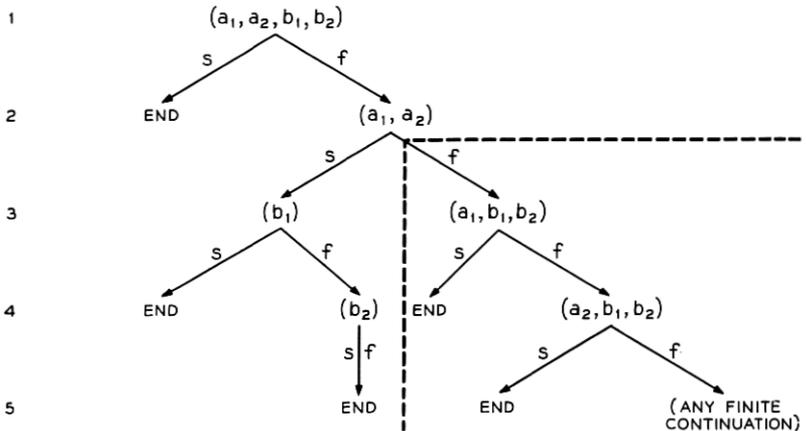


Fig. 2—Procedure  $R_0^*$ .

ditional tests with probability close to  $\frac{1}{2}$  for each. More precisely, we obtain for the conditional expected number of additional tests required under  $R_0^*$ , given that the first two tests result in a failure,

$$G(2, 4 | R_0^*) = \frac{pq^3}{1 - q^2} + \frac{2pq^3}{1 - q^2} + \frac{p^2f(q)}{1 - q^2} = \frac{3q^3 + pf(q)}{1 + q}, \quad (129)$$

where  $f(q)$  is a polynomial in  $q$ . In comparison, we have under  $R_1$  for  $0.707 < q < 1.000$

$$\begin{aligned} G_1(2, 4) &= 1 + \frac{pH_1(3)}{1 - q^2} + \frac{pqH_1(2)}{1 - q^2} \\ &= \frac{1}{1 + q} (6 + 2q - 2q^2 - 2q^3). \end{aligned} \quad (130)$$

For  $q$  approaching unity, the value in (129) approaches  $\frac{3}{2}$ , while that in (130) approaches 2. This proves that any finite continuation in Fig. 2 will be better than  $R_1$  for  $q$  sufficiently close to unity. In a particular procedure to be discussed in a separate paper, the dividing point for the  $G(2, 4)$ -situation between “no-mixing” and “mixing” is

$$q = (1 + \sqrt{33})/8 = 0.843$$

(to three decimal places). The maximum improvement over  $R_1$  for  $n = 4$  in the expected number of tests required for the  $H$ -situation is a decrease of 0.04. The price to be paid for this improvement will be an increase in the complexity of the procedure.

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## APPENDIX A

*The Information Procedure*

Another procedure which was investigated is based on choosing that value of  $x$  which maximizes the "amount of information" that the next test will give. The amount of information in a test with two outcomes is  $p \log_2(1/p) + q \log_2(1/q)$  if  $p$  is the probability of either outcome. Hence, equating the information in an  $H$ -situation obtained by taking  $x$  and  $x + 1$  in the next test gives

$$\begin{aligned} q^x \log_2 q^x + (1 - q^x) \log_2 (1 - q^x) \\ = q^{x+1} \log_2 q^{x+1} + (1 - q^{x+1}) \log_2 (1 - q^{x+1}), \end{aligned} \quad (131)$$

whose root will be used as a dividing point between  $x$  and  $x + 1$ . It is easy to verify that, for any integer  $x$  and any integer  $n \geq x + 1$ , the unique positive root of (131) is also the unique positive root of

$$1 - q^x - q^{x+1} = 0. \quad (132)$$

It is interesting to note that the solution implicit in (132) is easily seen to be equivalent to finding that positive integer  $x$  (for fixed, known  $q$ ) for which  $q^x$  is closer to  $\frac{1}{2}$  than is either  $q^{x-1}$  or  $q^{x+1}$ . In fact, by (132), the right endpoint of the interval for  $x$  is such that  $q^x$  and  $q^{x+1}$  are centered about  $\frac{1}{2}$  and the left endpoint of the interval for  $x$  is such that  $q^x$  and  $q^{x-1}$  are centered about  $\frac{1}{2}$ . Similarly, for the  $G$ -situation with  $m > 1$ , we equate

$$\left( \frac{q^x - q^m}{1 - q^m} \right) \log_2 \left( \frac{q^x - q^m}{1 - q^m} \right) + \left( \frac{1 - q^x}{1 - q^m} \right) \log_2 \left( \frac{1 - q^x}{1 - q^m} \right) \quad (133)$$

with the same expression, except that  $x$  is replaced by  $x + 1$ , and find

that, for any  $n \geq m > 1$ , the dividing point between  $x$  and  $x + 1$  is the unique root in the interior of the unit interval (if it exists) of

$$1 - q^x - q^{x+1} + q^m = 0. \quad (134)$$

If we remove the root  $q = 1$  in (134), the dividing point is the unique positive root (if it exists) of

$$1 + q + q^2 \cdots + q^{x-1} - q^{x+1} - q^{x+2} - \cdots - q^{m-1} = 0. \quad (135)$$

If the root does not exist for some  $m > 1$ , then  $x + 1$  will never be used for that  $m$ . It should be noted that the left member of (134) is a strictly increasing function of  $x$  and, for  $x \geq (m - 1)/2$ ,  $m > 1$  and any fixed  $q$  with  $0 \leq q < 1$ , we have

$$1 - q^x - q^{x+1} + q^m \geq (1 - q^{(m-1)/2})(1 - q^{(m+1)/2}) > 0. \quad (136)$$

It follows that the highest value of  $x$  for which a nondegenerate root exists is such that  $x + 1 < (m + 1)/2$  and hence, under this procedure, we never take a test group of size greater than  $m/2$ . It is interesting to note that the dividing points for any  $G$ -situation do not depend on  $n$ .

These equations define a new procedure  $R_2$ , which we shall also call the *information procedure*. For this, let  $F_2(m)$  denote the expected number of group-tests required to "break up" a defective set of size  $m$ , i.e., to reach an  $H$ -situation. Let  $F_2^*(m) = (1 - q^m)p^{-1}F_2(m)$ . Then we can write as in (20), for any  $n$  and for the appropriate interval where the next test group is of size  $x$ ,

$$F_2^*(m | x) = \sum_{i=1}^m q^{i-1} + q^x F_2^*(m - x) + F_2^*(x) \quad (m > 1), \quad (137)$$

$$H_2(n | x) = 1 + q^x H_2(n - x) + p F_2^*(x) + p \sum_{i=1}^x q^{i-1} H_2(n - i). \quad (138)$$

The boundary conditions state that  $F_2^*(1) = H_2(0) = 0$  for all  $q$ . Those expressions for  $F_2^*(m)$  which are used to generate expressions for  $H_2(n)$  for  $2 \leq m \leq n \leq 12$  are given in Table VIII; the resulting expressions for  $H_2(n)$  (with  $x$ -values) are given in Table VI. Table VII gives the dividing points for  $n = 1(1)100$  and for  $m = 1(1)16, 20(5)100$  for procedure  $R_2$ .

It should be noted that the  $F_2^*(m)$  as well as the  $H_2(n)$ -function are not all continuous. At the point of discontinuity the  $x$  corresponding to the smaller expectation should be used.

It is interesting to observe in the numerical comparisons of Table III A

that the procedure  $R_2$  compares quite favorably with the procedure  $R_1$ . In addition, the fact that the dividing points are easier to compute makes it better for practical applications, since the dividing points for  $R_1$  are only known exactly up to  $n = 16$ . It is also interesting to note that the limiting expressions in Table III A as  $n \rightarrow \infty$  and in Table III B as  $m \rightarrow \infty$  are the same as (132).

It is interesting to note that a succession of modifications  $R_2^{(j)}$  ( $j = 1, 2, \dots$ ) of the information procedure,  $R_2$ , are possible such that  $R_2^{(1)} = R_2$  and  $R_2^{(j)} = R_1$  for  $j \geq M(m, n)$ . Here  $M(m, n)$ , as defined in (10), is the maximum number of group-tests required if we start with a  $G(m, n)$ -situation [where  $G(0, n)$  corresponds to  $H(n)$ ]. Under the procedure  $R_2^{(j)}$  we find and use that  $x$  which maximizes the ratio of the information expected from at most  $j$  group-tests to the conditional expected number of tests required given that we will stop after at most  $j$  group-tests. In the special case when there is no possibility of stopping before  $j$  tests, we can disregard the denominator and simply maximize the information. For the case  $j = 1$  this is clearly equivalent to  $R_2$ . For  $j \geq M(m, n)$  the information expected from at most  $j$  tests is the same regardless of what  $x$  is used next and of what sample path is taken, since all units are then analyzed. Hence, the numerator above can be disregarded and the problem is to minimize the denominator or expected number of tests. Under the assumption of "no-mixing" of units from the binomial and defective sets, this gives the procedure  $R_1$ .

For any  $H(n)$ -situation with  $n \geq 4$  and  $j \geq 2$ , these procedures appear to eliminate the possibility of taking  $n - 1$  units in the next test-group. For example, if  $n = 4$ ,  $j = 2$  and  $q > 0.618$ , then we will want to compare  $x = 2$  and  $x = 3$ . For  $j = 1$ , the dividing point between  $x = 2$  and  $x = 3$  is  $q = 0.755$ . Since neither  $x = 2$  nor  $x = 3$  can result in termination after one test, we can disregard the denominator and compare for  $x = 2$  and  $x = 3$  the information expected from two group-tests. After simplification, the difference between the results expected after  $x = 2$  and  $x = 3$  can be written as

$$p^2q[(1 + q) \log_2 (1 + q) - q \log_2 q] \geq 0, \quad (139)$$

which shows that  $x = 2$  is preferable to  $x = 3$  for all  $q > 0.618$ . The same result holds for all  $j \geq 2$ . Then we find that the dividing point between  $x = 2$  and  $x = 4$  for  $j = 2$  is the nondegenerate root between zero and unity of

$$(2 - 2q - q^4 - q^5 - 2q^7)q \log_2 q - (2 + q^6) \\ (1 - q^2) \log_2 (1 + q) - q^4(1 - q^4) \log_2 p = 0, \quad (140)$$

which is 0.789 to three decimal places. For  $j \geq 8 = M(0,4)$  the corresponding dividing point for  $R_2^{(j)} = R_1$  is the root of  $1 - q^2 - q^4 = 0$ , or 0.786 to three decimal places. Curiously enough, the same result 0.786 is also the dividing point between  $x = 2$  and  $x = 4$  for  $j = 1$ .

For the special case  $x = 1$ , we state without proof that, for any  $H(n)$ -situation, the dividing point between  $x = 1$  and  $x = 2$  is again  $\frac{1}{2}(\sqrt{5} - 1) = 0.618$  to three decimal places.

Formulae for the expected number of tests under  $R_2^{(j)}$  for  $1 < j < M(m,n)$  have not been derived in this paper.

## APPENDIX B

### *Definition of Procedure $R_3$*

It may happen in some problems that recombination is undesirable or impossible, or it may be that we are interested in finding out just how much is saved by allowing recombinations. Both are good reasons for considering a procedure  $R_3$  that is similar to  $R_1$  except that recombinations are not allowed. This simply means that any two operationally formed sets cannot be combined to form a new set from which subsequent test-groups are to be taken. In procedure  $R_1$  the possibility of mixing proper subsets of two different sets was never used, and the same will be true for  $R_3$ . If both recombinations and mixing are not used, then, as the experiment continues, the operationally formed sets can only be broken down further into smaller and smaller sets, yielding a nested set of partitions; i.e., any two units separated at some stage remain separated in subsequent stages. It follows that any defective set present is not affected by the number or size or nature of other sets present. Hence, we define  $G_3(m)$ , with a single argument, as the conditional expected number of group-tests required to remove all the defectives from a set of size  $m$  which is known to have at least one defective.

The recursion formulae for  $R_3$  are, for  $n \geq 1$  and  $m > 1$ ,

$$H_3(n) = 1 + \min_{1 \leq x \leq n} \{q^x H_3(n-x) + (1-q^x)[G_3(x) + H_3(n-x)]\}, \quad (141)$$

$$G_3(m) = 1 + \min_{1 \leq x \leq m-1} \left\{ \left( \frac{q^x - q^m}{1 - q^m} \right) G_3(m-x) + \left( \frac{1 - q^x}{1 - q^m} \right) [G_3(x) + H_3(n-x)] \right\}, \quad (142)$$

with boundary conditions  $H_3(0) = G_3(1) = 0$  for all  $q$ . If we let  $G_3^*(m)$  denote  $(1 - q^m)p^{-1}G_3(m)$  and simplify, we obtain

$$H_3(n) = 1 + \min_{1 \leq x \leq n} \{H_3(n-x) + pG_3^*(x)\}, \quad (143)$$

$$G_3^*(m) = \sum_{i=1}^m q^{i-1} + \min_{1 \leq x \leq m-1} \left\{ G_3^*(x) + q^x G_3^*(m-x) \right. \\ \left. + H_3(n-x) \left( \sum_{i=1}^x q^{i-1} \right) \right\}, \quad (144)$$

with boundary conditions  $H_3(0) = G_3^*(1) = 0$  for all  $q$ .

Numerical comparisons of the results for  $R_1$  and  $R_3$  are given in Tables II A and II B.

#### APPENDIX C

##### *Definition of Two Halving Procedures*

Two "halving" procedures  $R_4$  and  $R_5$  are defined below, the principal purpose being to compare the results on the expected number of tests required with comparable results for  $R_1$  and  $R_3$ . The procedure  $R_4$  allows recombinations exactly as in  $R_1$ , while  $R_5$  is the same as  $R_4$  except that recombinations are not allowed. Both  $R_4$  and  $R_5$  are of particular interest, since they can be carried out without knowing the true value of  $q$ .

The procedure  $R_4$  is carried out like  $R_1$  except that, if the defective set is of size  $m > 1$ , the next test group is a subset of size  $m' = [m/2]$  (i.e., the largest integer contained in  $m/2$ ) randomly selected from the defective set and, if  $m = 0$  or  $1$ , the entire binomial set is used in the next test-group. In particular, we start with all  $N$  units in the first test-group. The recursion formulae for  $R_4$  are

$$H_4(n) = q^n + (1 - q^n)[1 + G_4(n, n)] = 1 + (1 - q^n)G_4(n, n), \quad (145)$$

$$G_4(m, n) = 1 + \left( \frac{1 - q^{m'}}{1 - q^m} \right) G_4(m', n) \\ + q^{m'} \left( \frac{1 - q^{m-m'}}{1 - q^m} \right) G_4(m - m', n - m') \quad (m > 1), \quad (146)$$

with the same boundary conditions as in  $R_1$ . If we let  $F_4(m)$  denote the expected number of tests required to break up a defective set of size  $m$ , it can be shown as in the case of  $R_1$  that

$$G_4(m, n) = F_4(m) + \left( \frac{p}{1 - q^m} \right) \sum_{i=1}^m q^{i-1} H_4(n - i). \quad (147)$$

If we let

$$G_4^*(m, n) = \left( \frac{1 - q^m}{1 - q} \right) G_4(m, n) \quad \text{and} \quad F_4^*(m) = \left( \frac{1 - q^m}{1 - q} \right) F_4(m), \quad (148)$$

the recursion formulae for  $R_4$  reduce to

$$F_4^*(m) = \sum_{i=1}^m q^{i-1} + F_4^*(m') + q^{m'} F_4^*(m - m') \quad (m > 1), \quad (149)$$

$$\begin{aligned} H_4(n) &= 1 + pG_4^*(m, n) \\ &= 1 + p \left\{ F_4^*(n) + \sum_{i=1}^n q^{i-1} H_4(n - i) \right\}, \end{aligned} \quad (150)$$

with boundary conditions  $F_4^*(1) = H_4(0) = 0$  for all  $q$ . For  $n \leq 5$ , the results are the same as those for  $R_1$ , if we take  $q$  close to unity in the formulae for  $R_1$ . The results for  $H_4(n)$  for  $n = 6(1)12$  are, for all  $q$ :

$$\begin{aligned} H_4(6) &= 14 - 9q - 2q^2 - q^3 - q^5, \\ H_4(7) &= 17 - 11q - 3q^2 - q^3 + q^4 - 2q^5, \\ H_4(8) &= 21 - 14q - 4q^2 - q^3 + q^4 - 2q^5, \\ H_4(9) &= 25 - 18q - 4q^2 - q^3 + q^4 - 2q^5 + q^7 - q^9, \\ H_4(10) &= 29 - 22q - 4q^2 + q^4 - 3q^5 + q^7 - q^9, \\ H_4(11) &= 33 - 26q - 4q^2 + q^3 - 4q^5 + 2q^6 + q^7 - q^8 - q^9, \\ H_4(12) &= 37 - 29q - 4q^2 - 4q^5 + 2q^6 + q^7 - q^8 - q^9. \end{aligned} \quad (151)$$

The recursion formulae for the halving procedure  $R_5$ , which continues to separate sets into smaller and smaller subdivision, are

$$H_5(n) = 1 + (1 - q^n)G_5(n), \quad (152)$$

$$\begin{aligned} G_5(m) &= 1 + \left( \frac{1 - q^{m'}}{1 - q^m} \right) [G_5(m') + H_4(m - m')] \\ &\quad + q^{m'} \left( \frac{1 - q^{m-m'}}{1 - q^m} \right) G_5(m - m') \quad (m > 1), \end{aligned} \quad (153)$$

where  $m'$  is defined as above. Here it was not necessary to use a double argument with  $G$  because there is no recombination allowed. The bound-

any condition is  $G_5(1) = 0$  for all  $q$ . If we define  $G_4^*(m)$  as in (153) and use (152), the recursion formulae reduce to

$$H_5(n) = 1 + pG_5^*(n), \tag{154}$$

$$G_5^*(m) = \sum_{i=1}^{m'} q^{i-1} + \sum_{i=1}^m q^{i-1} + G_5^*(m') + G_5^*(m - m') \quad (m > 1), \tag{155}$$

with the boundary condition  $G_5^*(1) = 0$  for all  $q$ . For  $n \leq 3$ , the results are the same as for  $R_1$  if we take  $q$  close to unity in the formulae for  $R_1$ . The results for  $H_5(n)$  for  $n = 4(1)12$  are:

$$\begin{aligned} H_5(4) &= 7 - 2q - 3q^2 && - q^4, \\ H_5(5) &= 9 - 3q - 3q^2 - q^3 && - q^5, \\ H_5(6) &= 11 - 4q - 2q^2 - 3q^3 && - q^6, \\ H_5(7) &= 13 - 4q - 4q^2 - 2q^3 - q^4 && - q^7, \\ H_5(8) &= 15 - 4q - 6q^2 && - 3q^4 && - q^8, \\ H_5(9) &= 17 - 5q - 6q^2 - q^3 - 2q^4 - q^5 && - q^9, \\ H_5(10) &= 19 - 6q - 6q^2 - 2q^3 && - 3q^5 && - q^{10}, \\ H_5(11) &= 21 - 7q - 5q^2 - 4q^3 && 2q^5 - q^6 && - q^{11}, \\ H_5(12) &= 23 - 8q - 4q^2 - 6q^3 && - 3q^6 && - q^{12}. \end{aligned} \tag{156}$$

APPENDIX D

*Known Procedures*

An attempt has been made to put the Dorfman procedure<sup>1</sup> and the Sterrett procedure<sup>3</sup> in the best form that is comparable with the other procedures treated here. For each of  $N = 4, 8$  and  $12$ , we have found the division into equal (or approximately equal) subsets such that the Dorfman plan of testing defective sets one at a time gives the smallest possible expected number of tests required. It should not be inferred that these results would be the same if a straightforward application of the tables published by Dorfman and Sterrett, respectively, were made, since their tables are only concerned with very large  $N$ . In the Dorfman plan we use a common test-group size for binomial sets and, for defective sets, the units are all tested one at a time. In the Sterrett plan, there is a common test group size for binomial sets at the outset and, for defective sets, the units are tested one at a time only until a defective unit is found. Then the remaining units, from that defective set only, are pooled and tested. This is continued until that particular defective set is completely analyzed before we start with other sets. We have also assumed that

logical inference would be used whenever possible in the Dorfman and Sterrett procedures.

For the Dorfman procedure  $R_7$ , if the common group size is  $c$ , then, for any binomial set of size  $n$  (where  $n \leq c$ ), we obtain

$$\begin{aligned} H_7(n) &= q^n + (1 - q^n) \left( n + 1 - \frac{pq^{n-1}}{1 - q^n} \right) \\ &= n + 1 - q^{n-1} - (n - 1)q^n. \end{aligned} \quad (157)$$

For example, for  $N = 12$  and  $q = 0.90$ , we find that  $c_1 = c_2 = c_3 = 4$  gives the best results and, using (157), with  $c = 4$ , we obtain

$$H_7(12) = 3H_7(4) = 3(5 - q^3 - 3q^4) = 6.908. \quad (158)$$

For the Sterrett procedure  $R_6$ , if the group size at the outset is  $c$ , then, for each binomial set of size  $n$  (where  $n \leq c$ ), we obtain

$$\begin{aligned} H_6(n) &= q^n + p[2 + H_6(n - 1)] + qp[3 + H_6(n - 2)] \\ &\quad + \cdots + q^{n-2}p[n + H_6(1)] + q^{n-1}pn \\ &= q^n + npq^{n-1} + p \sum_{i=2}^n iq^{i-2} + p \sum_{i=1}^{n-1} q^{i-1}H_6(n - i), \end{aligned} \quad (159)$$

with boundary condition  $H_6(1) = 1$  for all  $q$ . It can be verified (we omit the details) that the solution of this system is given by

$$H_6(n) = (2n - 1) - (n - 1)q - \sum_{i=2}^n q^i. \quad (160)$$

For example, for  $N = 12$  and  $q = 0.90$ , we find that  $c_1 = c_2 = c_3 = 4$  gives the best results and, using (160), with  $c = 4$ , we obtain

$$H_6(12) = 3H_6(4) = 6.315. \quad (161)$$

## APPENDIX E

### *Cost Considerations*

In this Appendix we introduce another procedure  $R_8$ , which brings into play the cost of throwing away a good unit and balances it against the cost of conducting another group-test. It is interesting to note that  $R_8$  was the solution given when the problem was first brought to the authors' attention in a practical application.

For procedure  $R_8$  we divide all the  $N$  units into approximately equal subsets of size  $x'$ , where  $x'$  is the nearest positive integer to the solution in  $x$  of

$$(1 - p)^x = \frac{1}{2}, \quad (162)$$

where  $p$  is the known *a priori* probability of a unit being defective. It is assumed here that  $p \ll \frac{1}{2}$ . Each subgroup is tested either simultaneously or in sequence, and good subgroups are removed. Assuming  $x' > 1$ , subsets shown to contain at least one defective are pooled. Since the same number of defectives has now been put into a pooled set of approximate size  $N/2$ , it follows that the probability of drawing a defective from the pooled set is approximately double the original *a priori* probability  $p$ . Then the pooled subset is again divided into approximately equal subsets of size  $x''$ , where  $x''$  is the nearest positive integer to the solution of

$$(1 - 2p)^x = \frac{1}{2}. \quad (163)$$

The process is repeated (say) a total of  $t$  times. If  $tp$  gets larger than  $\frac{1}{2}$ ,  $x$  is taken to be unity and the units would then be tested one at a time. However, it may be more economical to stop the procedure before  $tp$  reaches  $\frac{1}{2}$  and scrap the pooled defective subgroup. The amount of saving may be substantial if the cost of manufacturing a unit, say  $c_0$ , is small compared to the cost of each group-test, say  $c_1$ .

Suppose, for example, that we start with  $N = 8$  units and  $q$  is given to be 0.90. The approximate solution of (162) is  $x' = 7$  but, since this leaves a subset of size 1, we make our first test on all 8 units. If the test is a success we are through; otherwise we look for a solution of (163) and find that  $x'' = 3$ . The 8 units are divided into subsets of size 3, 3 and 2, and each is tested. Good subsets are removed. We purposely avoid the next stage which requires testing one at a time. Hence, any of these three sets of size 3, 3, and 2 that proves to contain at least one defective is scrapped.

Let  $T$  denote the number of tests required in the above example and let  $D$  denote the total number of scrapped units (i.e., good units and defectives that are discarded). Then, for this procedure  $R_8$  with  $N = 8$  and  $q = 0.90$ , we obtain

$$E\{T \mid R_8\} = 4 - 3q^8 = 2.709, \quad (164)$$

$$E\{D \mid R_8\} = 8 - 2q^2 - 6q^3 = 2.006. \quad (165)$$

If we define the expected loss  $E\{L \mid R_i\}$  for any procedure  $R_i$  by

$$E\{L \mid R_i\} = c_0 E\{D \mid R_i\} + c_1 E\{T \mid R_i\}, \quad (166)$$

we find for procedures  $R_1$  and  $R_8$ , respectively,

$$E\{L \mid R_1\} = 0.800 c_0 + 3.904 c_1, \quad (167)$$

$$E\{L \mid R_8\} = 2.006 c_0 + 2.709 c_1. \quad (168)$$

A comparison of these two expressions shows that  $R_8$  will be more economical in this case if the ratio

$$\frac{c_1}{c_0} \cong \frac{2.006 - 0.800}{3.904 - 2.709} = 1.009 = 1, \text{ approximately.} \quad (169)$$

Hence,  $R_8$  is more economical in this case if the total cost of a single test is greater than the total cost of manufacturing a single unit.

Similarly, it can be shown that it would be more economical to stop after the first test (and scrap all 8 units if there is at least one defective present) when the ratio of the two costs in (169) is greater than 1.492 (or approximately 1.5).

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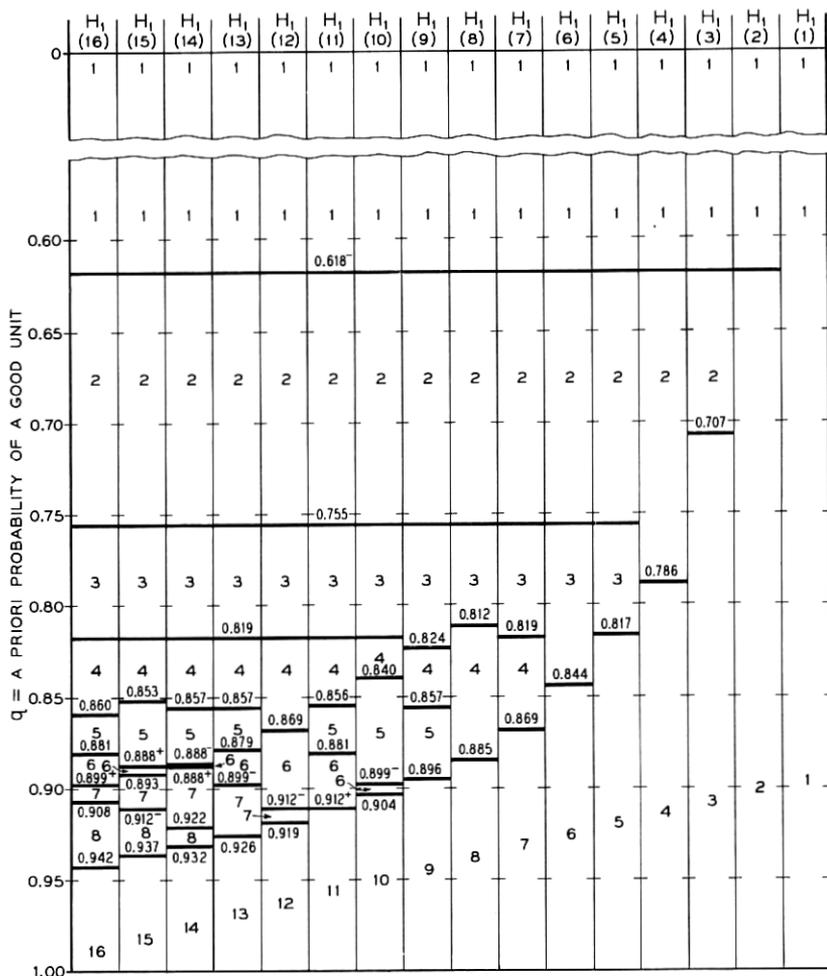


Fig. 3 — Diagram showing number of observations to be taken in any H-situation for  $n = 1$  through 16 — for procedure  $R_1$ .

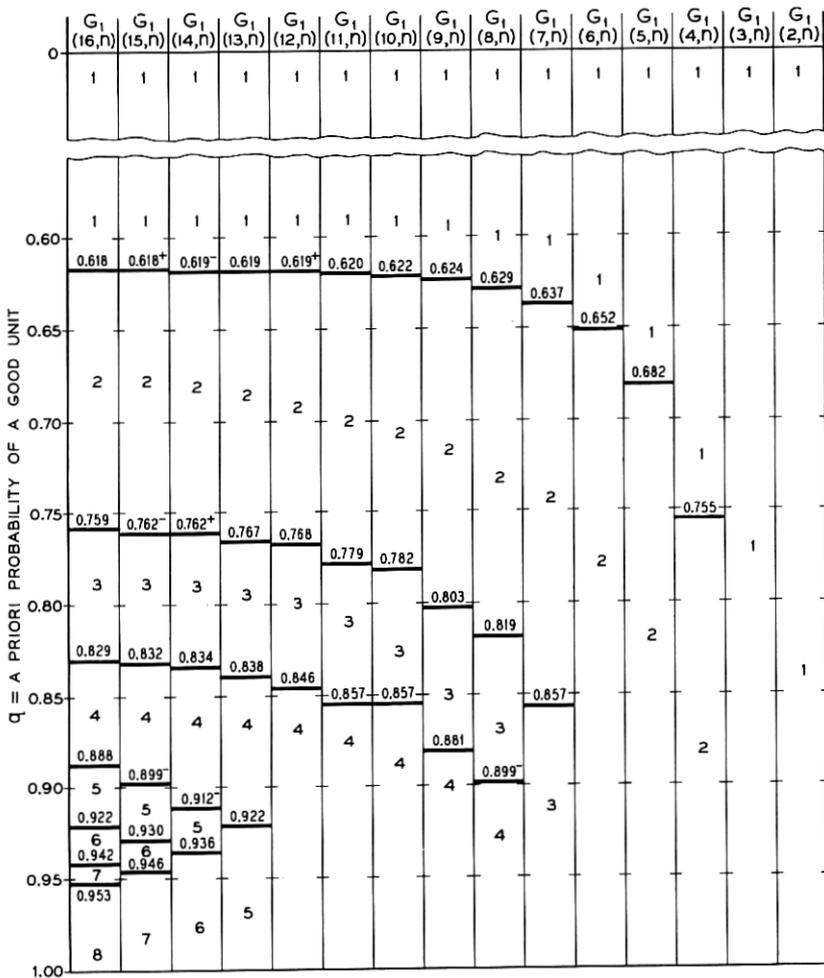


Fig. 4 — Diagram showing number of observations to be taken in any  $G$ -situation for  $m = 2$  through 16 and any  $n \geq m$  — for procedure  $R_1$ .

TABLE II A — COMPARISON OF THE EXPECTED NUMBER OF GROUP-TESTS REQUIRED FOR DIFFERENT PROCEDURES FOR  $N = 4, 8, 12$  AND SELECTED VALUES OF  $q$

Procedure	$q$							
	0.00	0.50	0.75	0.90	0.95	0.98	0.99	1.00
$R_1$ (Proposed Procedure)	4.000	4.000	3.332	2.051	1.538	1.218	1.110	1.000
	8.000	8.000	6.619	3.904	2.499	1.612	1.308	1.000
	12.000	12.000	9.905	5.790	3.594	2.073	1.543	1.000
$R_2$ (Information Procedure)	4.000	4.000	3.332	2.051	1.538	1.218	1.110	1.000
	8.000	8.000	6.663	4.141	2.500	1.612	1.308	1.000
	12.000	12.000	9.956	5.839	3.599	2.078	1.544	1.000
$R_3$ (Proposed without Re-combinations)	4.000	4.000	3.375	2.105	1.576	1.236	1.119	1.000
	8.000	8.000	6.719	4.052	2.631	1.680	1.345	1.000
	12.000	12.000	10.062	6.103	3.851	2.209	1.617	1.000
$R_4$ (Halving with Recombinations)	4.000	4.000	3.332	2.051	1.538	1.218	1.110	1.000
	21.000	12.875	7.670	3.906	2.500	1.612	1.308	1.000
	37.000	21.408	12.365	6.021	3.620	2.078	1.544	1.000
$R_5$ (Halving without Recombinations)	7.000	5.188	3.496	2.114	1.578	1.236	1.119	1.000
	15.000	11.309	7.576	4.141	2.678	1.700	1.355	1.000
	23.000	17.203	11.653	6.309	3.900	2.229	1.627	1.000
$R_6$ (Sterrett)	4.000	4.000	3.375	2.105	1.576	1.236	1.119	1.000
	8.000	8.000	6.750	4.210	3.151	1.807	1.412	1.000
	12.000	12.000	10.125	6.315	4.333	2.973	1.852	1.000
$R_7$ (Dorfman)	4.000	4.000	3.375	2.303	1.699	1.292	1.148	1.000
	8.000	8.000	6.750	4.605	3.398	2.176	1.609	1.000
	12.000	12.000	10.125	6.908	5.097	3.334	2.354	1.000

TABLE II B — COMPARISON OF  $H_1(n)$  AND  $H_3(n)$  FOR THREE VALUES OF  $q$  AND LARGER  $n$ -VALUES

$n$	$q = 0.90$		$q = 0.95$		$q = 0.99$	
	$H_1(n)$	$H_3(n)$	$H_1(n)$	$H_3(n)$	$H_1(n)$	$H_3(n)$
10	4.872	5.101	3.039	3.242	1.425	1.481
20	9.572	10.155	5.940	6.456	2.051	2.221
30	14.301	15.209	8.791	9.626	2.738	3.057
40	19.024	20.260	11.671	12.798	3.478	3.943
50	23.750	25.361	14.555	16.009	4.243	4.853
60	28.475	30.415	17.438	19.246	5.026	5.792
70	33.200	35.469	20.316	22.415	5.830	6.754
80	37.925	40.520	23.197	25.591	6.647	7.717
90	42.650	45.621	26.078	28.781	7.477	8.683
100	47.375	50.675	28.959	32.019	8.230	9.687

TABLE III A — TO BE USED WITH PROCEDURE  $R_1$  IN ANY  $H(n)$  SITUATION WITH  $n \leq 16$  OR  $n$  VERY LARGE  
 Each polynomial shown with its unique real root in the unit interval  $0 < q < 1$ ; these roots determining the region where the next  
 test group is of size  $x$ .  
 The exponential symbols +, - indicate only the relative magnitude of two different roots that are equal to three decimal places  
 (i.e.,  $a^- < a^+$ ).

$n$	$x = 1$ $x = 2$	$x = 2$ $x = 3$	$x = 3$ $x = 4$	$x = 4$ and $x = 5$	$x = 5$ and $x = 6$	$x = 6$ and $x = 7$	$x = 7$ and $x = 8$	(maximum possible $x < n$ ) and $x = n$
2	$1-q-q^2$ 0.618							$1-2q^2$ 0.707
3	$1-q-q^2$ 0.618	(see last col.)						$1-q^2-q^4$ 0.786
4	$1-q-q^2$ 0.618							$1-q+q^2-2q^5$ 0.817
5	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755						$1-q+q^2-q^4-q^6$ 0.844
6	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819					$1-q^4-q^6$ 0.869
7	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.812					$1-q^4+q^6-2q^7$ 0.885
8	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q-q^3$ 0.824					$1-q^3+q^7-2q^9$ 0.896
9	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q-q^3$ 0.824	$1-q^4-q^5$ 0.857				$1-q^2+q^5-2q^{10}$ 0.904
10	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^5-q^7$ 0.899-				$1-q^2+q^5-q^8-q^{11}$ 0.912+
11	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^6-q^8$ 0.856	$1-q^5-q^6$ 0.881			$1-q^2+q^5-q^8-q^{11}$ 0.912+
12	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^6$ 0.859	$1-q^5-q^6$ 0.881	$1-q^7-q^8$ 0.912-		$1-q^2+q^5-q^8-q^{11}$ 0.919
13	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$(x = 4 \text{ and } x = 6)^*$	$0.879$	$1-q^6-q^7$ 0.899-		$1-q^2+q^5-q^8-q^{13}$ 0.926
14	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^5$ 0.857	$1-q^4+q^5-q^7-q^{11}$ 0.888-	$1-q^6-q^7$ 0.899-		$1-q^6-q^{12}$ 0.922
15	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^5$ 0.857	$1-q^4+q^5-q^7+q^{10}-2q^{12}$ 0.888-	$1-q^4+q^5-q^7+q^8-q^{11}$ 0.888+		$1-q^6-q^{14}$ 0.937
16	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^5$ 0.856	$1-q^4+q^5-q^7+q^8-q^{11}$ 0.881	$1-q^4+q^5-q^7+q^{12}-2q^{14}$ 0.888+		$1-q^6-q^{16}$ 0.942
$\infty$	$1-q-q^2$ 0.618	$1-q^2-q^3$ 0.755	$1-q^2-q^4$ 0.819	$1-q^4-q^5$ 0.857	$1-q^4-q^5$ 0.881	$1-q^6-q^7$ 0.899+	$1-q^7-q^8$ 0.912	

The unique real root in the unit interval of the polynomial shown is the dividing point between:

\* The interval for  $x = 5$  vanishes for  $n = 12$ .

TABLE III B — TO BE USED WITH PROCEDURE  $R_1$  IN ANY  $G(m, n)$  SITUATION WITH  $2 \leq m \leq n \leq 16$  OR  $m$  VERY LARGE  
 Each polynomial shown with its unique real root in the unit interval  $0 < q < 1$ ; these roots determining the region where the next test group (taken from the defective set) is of size  $x$ .  
 The exponential symbols +, - indicate only the relative magnitude of two or three different roots that are equal to three decimal places (i.e.,  $a^- < a^+ < a^- < a^+$ ).

$m$	The unique real root in the unit interval of the polynomial shown is the dividing point between:							
	$x = 1$ and $x = 2$	$x = 2$ and $x = 3$	$x = 3$ and $x = 4$	$x = 4$ and $x = 5$	$x = 5$ and $x = 6$	$x = 6$ and $x = 7$	$x = 7$ and $x = 8$	
2								
3								
4	$1 - q^2 - q^3$	0.755						
5	$1 - q^2 - q^3 - q^4$	0.682						
6	$1 - q^2 - q^3 - q^4 - q^5$	0.652						
7	$1 - q^2 - q^3 - q^4 - q^5 - q^6$	0.637	$1 - q^4 - q^5$					
8	$1 - q^2 - q^3 - \dots - q^7$	0.629	$1 - q^4 - q^4$	0.899-				
9	$1 - q^2 - q^3 - \dots - q^8$	0.624	$1 - q^4 - q^6 - q^7$	0.881				
10	$1 - q^2 - q^3 - \dots - q^9$	0.622	$1 - q^4 - q^5 - q^6$	0.857				
11	$1 - q^2 - q^3 - \dots - q^{10}$	0.620	$1 - q^4 - q^5 - q^6 - q^9$	0.857				
12	$1 - q^2 - q^3 - \dots - q^{11}$	0.619+	$1 - q^4 - q^5 - q^7 - q^8$	0.846				
13	$1 - q^2 - q^3 - \dots - q^{12}$	0.619	$1 - q^4 - q^5 - q^7 - q^{10} - q^{11}$	0.838	$1 - q^6 - q^6$	0.922		
14	$1 - q^2 - q^3 - \dots - q^{13}$	0.619-	$1 - q^4 - q^5 - q^7 - q^9 - q^{10}$	0.834	$1 - q^7 - q^8$	0.912-	0.936	
15	$1 - q^2 - q^3 - \dots - q^{14}$	0.618+	$1 - q^4 - q^5 - q^7 - q^9 - q^{12} - q^{13}$	0.832	$1 - q^6 - q^7$	0.899-	0.930	$1 - q^{12} - q^{12}$
16	$1 - q^2 - q^3 - \dots - q^{15}$	0.618	$1 - q^4 - q^5 - q^7 - q^9 - q^{11} - q^{12}$	0.829	$1 - q^6 - q^{11} - q^{12}$	0.888+	0.922	$1 - q^{11} - q^{12}$
$\infty$	$1 - q - q^2$	0.618-	$1 - q^2 - q^3$	0.819	$1 - q^4 - q^5$	0.857	0.881	$1 - q^6 - q^7$
							0.899	$1 - q^7 - q^8$

TABLE IV A — FORMULAE FOR  $F_1^*(m)$  FOR PROCEDURE  $R_1$  AND VALUES OF THE NEXT TEST-GROUP SIZE  $x$  FOR  $m = 2(1)16$

	$q$ -interval	$x$	1	$q$	$q^2$	$q^3$	$q^4$	$q^5$	$q^6$	$q^7$	$q^8$	$q^9$	$q^{10}$	$q^{11}$	$q^{12}$	$q^{13}$	$q^{14}$	$q^{15}$	
$F_1^*(2)$	0.000 to 1.000	1	1	1															
$F_1^*(3)$	0.000 to 1.000	1	1	2	2														
$F_1^*(4)$	0.000 to 0.755	1	1	2	3	3													†
	0.755 to 1.000	2	2	2	2	2													
$F_1^*(5)$	0.000 to 0.682	1	1	2	3	4	4												†
	0.682 to 1.000	2	2	2	2	3	3												
$F_1^*(6)$	0.000 to 0.652	1	1	2	3	4	5	5											†
	0.652 to 0.755	2	2	2	2	3	4	4											†
	0.755 to 1.000	2	2	2	3	3	3	3											
$F_1^*(7)$	0.000 to 0.637	1	1	2	3	4	5	6	6										†
	0.637 to 0.682	2	2	2	2	3	4	5	5										†
	0.682 to 0.857	2	2	2	3	3	3	4	4										†
	0.857 to 1.000	3	2	3	3	3	3	3	3										
$F_1^*(8)$	0.000 to 0.629	1	1	2	3	4	5	6	7	7									†
	0.629 to 0.652	2	2	2	2	3	4	5	6	6									†
	0.652 to 0.755	2	2	2	3	3	3	4	5	5									†
	0.755 to 0.819	2	2	2	3	3	3	4	4	4									†
	0.819 to 0.899	3	2	3	3	3	3	3	4	4									
	0.899 to 1.000	4	3	3	3	3	3	3	3	3									
$F_1^*(9)$	0.000 to 0.624	1	1	2	3	4	5	6	7	8	8								†
	0.624 to 0.637	2	2	2	2	3	4	5	6	7	7								†
	0.637 to 0.682	2	2	2	3	3	3	4	5	6	6								†
	0.682 to 0.803	2	2	2	3	3	4	4	5	5									†
	0.803 to 0.881	3	2	3	3	3	3	4	4	4	4								†
	0.881 to 1.000	4	3	3	3	3	3	3	3	3	3								
$F_1^*(10)$	0.000 to 0.622	1	1	2	3	4	5	6	7	8	9	9							†
	0.622 to 0.629	2	2	2	2	3	4	5	6	7	8	8							†
	0.629 to 0.652	2	2	2	3	3	3	4	5	6	7	7							†
	0.652 to 0.755	2	2	2	3	3	4	4	5	6	6								†
	0.755 to 0.782	2	2	2	3	3	4	4	5	5	5	5							†
	0.782 to 0.857	3	2	3	3	3	3	4	4	4	5	5							†
	0.857 to 1.000	4	3	3	3	3	3	3	4	4	4	4							
$F_1^*(11)$	0.000 to 0.620	1	1	2	3	4	5	6	7	8	9	10	10						†
	0.620 to 0.624	2	2	2	2	3	4	5	6	7	8	9	9						†
	0.624 to 0.637	2	2	2	3	3	3	4	5	6	7	8	8						†
	0.637 to 0.682	2	2	2	3	3	4	4	5	6	7	7							†
	0.682 to 0.779	2	2	2	3	3	4	4	5	5	6	6							†
	0.779 to 0.819	3	2	3	3	3	3	4	4	5	5	5	5						†
	0.819 to 0.857	3	2	3	3	3	4	4	4	4	4	5	5						†
	0.857 to 1.000	4	3	3	3	3	3	4	4	4	4	4	4						
$F_1^*(12)$	0.000 to 0.619	1	1	2	3	4	5	6	7	8	9	10	11	11					†
	0.619 to 0.622	2	2	2	2	3	4	5	6	7	8	9	10	10					†
	0.622 to 0.629	2	2	2	3	3	3	4	5	6	7	8	9	9					†
	0.629 to 0.652	2	2	2	3	3	4	4	5	6	7	8	8						†
	0.652 to 0.755	2	2	2	3	3	4	4	5	5	6	6	7	7					†
	0.755 to 0.768	2	2	2	3	3	4	4	5	5	6	6	6	6					†
	0.768 to 0.803	3	2	3	3	3	3	4	4	5	5	5	6	6					†
	0.803 to 0.846 <sup>+</sup>	3	2	3	3	3	4	4	4	4	5	5	5	5					†
	0.846 <sup>+</sup> to 0.899 <sup>-</sup>	4	3	3	3	3	3	4	4	4	4	4	5	5					†
	0.899 <sup>-</sup> to 1.000	4	3	3	3	3	4	4	4	4	4	4	4	4					

† These equations are not needed to compute  $H_1(n)$ -formulae if the experiment starts with a "pure binomial" set of any size  $N$  (i.e., if  $m = 0$  at the outset).

TABLE IV A — *Continued*

q-interval		x	1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>	q <sup>12</sup>	q <sup>13</sup>	q <sup>14</sup>	q <sup>15</sup>	
$F_1^*(13)$	0.000 to 0.619	1	1	2	3	4	5	6	7	8	9	10	11	12	12				†
	0.619 to 0.620	2	2	2	2	3	4	5	6	7	8	9	10	11	11				†
	0.620 to 0.624	2	2	2	3	3	3	4	5	6	7	8	9	10	10				†
	0.624 to 0.637	2	2	2	3	3	4	4	5	6	7	8	9	10	10				†
	0.637 to 0.682	2	2	2	3	3	4	4	5	5	6	7	8	8					†
	0.682 to 0.767	2	2	2	3	3	4	4	5	5	6	6	7	7					†
	0.767 to 0.782	3	2	3	3	3	3	4	4	5	6	6	6	6	6				†
	0.782 to 0.834	3	2	3	3	3	4	4	4	4	5	5	5	5	6	6			†
	0.834 to 0.881	4	3	3	3	3	3	4	4	4	4	4	5	5	5	5			†
	0.881 to 0.922	4	3	3	3	3	4	4	4	4	4	4	4	4	5	5			†
0.922 to 1.000	5	3	3	3	4	4	4	4	4	4	4	4	4	4	4			†	
$F_1^*(14)$	0.000 to 0.619 <sup>-</sup>	1	1	2	3	4	5	6	7	8	9	10	11	12	13	13			†
	0.619 <sup>-</sup> to 0.619 <sup>+</sup>	2	2	2	2	3	4	5	6	7	8	9	10	11	12	12			†
	0.619 <sup>+</sup> to 0.622	2	2	2	3	3	3	4	5	6	7	8	9	10	11	11			†
	0.622 to 0.629	2	2	2	3	3	4	4	5	6	7	8	9	10	10				†
	0.629 to 0.652	2	2	2	3	3	4	4	5	5	6	7	8	9	9				†
	0.652 to 0.755	2	2	2	3	3	4	4	5	5	6	6	6	7	8	8			†
	0.755 to 0.762 <sup>+</sup>	2	2	2	3	3	4	4	5	5	6	6	6	7	7	7			†
	0.762 <sup>+</sup> to 0.779	3	2	3	3	3	3	4	4	5	5	6	6	6	7	7			†
	0.779 to 0.819	3	2	3	3	3	4	4	4	4	5	5	6	6	6	6			†
	0.819 to 0.834	3	2	3	3	3	4	4	4	5	5	5	5	6	6	6			†
	0.834 to 0.857	4	3	3	3	3	3	4	4	4	4	5	5	5	6	6			†
	0.857 to 0.912 <sup>-</sup>	4	3	3	3	3	4	4	4	4	4	4	5	5	5	5			†
	0.912 <sup>-</sup> to 0.936	5	3	3	3	4	4	4	4	4	4	4	4	4	5	5			†
0.936 to 1.000	6	3	3	4	4	4	4	4	4	4	4	4	4	4	4			†	
$F_1^*(15)$	0.000 to 0.618 <sup>+</sup>	1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	14		†
	0.618 <sup>+</sup> to 0.619	2	2	2	2	3	4	5	6	7	8	9	10	11	12	13	13		†
	0.619 to 0.620	2	2	2	3	3	3	4	5	6	7	8	9	10	11	12	12		†
	0.620 to 0.624	2	2	2	3	3	4	4	5	6	7	8	9	10	11	11			†
	0.624 to 0.637	2	2	2	3	3	4	4	5	5	6	7	8	9	10	10			†
	0.637 to 0.682	2	2	2	3	3	4	4	5	5	6	6	7	8	9	9			†
	0.682 to 0.762	2	2	2	3	3	4	4	5	5	6	6	7	7	8	8			†
	0.762 to 0.768	3	2	3	3	3	3	4	4	5	5	6	6	7	7	7			†
	0.768 to 0.803	3	2	3	3	3	4	4	4	4	5	5	6	6	6	7	7		†
	0.803 to 0.832	3	2	3	3	3	4	4	4	5	5	5	6	6	6	6			†
	0.832 to 0.857	4	3	3	3	3	3	4	4	4	5	5	5	5	5	6	6		†
	0.857 to 0.899 <sup>-</sup>	4	3	3	3	3	4	4	4	4	4	5	5	5	5	5			†
	0.899 <sup>-</sup> to 0.930	5	3	3	3	4	4	4	4	4	4	4	4	4	5	5			†
0.930 to 0.946	6	3	3	4	4	4	4	4	4	4	4	4	4	4	5	5		†	
0.946 to 1.000	7	3	4	4	4	4	4	4	4	4	4	4	4	4	4			†	
$F_1^*(16)$	0.000 to 0.618 <sup>-</sup>	1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	15	†
	0.618 <sup>-</sup> to 0.619 <sup>-</sup>	2	2	2	2	3	4	5	6	7	8	9	10	11	12	13	14	14	†
	0.619 <sup>-</sup> to 0.619 <sup>+</sup>	2	2	2	3	3	3	4	5	6	7	8	9	10	11	12	13	13	†
	0.619 <sup>+</sup> to 0.622	2	2	2	3	3	4	4	4	5	6	7	8	9	10	11	12	12	†
	0.622 to 0.629	2	2	2	3	3	4	4	5	5	6	7	8	9	10	11	11		†
	0.629 to 0.652	2	2	2	3	3	4	4	5	5	6	6	7	8	9	10	10		†
	0.652 to 0.755	2	2	2	3	3	4	4	5	5	6	6	7	7	7	8	9	9	†
	0.755 to 0.759	2	2	2	3	3	4	4	5	5	6	6	7	7	8	8	8	8	†
	0.759 to 0.767	3	2	3	3	3	3	4	4	5	5	6	6	7	7	7	8	8	†
	0.767 to 0.782	3	2	3	3	3	4	4	4	4	5	5	6	6	7	7	7	7	†
	0.782 to 0.829	3	2	3	3	3	4	4	4	5	5	5	5	6	6	6	7	7	†
	0.829 to 0.846 <sup>+</sup>	4	3	3	3	3	4	4	4	4	5	5	5	6	6	6	6	6	†
	0.846 <sup>+</sup> to 0.888 <sup>+</sup>	4	3	3	3	3	4	4	4	4	4	5	5	5	5	5	6	6	†
	0.888 <sup>+</sup> to 0.922	5	3	3	3	4	4	4	4	4	4	4	5	5	5	5	5	5	†
	0.922 to 0.941	6	3	3	4	4	4	4	4	4	4	4	4	4	5	5	5	5	†
	0.941 to 0.953	7	3	4	4	4	4	4	4	4	4	4	4	4	4	4	5	5	†
	0.953 to 1.000	8	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	†

The exponential symbols +, - indicate only the relative magnitude of two different roots that are equal to three decimal places (i.e.,  $a^- < a^+$ ).

TABLE IV B — FORMULAE FOR  $H_1(n)$  AND VALUES OF THE NEXT SAMPLE SIZE  $x$  FOR ANY  $H$ -SITUATION EXPRESSED AS FUNCTIONS OF  $q$  FOR  $n = 2(1)12$  WHEN THE PROCEDURE  $R_1$  IS USED.

The integer shown below  $q^p$  opposite  $H_1(n)$  is the coefficient of  $q^p$  in the polynomial formula for  $H_1(n)$ .

	$q$ -interval	$x$	1	$q$	$q^2$	$q^3$	$q^4$	$q^5$	$q^6$	$q^7$	$q^8$	$q^9$	$q^{10}$	$q^{11}$	$q^{12}$
$H_1(2)$	0.000 to 0.618	1	2												
	0.618 to 1.000	2	3	-1	-1										
$H_1(3)$	0.000 to 0.618	1	3												
	0.618 to 0.707	2	5	-3	-1	1									
	0.707 to 1.000	3	5	-2	-1	-1									
$H_1(4)$	0.000 to 0.618	1	4												
	0.618 to 0.707	2	7	-5	0	1	-1								
	0.707 to 0.786	2	7	-4	-1	-1	1								
	0.786 to 1.000	4	8	-4	-2	-1									
$H_1(5)$	0.000 to 0.618	1	5												
	0.618 to 0.707	2	9	-7	1	0	-1	1							
	0.707 to 0.755	2	9	-6	0	-1	1	-1							
	0.755 to 0.786	3	9	-5	-1	-2	1	0	-1						
	0.786 to 0.817	3	10	-6	-2	-1	0	1							
0.817 to 1.000	5	11	-7	-2	0	0	-1								
$H_1(6)$	0.000 to 0.618	1	6												
	0.618 to 0.707	2	11	-9	2	-1	0	1	-1						
	0.707 to 0.755	2	11	-8	1	-2	1	-1	1						
	0.755 to 0.786	3	11	-6	-2	-2	2	0	-1						
	0.786 to 0.817	3	12	-7	-3	-1	1	1	-1						
	0.817 to 0.844	3	13	-9	-2	0	0	-1	1						
0.844 to 1.000	6	14	-10	-1	0	-1	-1								
$H_1(7)$	0.000 to 0.618	1	7												
	0.618 to 0.707	2	13	-11	3	-2	1	0	-1	1					
	0.707 to 0.755	2	13	-10	2	-3	2	-1	1	-1					
	0.755 to 0.786	3	13	-7	-3	-2	2	0	-1	1					
	0.786 to 0.817	3	14	-8	-4	0	1	0	-1						
	0.817 to 0.819	3	15	-10	-3	1	0	-2	1						
	0.819 to 0.844	4	16	-11	-3	0	0	-1	1	1					
0.844 to 0.869	4	17	-13	-1	-1	-1	0	0	1						
0.869 to 1.000	7	17	-12	-1	-1	-1	-1								
$H_1(8)$	0.000 to 0.618	1	8												
	0.618 to 0.707	2	15	-13	4	-3	2	-1	0	1	-1				
	0.707 to 0.755	2	15	-12	3	-4	3	-2	1	-1	1				
	0.755 to 0.786	3	15	-8	-4	-2	3	0	-2	1					
	0.786 to 0.812	3	16	-9	-5	0	1	0	-1	0	1				
	0.812 to 0.817	4	17	-10	-5	0	1	0	-1						
	0.817 to 0.819	4	18	-12	-4	1	0	-2	1						
	0.819 to 0.844	4	19	-14	-3	0	1	-1							
	0.844 to 0.869	4	20	-16	-1	-1	0	0	-1	1					
	0.869 to 0.885	4	20	-15	-2	-1	0	-1	0	0	1				
0.885 to 0.899	8	20	-14	-2	-1	-1	-1	1	0	-1					
0.899 to 1.000	8	21	-15	-2	-1	-1	-1								

TABLE IV B — *Continued*

q-interval		x	1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>	q <sup>12</sup>
<i>H</i> <sub>1</sub> (9)	0.000 to 0.618	1	9												
	0.618 to 0.707	2	17	-15	5	-4	3	-2	1	0	-1	1			
	0.707 to 0.755	2	17	-14	4	-5	4	-3	2	-1	1	-1			
	0.755 to 0.786	3	17	-9	-5	-2	4	-1	-2	2	0	-1			
	0.786 to 0.812	3	18	-10	-6	0	2	-1	-1	1	1	-1			
	0.812 to 0.817	3	19	-12	-5	0	2	-1	-1	1					
	0.817 to 0.819	3	20	-14	-4	1	1	-3	1	1					
	0.819 to 0.824	3	21	-16	-3	0	2	-2	0	1					
	0.824 to 0.844	4	22	-17	-3	0	2	-2	0	1	0	-1			
	0.844 to 0.857	4	23	-19	-1	-1	1	-1	-1	2	0	-1			
	0.857 to 0.869	5	23	-19	-1	0	0	-1	-1	1					
	0.869 to 0.885	5	23	-18	-2	0	0	-2	0	0	1				
0.885 to 0.896	5	23	-17	-3	0	-1	-1	1	-1	-1	2				
0.896 to 0.899	9	24	-17	-3	-1	-1	-1	1	0	-1					
0.899 to 1.000	9	25	-19	-2	-1	-1	-1	0	1	0	-1				
<i>H</i> <sub>1</sub> (10)	0.000 to 0.618	1	10												
	0.618 to 0.707	2	19	-17	6	-5	4	-3	2	-1	0	1	-1		
	0.707 to 0.755	2	19	-16	5	-6	5	-4	3	-2	1	-1	1		
	0.755 to 0.786	3	19	-10	-6	-2	5	-2	-2	2	0	-1	1		
	0.786 to 0.812	3	20	-11	-7	0	3	-2	0	1	0	-1			
	0.812 to 0.817	3	21	-13	-6	0	3	-2	0	1	-1				
	0.817 to 0.819	3	22	-15	-5	1	2	-4	2	1	-1				
	0.819 to 0.824	4	24	-18	-4	0	2	-2	0	1					
	0.824 to 0.840	4	25	-20	-3	0	2	-2	0	1	0	-1	1		
	0.840 to 0.844	5	25	-20	-3	1	2	-3	0	1	0	-1			
	0.844 to 0.857	5	26	-22	-1	0	1	-2	-1	2	0	-1			
	0.857 to 0.869	5	26	-22	-1	1	-1	-1	-1	1	1	0	-1		
	0.869 to 0.885	5	26	-21	-2	1	-1	-2	0	0	2	0	-1		
	0.885 to 0.896	5	26	-20	-3	1	-2	-1	1	-1	0	2	-1		
	0.896 to 0.899	5	27	-21	-3	0	-1	-1	1	0	-1	0	1		
0.899 to 0.904	6	28	-23	-1	-1	-1	-1	0	1	-1	-1	2			
0.904 to 1.000	10	29	-23	-2	-1	-1	-1	1	1	-1	-1				
<i>H</i> <sub>1</sub> (11)	0.000 to 0.618	1	11												
	0.618 to 0.707	2	21	-19	7	-6	5	-4	3	-2	1	0	-1	1	
	0.707 to 0.755	2	21	-18	6	-7	6	-5	4	-3	2	-1	1	-1	
	0.755 to 0.786	3	21	-11	-7	-2	6	-3	-2	3	0	-2	1		
	0.786 to 0.812	3	22	-12	-8	0	4	-3	0	1	0	-1	0	1	
	0.812 to 0.817	3	23	-14	-7	1	3	-3	0	1	-1				
	0.817 to 0.819	3	24	-16	-6	2	2	-5	2	1	-1				
	0.819 to 0.824	4	27	-21	-4	0	3	-2	-1	1					
	0.824 to 0.840	4	28	-23	-3	0	3	-2	-1	1	0	-1	1		
	0.840 to 0.844	4	28	-23	-3	1	2	-3	0	1	0	-1	0	1	
	0.844 to 0.856	4	29	-25	-1	0	1	-2	-1	2	0	-1	0	1	
	0.856 to 0.857	5	29	-25	-1	1	0	-2	-1	3	0	-2			
	0.857 to 0.869	5	29	-25	-1	2	-2	-1	1	2	1	-1	-1		
	0.869 to 0.881	5	29	-24	-2	2	-2	-2	0	1	2	-1	-1		
	0.881 to 0.885	6	29	-24	-1	1	-2	-2	0	0	2	0	-1		
	0.885 to 0.896	6	29	-23	-2	1	-3	-1	1	-1	0	2	-1		
	0.896 to 0.899	6	30	-24	-2	0	-2	-1	1	0	-1	0	1		
	0.899 to 0.904	6	31	-26	0	-2	-1	-1	0	1	-1	0	2	-1	
0.904 to 0.912	6	32	-27	-1	-1	-1	-1	1	0	-1	0	0	1		
0.912 to 1.000	11	33	-27	-2	-1	-1	0	1	0	-1	-1				

TABLE IV B — *Continued*

	<i>q</i> -interval	<i>x</i>	1	<i>q</i>	<i>q</i> <sup>2</sup>	<i>q</i> <sup>3</sup>	<i>q</i> <sup>4</sup>	<i>q</i> <sup>5</sup>	<i>q</i> <sup>6</sup>	<i>q</i> <sup>7</sup>	<i>q</i> <sup>8</sup>	<i>q</i> <sup>9</sup>	<i>q</i> <sup>10</sup>	<i>q</i> <sup>11</sup>	<i>q</i> <sup>12</sup>
<i>H</i> <sub>1</sub> (12)	0.000 to 0.618	1	12												
	0.618 to 0.707	2	23	-21	8	-7	6	-5	4	-3	2	-1	0	1	-1
	0.707 to 0.755	2	23	-20	7	-8	7	-6	5	-4	3	-2	1	-1	1
	0.755 to 0.786	3	23	-12	-8	-2	7	-4	-2	4	-1	-2	2	0	-1
	0.786 to 0.812	3	24	-13	-9	0	5	-4	0	2	-1	-1	1	1	-1
	0.812 to 0.817	3	25	-15	-8	1	3	-3	0	2	-2	0	0	1	
	0.817 to 0.819	3	26	-17	-7	2	2	-5	2	2	-2	0	1		
	0.819 to 0.824	4	30	-24	-4	0	4	-3	-1	1	1	0	-1		
	0.824 to 0.840	4	31	-26	-3	0	4	-3	-1	1	1	-1			
	0.840 to 0.844	4	31	-26	-3	1	3	-4	0	1	1	-1	-1	1	
	0.844 to 0.856	4	32	-28	-1	0	2	-3	-1	2	1	-1	-1	1	
	0.856 to 0.857	4	32	-28	-1	1	0	-2	-1	3	0	-2	0	0	1
	0.857 to 0.869	4	32	-28	-1	2	-2	-1	-1	2	1	-1	-1	0	1
	0.869 to 0.881	6	32	-27	-1	2	-3	-2	0	1	2	-1	-1		
	0.881 to 0.885	6	32	-27	0	0	-2	-2	0	0	3	0	-2		
	0.885 to 0.896	6	32	-26	-1	0	-3	-1	1	-1	1	2	-2		
	0.896 to 0.899 <sup>-</sup>	6	33	-27	-1	-1	-2	-1	1						
	0.899 <sup>-</sup> to 0.904	6	34	-29	1	-3	-1	-1	0	1	0	0	1	-1	
	0.904 to 0.912 <sup>-</sup>	6	35	-30	0	-2	-1	-1	1	0	0	0	-1	1	
	0.912 <sup>-</sup> to 0.912 <sup>+</sup>	7	35	-29	-1	-2	-1	-1	1	0	-1	0	0	1	
0.912 <sup>+</sup> to 0.919	7	36	-30	-2	-1	-1	0	0	0	-1	-1	1	0	1	
0.919 to 1.000	12	37	-31	-2	-1	0	0	0	0	-1	-1				

The exponential symbols +, - indicate only the relative magnitude of two different roots that are equal to three decimal places (i.e., *a*<sup>-</sup> < *a*<sup>+</sup>).

TABLE IV C — FORMULAE FOR  $G_1^*(m, n)$  AND THE VALUES OF THE NEXT SAMPLE SIZE  $x$  FOR CERTAIN  $G$ -SITUATIONS EXPRESSED AS FUNCTIONS OF  $q$  FOR  $n = 2(1)12$  AND  $q \geq 0.850$  WHEN PROCEDURE  $R_1$  IS USED

The integer shown below  $q^y$  opposite  $G_1^*(m, n)$  is the coefficient of  $q^y$  in the polynomial formula for  $G_1^*(m, n)$ .

n	m	q-interval	x	$G_1^*(m, n)$														
				1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>			
2	2	0.850 to 1.000	1	2	1													
3	2	0.850 to 1.000	1	4	1	-1												
	3	0.850 to 1.000	1	4	2	1												
4	2	0.850 to 1.000	1	6	2	-2	-2											
	4	0.850 to 1.000	2	7	3	1												
5	2	0.850 to 1.000	1	9	2	-4	-2	-1										
	3	0.850 to 1.000	1	9	3	1	-3	-2										
	5	0.850 to 1.000	2	10	3	1	1	1										
6	2	0.850 to 1.000	1	12	2	-6	-2	-1	-1									
	3	0.850 to 1.000	1	12	3	1	-4	-2	-2									
	6	0.850 to 1.000	2	13	3	2	2	1										
7	2	0.850 to 1.000	1	15	2	-8	-2	-1	-1	-1								
	3	0.850 to 1.000	1	15	3	2	-6	-3	-2	-1								
	4	0.850 to 1.000	2	16	3	2	1	-5	-3	-2								
	7	0.850 to 0.857	2	16	3	3	2	1	1	1								
	0.857 to 1.000	3	16	4	3	2	1											
8	2	0.850 to 0.869	1	18	2	-11	-2	-1	-1	-1	1							
		0.869 to 1.000	1	18	3	-11	-2	-1	-2	-1								
	3	0.850 to 0.869	1	18	3	2	-9	-3	-1	-1								
		0.869 to 1.000	1	18	4	2	-9	-3	-2	-1	-1							
	4	0.850 to 0.869	2	19	3	2	1	-7	-3	-2								
		0.869 to 1.000	2	19	4	2	1	-7	-4	-2	-1							
	8	0.850 to 0.869	3	19	4	3	2	1	1	1	2							
		0.869 to 0.899	3	19	5	3	2	1	0	1	1							
0.899 to 1.000		4	20	5	3	2	1											
9	2	0.850 to 0.869	1	21	2	-14	-2	-1	-1	-1	1	1						
		0.869 to 0.885	1	21	3	-14	-2	-1	-2	-1	0	1						
		0.885 to 0.899	1	21	4	-14	-2	-2	-2	0	0	-1						
		0.899 to 1.000	1	22	3	-14	-2	-2	-2	-1								
	3	0.850 to 0.869	1	21	3	2	-12	-2	-1	-2	0	1						
		0.869 to 0.885	1	21	4	2	-12	-2	-2	-2	-1	1						
		0.885 to 0.899	1	21	5	2	-12	-3	-2	-1	-1	-1						
		0.899 to 1.000	1	22	4	2	-12	-3	-2	-2	-1							
	4	0.850 to 0.869	2	22	3	2	1	-9	-3	-2								
		0.869 to 0.885	2	22	4	2	1	-9	-4	-2	-1							
		0.885 to 0.899	2	22	5	2	1	-10	-4	-1	-1	-2						
		0.899 to 1.000	2	23	4	2	1	-10	-4	-2	-1	-1						

TABLE IV C — Continued

n	m	q-interval	x	G <sub>1</sub> *(m,n)												
				1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>	
9	5	0.850 to 0.869	2	22	3	2	2	2	-7	-4	-1					
		0.869 to 0.885	2	22	4	2	2	2	-8	-4	-2					
		0.885 to 0.899	2	22	5	2	2	1	-8	-3	-2	-2				
		0.899 to 1.000	2	23	4	2	2	1	-8	-4	-2	-1				
	9	0.850 to 0.869	3	22	4	3	2	2	2	1	2	2				
		0.869 to 0.881	3	22	5	3	2	2	1	1	1	2				
		0.881 to 0.885	4	23	5	3	2	2	0	0	1	2				
		0.885 to 0.899	4	23	6	3	2	1	0	1	1	1				
		0.899 to 1.000	4	24	5	3	2	1	0	0	1	1				
10	2	0.850 to 0.857	1	24	2	-17	-2	0	-1	-1	1	1	-1			
		0.857 to 0.869	1	24	2	-17	-1	-1	-1	-1	0	1				
		0.869 to 0.885	1	24	3	-17	-2	-1	-2	-1	0	1	1			
		0.885 to 0.896	1	24	4	-17	-2	-2	-2	0	0	-1	1			
		0.896 to 0.899	1	25	4	-17	-3	-2	-2	0	1	-1	-1			
		0.899 to 1.000	1	26	3	-17	-3	-2	-2	-1	1	0	-1			
	3	0.850 to 0.857	1	24	3	2	-15	-1	-2	-2	1	1				
		0.857 to 0.869	1	24	3	2	-14	-2	-2	-2	0	1	1			
		0.869 to 0.885	1	24	4	2	-14	-2	-3	-2	-1	1	1			
		0.885 to 0.896	1	24	5	2	-14	-3	-3	-1	-1	-1	1			
		0.896 to 0.899	1	25	5	2	-15	-3	-3	-1	0	-1	-1			
		0.899 to 1.000	1	26	4	2	-15	-3	-3	-2	0	0	-1			
	4	0.850 to 0.857	2	25	3	2	1	-11	-3	-2						
		0.857 to 0.869	2	25	3	2	2	-12	-3	-2	-1	0	1			
		0.869 to 0.885	2	25	4	2	2	-12	-4	-2	-2	0	1			
		0.885 to 0.896	2	25	5	2	2	-13	-4	-1	-2	-2	1			
		0.896 to 0.899	2	26	5	2	1	-13	-4	-1	-1	-2	-1			
		0.899 to 1.000	2	27	4	2	1	-13	-4	-2	-1	-1	-1			
	5	0.850 to 0.857	2	25	3	2	2	3	-10	-4	0	0	-1			
		0.857 to 0.869	2	25	3	2	3	2	-10	-4	-1					
		0.869 to 0.885	2	25	4	2	3	2	-11	-4	-2					
		0.885 to 0.896	2	25	5	2	3	1	-11	-3	-2	-2				
		0.896 to 0.899	2	26	5	2	2	1	-11	-3	-1	-2	-2			
		0.899 to 1.000	2	27	4	2	2	1	-11	-4	-1	-1	-2			
	6	0.850 to 0.857	2	25	3	3	2	3	1	-8	-2	-1	-1			
		0.857 to 0.869	2	25	3	3	3	2	1	-8	-3	-1				
		0.869 to 0.885	2	25	4	3	3	2	0	-8	-4	-1				
		0.885 to 0.896	2	25	5	3	3	1	0	-7	-4	-3				
		0.896 to 0.899	2	26	5	3	2	1	0	-7	-3	-3				
		0.899 to 1.000	2	27	4	3	2	1	0	-8	-3	-2	-2			
10	0.850 to 0.857	3	25	4	3	2	3	2	1	3	3	2				
	0.857 to 0.869	4	26	4	3	3	2	1	1	2	2	2				
	0.869 to 0.885	4	26	5	3	3	2	0	1	1	2	2				
	0.885 to 0.896	4	26	6	3	3	1	0	2	1	0	2				
	0.896 to 0.899	4	27	6	3	2	1	0	2	2						
	0.899 to 1.000	4	28	5	3	2	1	0	1	2	1					
11	2	0.850 to 0.857	1	27	2	-20	-1	0	-1	-2	1	2	-1	-1		
		0.857 to 0.869	1	27	2	-20	0	-1	-1	-2	0	2	0	-1		
		0.869 to 0.885	1	27	3	-20	-1	-1	-2	-2	0	2	1	-1		
		0.885 to 0.896	1	27	4	-20	-2	-2	-2	0	0	-1	1	1		
		0.896 to 0.899	1	28	4	-20	-3	-2	-2	0	1	-1	-1	1		
		0.899 to 0.904	1	29	3	-20	-3	-2	-2	-1	1	0	-1	1		
		0.904 to 1.000	1	30	3	-21	-3	-2	-2	0	1	0	-1	-1		

TABLE IV C—Continued

n	m	q-interval	x	$G_1^*(m,n)$												
				1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>	
11	3	0.850 to 0.857	1	27	3	2	-17	-1	-2	-2	1	1	0	-1		
		0.857 to 0.869	1	27	3	2	-16	-2	-2	-2	0	1	1	-1		
		0.869 to 0.885	1	27	4	2	-16	-3	-3	-2	-1	2	1			
		0.885 to 0.896	1	27	5	2	-16	-4	-3	-1	-1	0	1			
		0.896 to 0.899	1	28	5	2	-17	-4	-3	-1	0	0	-1			
		0.899 to 0.904	1	29	4	3	-18	-4	-3	-2	0	0	-1	1		
	0.904 to 1.000	1	30	4	2	-18	-4	-3	-1	0	0	-1	-1			
	4	0.850 to 0.857	2	28	3	2	2	-14	-3	-3	0	1				
		0.857 to 0.869	2	28	3	2	3	-15	-3	-3	-1	1	1			
		0.869 to 0.885	2	28	4	2	3	-15	-4	-3	-2	1	1			
		0.885 to 0.896	2	28	5	2	3	-16	-4	-2	-2	-1	1			
		0.896 to 0.899	2	29	5	2	2	-16	-4	-2	-1	-1	-1			
		0.899 to 0.904	2	30	4	3	1	-16	-4	-3	-1	-1	-1	1		
	0.904 to 1.000	2	31	4	2	1	-16	-4	-2	-1	-1	-1	-1			
	5	0.850 to 0.857	2	28	3	2	3	3	-13	-4	0	0	-1			
		0.857 to 0.869	2	28	3	2	4	2	-13	-4	-1					
		0.869 to 0.885	2	28	4	2	4	2	-14	-4	-2					
		0.885 to 0.896	2	28	5	2	4	1	-14	-3	-2	-2				
		0.896 to 0.899	2	29	5	2	3	1	-14	-3	-1	-1	-2	-2		
		0.899 to 0.904	2	30	4	2	3	1	-14	-4	-1	-1	-2			
	0.904 to 1.000	2	31	4	1	3	1	-14	-3	-1	-1	-2	-2			
	6	0.850 to 0.857	2	28	3	3	3	3	1	-11	-2	0	-1	-1		
		0.857 to 0.869	2	28	3	3	4	2	1	-11	-3	0	0	-1		
		0.869 to 0.885	2	28	4	3	4	2	0	-11	-4	0	0	-1		
0.885 to 0.896		2	28	5	3	4	1	0	-10	-4	-2	0	-1			
0.896 to 0.899		2	29	5	3	3	1	0	-10	-3	-2	-2	-1			
0.899 to 0.904		2	30	4	4	2	1	0	-11	-3	-2	2				
0.904 to 1.000	2	31	4	3	2	1	0	-10	-3	-2	-2	-2				
11	0.850 to 0.857	3	28	4	3	3	4	2	1	3	3	2	2			
	0.857 to 0.869	4	29	4	3	4	2	2	1	2	3	2	1			
	0.869 to 0.885	4	29	5	3	4	2	1	1	1	3	2	1			
	0.885 to 0.896	4	29	6	3	4	1	1	2	1	1	2	1			
	0.896 to 0.899	4	30	6	3	3	1	1	2	2	1	0	1			
	0.899 to 0.904	4	31	5	4	2	1	1	2	2	1	0	2			
0.904 to 1.000	4	32	5	3	2	1	1	2	2	1						
12	2	0.850 to 0.856	1	30	2	-23	-1	1	-1	-3	1	2	-1	-1	1	
		0.856 to 0.857	1	30	2	-23	0	0	-1	-3	2	2	2	-2	-1	
		0.857 to 0.869	1	30	2	-23	1	-1	-2	-2	1	2	0	-1	-1	
		0.869 to 0.881	1	30	3	-23	0	-1	-3	-2	1	2	1	-1	-1	
		0.881 to 0.885	1	30	3	-22	-1	-1	-3	-2	0	2	2	-1	-1	
		0.885 to 0.896	1	30	4	-22	-2	-2	-3	0	0	-1	2	1	-1	
		0.896 to 0.899	1	31	4	-23	-3	-2	-2	0	1	-1	-1	1	1	
		0.899 to 0.904	1	32	3	-23	-3	-2	-2	-1	1	0	-1	1	1	
		0.904 to 0.912	1	33	3	-24	-3	-2	-2	0	1	0	-1	-1	1	
		0.912 to 1.000	1	34	3	-25	-3	-2	-1	0	1	0	-2	-1		
		3	0.850 to 0.856	1	30	3	2	-20	0	-2	-2	0	1	1	-1	
			0.856 to 0.857	1	30	3	2	-19	-1	-2	-2	1	1	0	-1	-1
	0.857 to 0.869		1	30	3	2	-18	-2	-2	-2	0	1	1	-1	-1	
	0.869 to 0.881		1	30	4	2	-18	-3	-3	-2	-1	2	1	0	-1	
	0.881 to 0.885		1	30	4	3	-19	-3	-3	-2	-2	2	2	0	-1	
	0.885 to 0.896		1	30	5	3	-19	-5	-3	-1	-1	0	1	0	1	
	0.896 to 0.899		1	31	5	3	-20	-5	-3	-1	0	0	-1	0	1	
	0.899 to 0.904		1	32	4	4	-22	-4	-3	-2	0	0	0	1		
	0.904 to 0.912		1	33	4	3	-22	-4	-3	-1	0	0	0	-1		
	0.912 to 1.000		1	34	4	2	-22	-4	-2	-1	0	0	-1	-1	-1	

TABLE IV C — Continued

n	m	q-interval	x	G <sub>1</sub> <sup>*</sup> (m,n)														
				1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>			
12	4	0.850 to 0.856	2	31	3	2	2	-16	-3	-3	0	1						
		0.856 to 0.857	2	31	3	2	3	-17	-3	-3	1	1						
		0.857 to 0.869	2	31	3	2	4	-18	-3	-3	0	1	0					
		0.869 to 0.881	2	31	4	2	4	-18	-5	-3	-1	1	1					
		0.881 to 0.885	2	31	4	3	3	-18	-5	-3	-2	1	2					
		0.885 to 0.896	2	31	5	3	3	-19	-5	-2	-2	-1	2					
		0.896 to 0.899 <sup>-</sup>	2	32	5	3	2	-19	-5	-2	-1	-1						
		0.899 <sup>-</sup> to 0.904	2	33	4	4	1	-19	-5	-3	-1	-1	0			1		
		0.904 to 0.912 <sup>-</sup>	2	34	4	3	1	-19	-5	-2	-1	-1	0			-1		
0.912 <sup>-</sup> to 1.000	2	35	4	2	1	-19	-4	-2	-1	-1	-1			-1				
6	6	0.850 to 0.856	2	31	3	3	3	4	1	-14	-2	0	-1	-1				1
		0.856 to 0.857	2	31	3	3	4	3	1	-14	-1	0	-2	-1				
		0.857 to 0.869	2	31	3	3	5	2	1	-14	-2	0	-1	-1				
		0.869 to 0.881	2	31	4	3	5	2	0	-14	-3	0	-1	-1				
		0.881 to 0.885	2	31	4	4	4	2	0	-14	-4	0	0	-1				
		0.885 to 0.896	2	31	5	4	4	1	0	-13	-4	-2	0	-1				
		0.896 to 0.899 <sup>-</sup>	2	32	5	4	3	1	0	-13	-3	-2	-2	-1				
		0.899 <sup>-</sup> to 0.904	2	33	4	5	2	1	0	-14	-3	-2	-2	-2				
		0.904 to 0.912 <sup>-</sup>	2	34	4	4	2	1	0	-13	-3	-2	-2	-2				
0.912 <sup>-</sup> to 1.000	2	35	4	3	2	1	1	-13	-3	-2	-3	-2						
7	7	0.850 to 0.856	2	31	3	3	3	4	2	1	-9	-2	-1	-1				
		0.856 to 0.857	2	31	3	3	4	3	2	1	-8	-2	-2	-1				
		0.857 to 0.869	3	31	4	3	5	2	1	0	-9	-2	-1	-1				
		0.869 to 0.881	3	31	5	3	5	2	0	0	-10	-2	-1	-1				
		0.881 to 0.885	3	31	5	4	4	2	0	0	-11	-2	0	-1				
		0.885 to 0.896	3	31	6	4	4	1	0	1	-11	-4	0	-1				
		0.896 to 0.899 <sup>-</sup>	3	32	6	4	3	1	0	1	-10	-4	-2	-1				
		0.899 <sup>-</sup> to 0.904	3	33	5	5	2	1	0	0	-10	-4	-2	0				
		0.904 to 0.912 <sup>-</sup>	3	34	5	4	2	1	0	1	-10	-4	-2	-2				
0.912 <sup>-</sup> to 1.000	3	35	5	3	2	1	1	1	-10	-4	-3	-2						
12	12	0.850 to 0.856	4	32	4	3	3	4	2	1	3	3	2	2				3
		0.856 to 0.857	4	32	4	3	4	3	2	1	4	3	1	2				2
		0.857 to 0.869	4	32	4	3	5	2	2	1	3	3	2	2				2
		0.869 to 0.881	4	32	5	3	5	2	1	1	2	3	2	2				2
		0.881 to 0.885	4	32	5	4	4	2	1	1	1	3	3	2				2
		0.885 to 0.896	4	32	6	4	4	1	1	2	1	1	3	2				2
		0.896 to 0.899 <sup>-</sup>	4	33	6	4	3	1	1	2	2	1	1	2				2
		0.899 <sup>-</sup> to 0.904	4	34	5	5	2	2	1	1	2	1	1	2				1
		0.904 to 0.912 <sup>-</sup>	4	35	5	4	2	2	1	2	2	1	1	0				1
0.912 <sup>-</sup> to 1.000	4	36	5	3	2	2	2	2	2	1								

The exponential symbols +, - indicate only the relative magnitude of two different roots that are equal to three decimal places (i.e.,  $a^- < a^+$ ).

TABLE V A — EXPECTED NUMBER OF TESTS REQUIRED AND SIZE  $\alpha$  OF THE NEXT SAMPLE TO BE TAKEN FOR ANY  $G_1(m, n)$  AND ANY  $H_1(n)$  SITUATION WHEN PROCEDURE  $R_1$  IS USED AND  $q = 0.90$

n	$H_1(n)$	x	$G_1(m, n)$																		
			$m = 2$	$m = 3$	$m = 4$	$m = 5$	$m = 6$	$m = 7$	$m = 8$	$m = 9$											
			$x = 1$	$x = 1$	$x = 2$	$x = 2$	$x = 2$	$x = 3$	$x = 4$	$x = 4$											
1	1.000	1																			
2	1.290	2	1.526																		
3	1.661	3	2.153	2.439																	
4	2.051	4	2.485	2.971	3.056																
5	2.490	5	2.866	3.325	3.547	3.637															
6	2.943	6	3.282	3.727	3.925	4.115	4.148														
7	3.414	7	3.728	4.157	4.343	4.512	4.621	4.628													
8	3.904	8	4.191	4.613	4.784	4.943	5.033	5.100	5.100												
9	4.395	9	4.672	5.085	5.250	5.396	5.476	5.528	5.575	5.543											
10	4.872	6	5.163	5.570	5.728	5.868	5.938	5.980	6.014	6.021											
11	5.327	6	5.646	6.056	6.210	6.346	6.411	6.445	6.470	6.466											
12	5.790	6	6.111	6.528	6.687	6.821	6.883	6.914	6.933	6.922											
13	6.261	7	6.570	6.993	7.157	7.294	7.356	7.385	7.401	7.385											
14	6.732	7	7.037	7.456	7.623	7.765	7.829	7.857	7.872	7.853											
15	7.213	7	7.509	7.925	8.089	8.232	8.300	8.330	8.345	8.324											
16	7.695	7	7.985	8.400	8.561	8.702	8.770	8.802	8.819	8.798											
17	8.161	6	8.467	8.878	9.038	9.176	9.242	9.275	9.293	9.273											
18	8.629	6	8.940	9.354	9.513	9.651	9.715	9.746	9.764	9.746											
19	9.100	7	9.407	9.825	9.986	10.124	10.188	10.218	10.235	10.217											
20	9.572	7	9.877	10.294	10.458	10.596	10.660	10.690	10.706	10.687											
21	10.044	7	10.348	10.764	10.927	11.068	11.133	11.163	11.179	11.159											
22	10.520	7	10.820	11.236	11.398	11.538	11.605	11.635	11.651	11.632											
23	10.996	6	11.295	11.709	11.871	12.010	12.076	12.108	12.124	12.105											
24	11.466	6	11.770	12.184	12.345	12.484	12.549	12.580	12.597	12.578											
25	11.937	7	12.243	12.658	12.819	12.957	13.022	13.052	13.069	13.051											
26	12.408	7	12.714	13.130	13.292	13.430	13.495	13.525	13.541	13.522											
27	12.881	7	13.185	13.601	13.763	13.902	13.967	13.997	14.014	13.994											
28	13.353	7	13.657	14.073	14.235	14.374	14.440	14.470	14.486	14.467											
29	13.827	7	14.129	14.545	14.707	14.846	14.912	14.943	14.959	14.939											
30	14.301	7	14.603	15.018	15.179	15.319	15.384	15.415	15.431	15.412											
31	14.773	7	15.077	15.491	15.653	15.792	15.857	15.888	15.904	15.885											
32	15.244	7	15.549	15.965	16.126	16.264	16.329	16.360	16.377	16.358											
33	15.717	7	16.021	16.437	16.598	16.737	16.802	16.833	16.849	16.830											
34	16.189	7	16.493	16.909	17.071	17.210	17.275	17.305	17.321	17.302											
35	16.661	7	16.965	17.381	17.543	17.682	17.747	17.778	17.794	17.775											
36	17.135	7	17.438	17.853	18.015	18.154	18.219	18.250	18.266	18.247											
37	17.608	7	17.910	18.326	18.487	18.626	18.692	18.723	18.739	18.720											
38	18.080	7	18.384	18.799	18.960	19.099	19.164	19.195	19.212	19.192											
39	18.552	7	18.856	19.272	19.433	19.572	19.637	19.668	19.684	19.665											
40	19.024	7	19.328	19.744	19.906	20.044	20.110	20.140	20.157	20.137											
41	19.497	7	19.801	20.216	20.378	20.517	20.582	20.613	20.629	20.610											
42	19.969	7	20.273	20.688	20.850	20.989	21.055	21.085	21.102	21.082											
43	20.442	7	20.745	21.161	21.323	21.462	21.527	21.558	21.574	21.555											
44	20.915	7	21.218	21.634	21.795	21.934	21.999	22.030	22.047	22.027											
45	21.387	7	21.691	22.106	22.268	22.407	22.472	22.503	22.519	22.500											
46	21.860	7	22.164	22.579	22.740	22.879	22.945	22.975	22.992	22.972											
47	22.332	7	22.636	23.051	23.213	23.352	23.417	23.448	23.464	23.445											
48	22.805	7	23.108	23.524	23.685	23.825	23.890	23.920	23.937	23.917											
49	23.277	7	23.581	23.996	24.158	24.297	24.362	24.393	24.409	24.390											
50	23.750	7	24.053	24.469	24.630	24.769	24.835	24.865	24.882	24.862											

TABLE V A — *Continued*

$n$	$H_1(n)$	$x$	$G_1(m, n)$								
			$m = 2$ $x = 1$	$m = 3$ $x = 1$	$m = 4$ $x = 2$	$m = 5$ $x = 2$	$m = 6$ $x = 2$	$m = 7$ $x = 3$	$m = 8$ $x = 4$	$m = 9$ $x = 4$	
51	24.222	7	24.526	24.941	25.103	25.242	25.307	25.338	25.354	25.335	
52	24.695	7	24.998	25.414	25.575	25.714	25.780	25.810	25.827	25.807	
53	25.167	7	25.471	25.886	26.048	26.187	26.252	26.283	26.299	26.280	
54	25.640	7	25.943	26.359	26.521	26.660	26.725	26.755	26.772	26.752	
55	26.112	7	26.416	26.831	26.993	27.132	27.197	27.228	27.244	27.225	
56	26.585	7	26.888	27.304	27.465	27.605	27.670	27.700	27.717	27.697	
57	27.057	7	27.361	27.776	27.938	28.077	28.142	28.173	28.189	28.170	
58	27.530	7	27.833	28.249	28.410	28.550	28.615	28.645	28.662	28.643	
59	28.002	7	28.306	28.721	28.883	29.022	29.087	29.118	29.134	29.115	
60	28.475	7	28.779	29.194	29.356	29.495	29.560	29.590	29.607	29.588	
61	28.947	7	29.251	29.666	29.828	29.967	30.032	30.063	30.079	30.060	
62	29.420	7	29.723	30.139	30.301	30.440	30.505	30.535	30.552	30.533	
63	29.892	7	30.196	30.611	30.773	30.912	30.977	31.008	31.024	31.005	
64	30.365	7	30.668	31.084	31.246	31.385	31.450	31.480	31.497	31.478	
65	30.837	7	31.141	31.556	31.718	31.857	31.922	31.953	31.969	31.950	
66	31.310	7	31.614	32.029	32.191	32.330	32.395	32.425	32.442	32.423	
67	31.782	7	32.086	32.502	32.663	32.802	32.867	32.898	32.914	32.895	
68	32.255	7	32.559	32.974	33.136	33.275	33.340	33.370	33.387	33.368	
69	32.727	7	33.031	33.446	33.608	33.747	33.812	33.843	33.859	33.840	
70	33.200	7	33.504	33.919	34.081	34.220	34.285	34.316	34.332	34.313	
71	33.672	7	33.976	34.392	34.553	34.692	34.757	34.788	34.804	34.785	
72	34.145	7	34.449	34.864	35.026	35.165	35.230	35.261	35.277	35.258	
73	34.617	7	34.921	35.337	35.498	35.637	35.702	35.733	35.749	35.730	
74	35.090	7	35.394	35.809	35.971	36.110	36.175	36.206	36.222	36.203	
75	35.562	7	35.866	36.282	36.443	36.582	36.647	36.678	36.694	36.675	
76	36.035	7	36.339	36.754	36.916	37.055	37.120	37.151	37.167	37.148	
77	36.507	7	36.811	37.227	37.388	37.527	37.592	37.623	37.639	37.620	
78	36.980	7	37.284	37.699	37.861	38.000	38.065	38.096	38.112	38.093	
79	37.453	7	37.756	38.172	38.333	38.472	38.537	38.568	38.584	38.565	
80	37.925	7	38.229	38.644	38.806	38.945	39.010	39.041	39.057	39.038	
81	38.398	7	38.701	39.117	39.278	39.417	39.482	39.513	39.530	39.510	
82	38.870	7	39.174	39.589	39.751	39.890	39.955	39.986	40.002	39.983	
83	39.343	7	39.646	40.062	40.223	40.362	40.427	40.458	40.475	40.455	
84	39.815	7	40.119	40.534	40.696	40.835	40.900	40.931	40.947	40.928	
85	40.288	7	40.591	41.007	41.168	41.307	41.373	41.403	41.420	41.400	
86	40.760	7	41.064	41.479	41.641	41.780	41.845	41.876	41.892	41.873	
87	41.233	7	41.536	41.952	42.113	42.252	42.318	42.348	42.365	42.345	
88	41.705	7	42.009	42.424	42.586	42.725	42.790	42.821	42.837	42.818	
89	42.178	7	42.481	42.897	43.058	43.197	43.263	43.293	43.310	43.290	
90	42.650	7	42.954	43.369	43.531	43.670	43.735	43.766	43.782	43.763	
91	43.123	7	43.426	43.842	44.003	44.142	44.208	44.238	44.255	44.235	
92	43.595	7	43.899	44.314	44.476	44.615	44.680	44.711	44.727	44.708	
93	44.068	7	44.371	44.787	44.948	45.087	45.153	45.183	45.200	45.180	
94	44.540	7	44.844	45.259	45.421	45.560	45.625	45.656	45.672	45.653	
95	45.013	7	45.316	45.732	45.893	46.032	46.098	46.128	46.145	46.125	
96	45.485	7	45.789	46.204	46.366	46.505	46.570	46.601	46.617	46.598	
97	45.958	7	46.261	46.677	46.838	46.978	47.043	47.073	47.090	47.070	
98	46.430	7	46.734	47.149	47.311	47.450	47.515	47.546	47.562	47.543	
99	46.903	7	47.206	47.622	47.784	47.923	47.988	48.018	48.035	48.016	
100	47.375	7	47.679	48.094	48.256	48.395	48.460	48.491	48.507	48.488	

TABLE V B—EXPECTED NUMBER OF TESTS REQUIRED AND SIZE  $x$  OF THE NEXT SAMPLE TO BE TAKEN FOR ANY  $G(m, n)$  AND ANY  $H_1(n)$  SITUATION WHEN PROCEDURE  $R_1$  IS USED AND  $q = 0.95$

$n$	$H_1(n)$	$G_1(m, n)$																	
		$m=2$ $x=1$	$m=3$ $x=1$	$m=4$ $x=2$	$m=5$ $x=2$	$m=6$ $x=2$	$m=7$ $x=3$	$m=8$ $x=4$	$m=9$ $x=4$	$m=10$ $x=4$	$m=11$ $x=4$	$m=12$ $x=4$	$m=13$ $x=5$	$m=14$ $x=6$	$m=15$ $x=7$	$m=16$ $x=7$	$m=17$ $x=8$	$m=18$ $x=8$	$m=19$ $x=8$
1	1.000	1																	
2	1.148	2	1.513																
3	1.340	4	2.076	2.385															
4	1.538	4	2.246	2.818	2.898														
5	1.771	5	2.441	2.998	3.268	3.409													
6	2.009	6	2.657	3.206	3.462	3.749	3.810												
7	2.252	7	2.893	3.430	3.679	3.953	4.130	4.150											
8	2.499	8	3.134	3.668	3.908	4.175	4.341	4.455	4.780										
9	2.767	9	3.379	3.911	4.148	4.408	4.568	4.753	4.978	5.074									
10	3.039	10	3.637	4.164	4.398	4.655	4.808	4.908	5.082										
11	3.315	11	3.907	4.427	4.656	4.909	5.059	5.153	5.368	5.373	5.368								
12	3.594	12	4.180	4.699	4.923	5.171	5.318	5.409	5.550	5.610	5.657	5.643							
13	3.878	13	4.458	4.975	5.196	5.440	5.583	5.671	5.726	5.804	5.859	5.932	5.913						
14	4.166	14	4.739	5.254	5.474	5.716	5.855	5.939	5.991	6.066	6.152	6.178	6.201	6.179					
15	4.458	15	5.025	5.538	5.756	5.997	6.133	6.214	6.262	6.334	6.382	6.413	6.435	6.467	6.443				
16	4.753	16	5.316	5.826	6.042	6.281	6.416	6.494	6.540	6.608	6.653	6.699	6.712	6.721	6.732	6.704			
17	5.051	17	5.609	6.118	6.332	6.569	6.702	6.779	6.822	6.888	6.935	6.971	6.985	6.990	6.990	6.993			
18	5.348	18	5.906	6.414	6.626	6.861	6.992	7.067	7.109	7.173	7.211	7.234	7.247	7.253	7.256	7.255	7.251	7.214	
19	5.648	19	6.203	6.710	6.922	7.155	7.285	7.358	7.398	7.461	7.498	7.518	7.528	7.532	7.530	7.524	7.516	7.504	7.465
20	5.940	20	6.502	7.009	7.219	7.452	7.580	7.652	7.691	7.752	7.787	7.815	7.814	7.813	7.809	7.800	7.788	7.772	7.757
21	6.220	21	6.798	7.305	7.516	7.748	7.876	7.947	7.985	8.044	8.096	8.102	8.101	8.097	8.090	8.079	8.065	8.046	8.026
22	6.499	22	7.083	7.595	7.808	8.041	8.169	8.240	8.277	8.336	8.386	8.391	8.389	8.382	8.374	8.361	8.345	8.323	8.301
23	6.780	23	7.363	7.879	8.095	8.330	8.460	8.531	8.568	8.627	8.659	8.675	8.679	8.677	8.669	8.659	8.644	8.626	8.603
24	7.064	24	7.643	8.159	8.378	8.616	8.747	8.820	8.858	8.916	8.949	8.964	8.968	8.964	8.956	8.945	8.929	8.909	8.884
25	7.348	25	7.926	8.440	8.659	8.899	9.033	9.107	9.146	9.205	9.238	9.253	9.257	9.252	9.243	9.232	9.215	9.194	9.167
26	7.633	26	8.210	8.723	8.941	9.181	9.316	9.392	9.432	9.492	9.526	9.542	9.545	9.541	9.531	9.519	9.501	9.480	9.451
27	7.921	27	8.494	9.008	9.226	9.464	9.598	9.676	9.718	9.779	9.813	9.829	9.833	9.829	9.819	9.806	9.786	9.766	9.737
28	8.210	28	8.781	9.293	9.510	9.748	9.882	9.959	10.002	10.064	10.100	10.117	10.121	10.117	10.107	10.094	10.076	10.053	10.024
29	8.500	29	9.069	9.581	9.796	10.034	10.167	10.243	10.286	10.349	10.386	10.403	10.408	10.405	10.395	10.382	10.364	10.341	10.311
30	8.791	30	9.358	9.870	10.085	10.321	10.454	10.530	10.571	10.634	10.671	10.690	10.695	10.692	10.683	10.671	10.652	10.629	10.599

TABLE V B — Continued

$n$	$H_1(n)$	$x$	$G_1(m, n)$																	
			$m=2$ $x=1$	$m=3$ $x=1$	$m=4$ $x=2$	$m=5$ $x=2$	$m=6$ $x=2$	$m=7$ $x=3$	$m=8$ $x=4$	$m=9$ $x=4$	$m=10$ $x=4$	$m=11$ $x=4$	$m=12$ $x=4$	$m=13$ $x=5$	$m=14$ $x=6$	$m=15$ $x=7$	$m=16$ $x=7$	$m=17$ $x=8$	$m=18$ $x=8$	$m=19$ $x=8$
31	9.084	15	9.649	10.160	10.374	10.610	10.742	10.817	10.858	10.920	10.957	10.976	10.982	10.980	10.971	10.959	10.940	10.917	10.887	10.855
32	9.377	15	9.941	10.451	10.665	10.901	11.032	11.106	11.146	11.208	11.244	11.263	11.269	11.267	11.259	11.248	11.229	11.206	11.176	11.144
33	9.669	15	10.234	10.743	10.957	11.192	11.323	11.396	11.436	11.497	11.532	11.550	11.557	11.555	11.547	11.536	11.517	11.485	11.461	11.433
34	9.956	13	10.527	11.036	11.249	11.484	11.614	11.687	11.726	11.787	11.822	11.839	11.845	11.843	11.835	11.825	11.807	11.775	11.751	11.723
35	10.240	13	10.816	11.327	11.540	11.775	11.905	11.978	12.017	12.077	12.112	12.128	12.133	12.131	12.123	12.112	12.095	12.073	12.044	12.012
36	10.524	13	11.021	11.614	11.829	12.064	12.195	12.268	12.306	12.366	12.400	12.417	12.422	12.419	12.411	12.400	12.383	12.361	12.332	12.300
37	10.810	13	11.386	11.899	12.116	12.352	12.483	12.556	12.595	12.655	12.689	12.706	12.710	12.707	12.698	12.687	12.670	12.648	12.620	12.588
38	11.096	13	11.671	12.184	12.401	12.639	12.771	12.845	12.884	12.944	12.978	12.994	12.989	12.995	12.986	12.975	12.957	12.935	12.907	12.876
39	11.383	13	11.957	12.469	12.686	12.924	13.057	13.132	13.172	13.232	13.266	13.282	13.287	13.283	13.274	13.262	13.245	13.223	13.194	13.163
40	11.671	14	12.243	12.756	12.972	13.209	13.343	13.418	13.459	13.519	13.554	13.570	13.575	13.571	13.562	13.550	13.532	13.510	13.481	13.450
41	11.959	14	12.531	13.043	13.258	13.496	13.629	13.704	13.745	13.807	13.842	13.858	13.863	13.859	13.850	13.838	13.820	13.798	13.768	13.737
42	12.247	14	12.819	13.330	13.546	13.783	13.915	13.990	14.032	14.094	14.129	14.146	14.151	14.147	14.138	14.126	14.108	14.085	14.056	14.024
43	12.536	14	13.107	13.618	13.833	14.070	14.203	14.277	14.318	14.380	14.416	14.434	14.439	14.435	14.426	14.414	14.396	14.373	14.344	14.312
44	12.826	14	13.395	13.907	14.122	14.358	14.490	14.565	14.605	14.667	14.703	14.721	14.726	14.723	14.714	14.702	14.684	14.661	14.632	14.600
45	13.116	14	13.685	14.196	14.411	14.647	14.779	14.853	14.893	14.955	14.990	15.008	15.014	15.011	15.003	14.991	14.972	14.950	14.920	14.888
46	13.406	14	13.975	14.485	14.700	14.936	15.068	15.142	15.182	15.243	15.278	15.296	15.301	15.299	15.291	15.279	15.261	15.238	15.208	15.177
47	13.696	13	14.265	14.776	14.990	15.226	15.357	15.431	15.471	15.532	15.566	15.589	15.587	15.579	15.567	15.549	15.527	15.497	15.465	
48	13.982	13	14.555	15.066	15.280	15.515	15.647	15.720	15.760	15.821	15.855	15.872	15.878	15.875	15.867	15.855	15.838	15.815	15.786	15.754
49	14.268	13	14.843	15.354	15.569	15.805	15.936	16.009	16.049	16.109	16.144	16.161	16.166	16.166	16.163	16.155	16.143	16.126	16.104	16.042
50	14.555	13	15.129	15.641	15.857	16.093	16.224	16.298	16.337	16.398	16.432	16.449	16.454	16.451	16.443	16.431	16.413	16.392	16.362	16.331
51	14.842	13	15.416	15.928	16.144	16.381	16.512	16.586	16.626	16.686	16.721	16.738	16.742	16.739	16.730	16.719	16.701	16.679	16.650	16.619
52	15.130	13	15.702	16.215	16.430	16.668	16.800	16.874	16.914	16.974	17.009	17.026	17.031	17.027	17.018	17.007	16.989	16.967	16.937	16.906
53	15.418	14	15.990	16.502	16.717	16.954	17.087	17.162	17.202	17.262	17.297	17.314	17.319	17.315	17.306	17.295	17.277	17.254	17.225	17.194
54	15.706	14	16.277	16.789	17.005	17.242	17.374	17.449	17.489	17.550	17.585	17.602	17.607	17.603	17.595	17.583	17.565	17.542	17.513	17.481
55	15.994	14	16.565	17.077	17.292	17.529	17.661	17.736	17.777	17.838	17.873	17.890	17.895	17.891	17.883	17.871	17.853	17.830	17.801	17.769
56	16.282	14	16.837	17.351	17.566	17.803	17.935	18.010	18.051	18.112	18.147	18.164	18.169	18.168	18.167	18.166	18.165	18.164	18.163	18.162
57	16.570	14	17.142	17.653	17.868	18.105	18.237	18.311	18.352	18.413	18.448	18.466	18.471	18.469	18.467	18.466	18.465	18.464	18.463	18.462
58	16.859	14	17.410	17.918	18.133	18.370	18.502	18.576	18.617	18.678	18.713	18.730	18.735	18.734	18.733	18.732	18.731	18.730	18.729	18.728
59	17.149	14	17.619	18.128	18.343	18.580	18.712	18.786	18.827	18.888	18.923	18.940	18.945	18.944	18.943	18.942	18.941	18.940	18.939	18.938
60	17.438	14	18.008	18.519	18.734	18.970	19.102	19.176	19.216	19.277	19.312	19.329	19.334	19.331	19.329	19.319	19.293	19.271	19.241	19.210

61 17.726 13 18.207 18.808 19.023 19.259 19.390 19.464 19.504 19.565 19.600 19.617 19.622 19.619 19.611 19.599 19.582 19.559 19.530 19.498  
62 18.013 13 18.585 19.097 19.311 19.547 19.679 19.753 19.854 19.905 19.911 19.907 19.899 19.888 19.870 19.847 19.818 19.786  
63 18.300 13 18.873 19.385 19.600 19.836 19.967 20.041 20.081 20.142 20.177 20.199 20.196 20.187 20.175 20.158 20.136 20.106 20.074  
64 18.588 13 19.160 19.672 19.887 20.124 20.256 20.330 20.430 20.465 20.482 20.487 20.482 20.475 20.463 20.446 20.423 20.394 20.363  
65 18.575 13 19.448 19.959 20.175 20.412 20.544 20.618 20.658 20.718 20.753 20.770 20.775 20.772 20.763 20.751 20.734 20.711 20.682 20.651  
66 19.163 14 19.735 20.247 20.462 20.699 20.831 20.906 20.946 21.006 21.041 21.058 21.063 21.061 21.051 21.039 21.021 20.999 20.970 20.938  
67 19.451 14 20.023 20.535 20.750 20.987 21.119 21.194 21.234 21.295 21.329 21.346 21.351 21.348 21.339 21.327 21.310 21.287 21.258 21.226  
68 19.740 14 20.310 20.823 21.038 21.275 21.407 21.481 21.522 21.582 21.617 21.634 21.639 21.627 21.615 21.602 21.585 21.566 21.546 21.514  
69 20.028 14 20.599 21.111 21.326 21.563 21.695 21.769 21.809 21.870 21.905 21.922 21.927 21.924 21.915 21.904 21.886 21.863 21.834 21.802  
70 20.316 14 20.887 21.399 21.614 21.851 21.982 22.057 22.097 22.158 22.210 22.215 22.212 22.204 22.192 22.174 22.151 22.122 22.090  
71 20.604 14 21.175 21.687 21.902 22.139 22.270 22.345 22.385 22.446 22.481 22.498 22.503 22.502 22.492 22.480 22.462 22.439 22.410 22.378  
72 20.892 14 21.464 21.975 22.190 22.427 22.559 22.632 22.673 22.734 22.769 22.786 22.791 22.788 22.780 22.768 22.750 22.727 22.698 22.666  
73 21.181 14 21.752 22.263 22.478 22.715 22.847 22.921 22.961 23.022 23.057 23.074 23.079 23.076 23.068 23.056 23.038 23.016 22.986 22.954  
74 21.470 13 22.040 22.552 22.767 23.003 23.135 23.209 23.248 23.310 23.345 23.362 23.367 23.364 23.356 23.344 23.326 23.304 23.274 23.243  
75 21.757 13 22.329 22.840 23.055 23.292 23.423 23.498 23.538 23.598 23.633 23.650 23.655 23.652 23.644 23.632 23.614 23.592 23.562 23.531  
76 22.045 13 22.617 23.129 23.344 23.580 23.712 23.786 23.826 23.887 23.921 23.939 23.944 23.943 23.923 23.902 23.880 23.850 23.819  
77 22.333 13 23.905 23.417 23.632 23.868 24.000 24.074 24.114 24.175 24.210 24.227 24.232 24.239 24.240 24.238 24.210 24.168 24.139 24.107  
78 22.621 13 23.193 23.704 23.920 24.156 24.288 24.362 24.402 24.463 24.498 24.515 24.520 24.517 24.508 24.496 24.478 24.456 24.427 24.395  
79 22.909 14 23.480 23.992 24.207 24.444 24.576 24.650 24.690 24.751 24.786 24.803 24.808 24.805 24.817 24.804 24.786 24.764 24.741 24.715 24.683  
80 23.197 14 23.768 24.280 24.495 24.732 24.864 24.938 24.978 25.039 25.074 25.091 25.096 25.093 25.084 25.072 25.054 25.032 25.003 24.971  
81 23.485 14 24.056 24.568 24.783 25.020 25.152 25.226 25.266 25.327 25.362 25.379 25.384 25.381 25.372 25.360 25.343 25.325 291 25.259  
82 23.773 14 24.345 24.856 25.071 25.308 25.440 25.514 25.554 25.615 25.650 25.667 25.672 25.669 25.660 25.649 25.631 25.608 25.579 25.547  
83 24.061 14 24.633 25.144 25.359 25.596 25.728 25.802 25.842 25.903 25.938 25.955 25.960 25.957 25.945 25.927 25.915 25.896 25.867 25.835  
84 24.349 14 24.921 25.432 25.647 25.884 26.016 26.090 26.130 26.191 26.226 26.243 26.248 26.245 26.237 26.225 26.207 26.184 26.155 26.123  
85 24.637 14 25.209 25.720 25.935 26.172 26.304 26.378 26.418 26.479 26.514 26.531 26.536 26.526 26.513 26.495 26.473 26.443 26.411  
86 24.926 14 25.497 26.008 26.224 26.460 26.592 26.666 26.706 26.802 26.819 26.824 26.821 26.816 26.801 26.783 26.761 26.731 26.699  
87 25.214 14 25.785 26.297 26.512 26.748 26.880 26.954 26.994 27.055 27.090 27.107 27.112 27.109 27.101 27.089 27.071 27.049 27.019 26.988  
88 25.502 13 26.073 26.585 26.800 27.036 27.168 27.242 27.283 27.343 27.378 27.395 27.400 27.397 27.389 27.377 27.359 27.337 27.307 27.276  
89 25.790 13 26.362 26.873 27.088 27.325 27.456 27.531 27.571 27.632 27.667 27.684 27.689 27.685 27.677 27.665 27.647 27.625 27.595 27.564  
90 26.078 13 26.650 27.161 27.376 27.613 27.745 27.819 27.859 27.920 27.955 27.972 27.977 27.974 27.965 27.953 27.935 27.913 27.883 27.852  
91 26.366 14 26.938 27.449 27.664 27.901 28.033 28.107 28.147 28.208 28.243 28.260 28.265 28.262 28.258 28.253 28.241 28.223 28.201 28.171 28.140  
92 26.654 14 27.226 27.737 27.952 28.189 28.321 28.395 28.435 28.496 28.531 28.548 28.553 28.550 28.541 28.529 28.511 28.489 28.460 28.428  
93 26.942 14 27.514 28.025 28.240 28.477 28.609 28.683 28.723 28.784 28.819 28.836 28.841 28.838 28.829 28.817 28.800 28.777 28.748 28.716  
94 27.230 14 27.802 28.313 28.528 28.765 28.897 28.971 29.011 29.072 29.107 29.129 29.129 29.126 29.119 29.105 29.088 29.065 29.036 29.004  
95 27.518 14 28.090 28.601 28.816 29.053 29.185 29.259 29.299 29.360 29.395 29.412 29.417 29.414 29.405 29.394 29.376 29.353 29.324 29.292  
96 27.806 14 28.378 28.889 29.104 29.341 29.473 29.547 29.587 29.648 29.683 29.700 29.702 29.693 29.682 29.664 29.641 29.612 29.580  
97 28.094 14 28.666 29.177 29.393 29.629 29.761 29.835 29.875 29.936 29.971 29.988 29.993 29.990 29.982 29.970 29.952 29.929 29.900 29.868  
98 28.382 14 28.954 29.465 29.681 29.917 30.049 30.123 30.163 30.224 30.259 30.276 30.281 30.278 30.270 30.258 30.240 30.218 30.188 30.156  
99 28.670 14 29.242 29.754 29.969 30.205 30.337 30.411 30.451 30.512 30.547 30.564 30.569 30.566 30.558 30.546 30.528 30.506 30.476 30.445  
100 28.959 14 29.530 30.042 30.257 30.493 30.625 30.699 30.739 30.800 30.835 30.852 30.857 30.854 30.846 30.834 30.816 30.794 30.764 30.733

TABLE VC — EXPECTED NUMBER OF TESTS REQUIRED AND SIZE  $x$  OF THE NEXT SAMPLE TO BE TAKEN FOR ANY  $G_1(m, n)$  AND ANY  $H_1(n)$ -SITUATION WHEN PROCEDURE  $R_1$  IS USED AND  $q=0.99$

$n$	$H_1(n)$	$G_1(m, n)$																	
		$m=2$ $x=1$	$m=3$ $x=1$	$m=4$ $x=2$	$m=5$ $x=2$	$m=6$ $x=2$	$m=7$ $x=3$	$m=8$ $x=4$	$m=9$ $x=4$	$m=10$ $x=4$	$m=20$ $x=8$	$m=30$ $x=14$	$m=40$ $x=16$	$m=50$ $x=18$	$m=60$ $x=28$	$m=70$ $x=32$	$m=80$ $x=32$	$m=90$ $x=32$	$m=100$ $x=35$
1	1.000	—																	
2	1.030	1.503																	
3	1.070	2.015	2.343																
4	1.110	2.050	2.697	2.779															
5	1.159	2.090	2.733	3.053	3.240														
6	1.208	2.134	2.776	3.092	3.468	3.560													
7	1.258	2.184	2.823	3.137	3.510	3.757	3.798												
8	1.308	2.233	2.872	3.184	3.556	3.800	3.974	3.986											
9	1.366	2.283	2.922	3.234	3.603	3.847	4.018	4.145	4.237										
10	1.425	2.337	2.974	3.286	3.655	3.896	4.066	4.191	4.385	4.447									
11	1.484	2.396	3.030	3.340	3.708	3.949	4.117	4.241	4.433	4.585									
12	1.543	2.455	3.089	3.397	3.763	4.003	4.171	4.293	4.483	4.634									
13	1.603	2.514	3.148	3.455	3.821	4.059	4.226	4.347	4.536	4.685									
14	1.662	2.573	3.207	3.515	3.879	4.117	4.282	4.402	4.591	4.739									
15	1.722	2.632	3.266	3.574	3.939	4.176	4.340	4.459	4.647	4.794									
16	1.782	2.692	3.326	3.633	3.998	4.235	4.399	4.517	4.704	4.851									
17	1.849	2.752	3.386	3.693	4.057	4.294	4.458	4.577	4.762	4.908									
18	1.916	2.815	3.448	3.754	4.119	4.355	4.519	4.637	4.822	4.967									
19	1.984	2.883	3.513	3.818	4.181	4.417	4.580	4.698	4.884	5.028									
20	2.051	2.950	3.580	3.883	4.246	4.481	4.643	4.761	4.946	5.090	5.773								
21	2.119	3.018	3.647	3.951	4.312	4.546	4.708	4.824	5.009	5.153									
22	2.187	3.085	3.715	4.018	4.379	4.612	4.773	4.889	5.073	5.216	5.871								
23	2.255	3.153	3.783	4.086	4.447	4.680	4.839	4.955	5.138	5.281	5.928								
24	2.324	3.221	3.851	4.154	4.515	4.747	4.907	5.021	5.204	5.346	6.046								
25	2.392	3.290	3.919	4.222	4.583	4.815	4.975	5.089	5.271	5.412	6.107								
26	2.461	3.358	3.987	4.290	4.651	4.883	5.043	5.157	5.339	5.479	6.169								
27	2.530	3.427	4.056	4.359	4.719	4.952	5.111	5.225	5.407	5.547	6.232								
28	2.599	3.495	4.125	4.427	4.788	5.020	5.179	5.293	5.475	5.615	6.296								
29	2.668	3.564	4.194	4.496	4.856	5.089	5.248	5.362	5.543	5.684	6.360								
30	2.738	3.634	4.263	4.565	4.925	5.157	5.317	5.431	5.612	5.752	6.426	6.676							



TABLE V C — Continued

n	H <sub>1</sub> (n)		G <sub>1</sub> (m, n)															
	m = 2 x = 1	m = 3 x = 1	m = 4 x = 2	m = 5 x = 2	m = 6 x = 2	m = 7 x = 3	m = 8 x = 4	m = 9 x = 4	m = 10 x = 4	m = 20 x = 8	m = 30 x = 14	m = 40 x = 16	m = 50 x = 18	m = 60 x = 28	m = 70 x = 32	m = 80 x = 32	m = 90 x = 32	m = 100 x = 35
61	5.987	6.611	6.909	7.264	7.491	7.646	7.755	7.931	8.067	8.687	8.884	8.987	8.999	8.972				
62	6.066	6.690	6.988	7.343	7.570	7.724	7.833	8.010	8.145	8.765	8.961	9.062	9.073	9.042				
63	6.145	6.769	7.067	7.422	7.649	7.803	7.912	8.088	8.224	8.842	9.038	9.137	9.146	9.113				
64	6.225	6.849	7.146	7.501	7.728	7.883	7.991	8.167	8.303	8.920	9.116	9.213	9.220	9.184				
65	6.305	6.929	7.226	7.581	7.808	7.962	8.070	8.247	8.382	8.999	9.193	9.289	9.294	9.256				
66	6.385	7.009	7.306	7.661	7.887	8.041	8.150	8.326	8.461	9.077	9.270	9.365	9.369	9.328				
67	6.466	7.089	7.386	7.741	7.967	8.121	8.230	8.406	8.541	9.156	9.348	9.442	9.444	9.401				
68	6.546	7.170	7.467	7.821	8.048	8.202	8.310	8.485	8.620	9.234	9.426	9.518	9.520	9.475				
69	6.627	7.250	7.547	7.901	8.128	8.282	8.390	8.565	8.700	9.313	9.504	9.595	9.595	9.548				
70	6.708	7.331	7.628	7.982	8.209	8.362	8.470	8.646	8.781	9.393	9.583	9.673	9.671	9.622	9.560			
71	6.789	7.412	7.709	8.063	8.289	8.443	8.551	8.726	8.861	9.472	9.661	9.750	9.747	9.697	9.641			
72	6.870	7.493	7.790	8.144	8.370	8.524	8.632	8.807	8.941	9.551	9.740	9.828	9.824	9.772	9.714			
73	6.952	7.575	7.871	8.225	8.451	8.605	8.713	8.888	9.022	9.631	9.819	9.906	9.900	9.847	9.786			
74	7.033	7.656	7.953	8.307	8.533	8.686	8.794	8.969	9.103	9.711	9.898	9.984	9.977	9.922	9.859			
75	7.115	7.737	8.034	8.388	8.614	8.767	8.875	9.050	9.184	9.791	9.977	10.063	10.054	9.998	9.933			
76	7.196	7.819	8.115	8.469	8.695	8.848	8.956	9.131	9.265	9.872	10.057	10.142	10.132	10.074	10.007			
77	7.278	7.901	8.197	8.551	8.777	8.930	9.037	9.212	9.347	9.952	10.136	10.220	10.209	10.150	10.081			
78	7.360	7.983	8.279	8.633	8.858	9.011	9.119	9.294	9.428	10.033	10.216	10.299	10.287	10.227	10.156			
79	7.442	8.064	8.361	8.714	8.940	9.093	9.201	9.375	9.510	10.113	10.296	10.378	10.365	10.304	10.231			
80	7.524	8.146	8.443	8.796	9.022	9.175	9.282	9.457	9.591	10.194	10.376	10.458	10.444	10.381	10.307	10.221		
81	7.606	8.229	8.525	8.878	9.104	9.257	9.364	9.539	9.673	10.275	10.456	10.537	10.522	10.458	10.383	10.302		
82	7.688	8.311	8.607	8.960	9.186	9.339	9.446	9.621	9.755	10.357	10.537	10.617	10.601	10.536	10.459	10.376		
83	7.771	8.393	8.689	9.043	9.268	9.421	9.528	9.703	9.837	10.438	10.618	10.697	10.680	10.613	10.535	10.450		
84	7.853	8.476	8.772	9.125	9.351	9.503	9.611	9.785	9.919	10.520	10.698	10.777	10.759	10.691	10.612	10.525		
85	7.936	8.559	8.854	9.208	9.433	9.586	9.693	9.867	10.001	10.601	10.779	10.857	10.839	10.770	10.689	10.600		
86	8.019	8.641	8.937	9.290	9.516	9.668	9.775	9.950	10.084	10.683	10.860	10.937	10.918	10.848	10.766	10.676		
87	8.102	8.724	9.020	9.373	9.599	9.751	9.858	10.032	10.166	10.765	10.941	11.017	10.998	10.927	10.844	10.752		
88	8.185	8.807	9.103	9.456	9.681	9.834	9.941	10.115	10.249	10.847	11.022	11.098	11.078	11.006	10.922	10.828		
89	8.268	8.890	9.186	9.539	9.764	9.917	10.024	10.198	10.331	10.929	11.104	11.179	11.158	11.085	10.999	10.904		
90	8.352	8.974	9.269	9.622	9.848	10.000	10.107	10.281	10.414	11.011	11.186	11.260	11.238	11.164	11.078	10.981	10.881	

91	7.561	8.435	9.057	9.353	9.706	9.931	10.083	10.190	10.364	10.497	11.094	11.267	11.341	11.318	11.244	11.156	11.058	10.962
92	7.644	8.519	9.141	9.436	9.789	10.014	10.166	10.273	10.447	10.580	11.176	11.349	11.422	11.399	11.323	11.235	11.135	11.037
93	7.728	8.603	9.225	9.520	9.873	10.098	10.250	10.356	10.530	10.664	11.259	11.431	11.503	11.479	11.403	11.313	11.213	11.113
94	7.813	8.687	9.308	9.604	9.956	10.181	10.333	10.440	10.614	10.747	11.342	11.514	11.585	11.560	11.483	11.393	11.291	11.189
95	7.897	8.771	9.392	9.688	10.040	10.265	10.417	10.524	10.697	10.831	11.425	11.596	11.666	11.641	11.564	11.472	11.369	11.266
96	7.981	8.855	9.477	9.772	10.124	10.349	10.501	10.607	10.781	10.914	11.508	11.678	11.748	11.722	11.644	11.551	11.447	11.342
97	8.066	8.939	9.561	9.856	10.208	10.433	10.585	10.691	10.865	10.998	11.591	11.761	11.830	11.803	11.725	11.631	11.526	11.420
98	8.151	9.024	9.645	9.940	10.293	10.517	10.669	10.775	10.949	11.082	11.674	11.844	11.912	11.885	11.805	11.711	11.605	11.497
99	8.235	9.108	9.730	10.025	10.377	10.602	10.753	10.860	11.033	11.166	11.758	11.927	11.994	11.966	11.886	11.791	11.684	11.575
100	8.320	9.193	9.815	10.109	10.462	10.686	10.838	10.944	11.118	11.250	11.841	12.010	12.077	12.048	11.967	11.871	11.763	11.653

11.547

VALUES OF THE NEXT TEST-GROUP SIZE  $x$  UNDER PROCEDURE  $R_1$  FOR  $q = 0.99$  AND  $m = 2(1)100$

	1	2	3	4	5	6	7	8	9	10
0+		1	1	2	2	2	3	4	4	4
10+	4	4	5	6	7	8	8	8	8	8
20+	8	8	8	8	9	10	11	12	13	14
30+	15	16	16	16	16	16	16	16	16	16
40+	16	16	16	16	16	16	16	16	17	18
50+	19	20	21	22	23	24	25	26	27	28
60+	29	30	31	32	32	32	32	32	32	32
70+	32	32	32	32	32	32	32	32	32	32
80+	32	32	32	32	32	32	32	32	32	32
90+	32	32	32	32	32	32	33	34	35	35

TABLE VI—EXPECTED NUMBER OF TESTS REQUIRED FOR PROCEDURE  $R_2$

The integer below  $q^u$  opposite  $H_2(n)$  is the coefficient of  $q^u$  in the polynomial formula for  $H_2(n)$ .

	q-interval		x	1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>	q <sup>5</sup>	q <sup>6</sup>	q <sup>7</sup>	q <sup>8</sup>	q <sup>9</sup>	q <sup>10</sup>	q <sup>11</sup>	q <sup>12</sup>
$H_2(2)$	0.000 to 0.618		1	2												
	0.618 to 1.000		2	3	-1	-1										
$H_2(3)$	0.000 to 0.618		1	3												
	0.618 to 0.755		2	5	-3	-1	1									
	0.755 to 1.000		3	5	-2	-1	-1									
$H_2(4)$	0.000 to 0.618		1	4												
	0.618 to 0.755		2	7	-5	0	1	-1								
	0.755 to 0.819		3	7	-3	-2	-2	2								
	0.819 to 1.000		4	8	-4	-2	-1									
$H_2(5)$	0.000 to 0.618		1	5												
	0.618 to 0.755		2	9	-7	1	0	-1	1							
	0.755 to 0.819		3	9	-4	-3	-2	3	-1							
	0.819 to 0.857		4	11	-7	-2	-1	-1	2							
	0.857 to 1.000		5	11	-7	-2	0	0	-1							
$H_2(6)$	0.000 to 0.618		1	6												
	0.618 to 0.755		2	11	-9	2	-1	0	1	-1						
	0.755 to 0.819		3	11	-5	-4	-2	4	-1	-1						
	0.819 to 0.857		4	14	-10	-2	-1	-1	3	-1						
	0.857 to 0.881		5	14	-10	-2	1	-1	-3	3						
	0.881 to 0.885		6	14	-10	-1	0	-1	-1							
	0.885 to 1.000		6	14	-9	-2	-1	0	-1							
$H_2(7)$	0.000 to 0.618		1	7												
	0.618 to 0.755		2	13	-11	3	-2	1	0	-1	1					
	0.755 to 0.819		3	13	-6	-5	-2	5	-2	-2	2					
	0.819 to 0.857		4	17	-13	-2	-1	-1	4	-1	-1					
	0.857 to 0.881		5	17	-13	-2	2	-2	-4	5	-1					
	0.881 to 0.885		6	17	-13	0	-1	-1	-1	-2	3					
	0.885 to 0.899		6	17	-11	-3	-2	2	-2	-2	3					
	0.899 to 1.000		7	17	-11	-3	-1	1	-2							
$H_2(8)$	0.000 to 0.618		1	8												
	0.618 to 0.755		2	15	-13	4	-3	2	-1	0	1	-1				
	0.755 to 0.819		3	15	-7	-6	-2	6	-3	-2	3	-1				
	0.819 to 0.857		4	20	-16	-2	-1	0	4	-2	-1					
	0.857 to 0.881		5	20	-16	-2	3	-3	-5	7	-1	-1				
	0.881 to 0.885		6	20	-16	1	-2	-1	-1	-3	5	-1				
	0.885 to 0.899		6	20	-13	-4	-3	4	-3	-3	5	-1				
	0.899 to 0.912		7	20	-13	-4	-1	1	-2	0	-2	3				
	0.912 to 0.932		8	20	-13	-4	-1	1	-2	1	0	-1				
0.932 to 1.000		8	20	-14	-4	-1	1	-2								
$H_2(9)$	0.000 to 0.618		1	9												
	0.618 to 0.755		2	17	-15	5	-4	3	-2	1	0	-1	1			
	0.755 to 0.819		3	17	-8	-7	-2	7	-4	-2	4	-1	-1			
	0.819 to 0.857		4	23	-19	-2	-1	1	3	-2	-1	-1	2			
	0.857 to 0.881		5	23	-19	-2	4	-4	-5	8	-2	-1				
	0.881 to 0.890		6	23	-19	2	-3	-1	-1	-4	7	-1	-1			
	0.890 to 0.899		6	23	-15	-5	-4	6	-4	-4	7	-1	-1			
	0.899 to 0.912		7	23	-15	-5	-1	1	-2	0	-3	5	-1			
	0.912 to 0.922		8	23	-15	-5	-1	1	-2	2	-1	-4	4			
	0.922 to 0.938		9	24	-16	-5	-1	1	-2	1	0	-1				
0.938 to 1.000		9	25	-18	-4	-1	1	-2	0	1	0	-1				

TABLE VI — *Continued*

	<i>q</i> -interval		<i>x</i>	1	<i>q</i>	<i>q</i> <sup>2</sup>	<i>q</i> <sup>2</sup>	<i>q</i> <sup>4</sup>	<i>q</i> <sup>5</sup>	<i>q</i> <sup>6</sup>	<i>q</i> <sup>7</sup>	<i>q</i> <sup>8</sup>	<i>q</i> <sup>9</sup>	<i>q</i> <sup>10</sup>	<i>q</i> <sup>11</sup>	<i>q</i> <sup>12</sup>
<i>H</i> <sub>2</sub> (10)	0.000	to 0.618	1	10												
	0.618	to 0.755	2	19	-17	6	-5	4	-3	2	-1	0	1	-1		
	0.755	to 0.819	3	19	-9	-8	-2	8	-5	-2	5	-2	-2	2		
	0.819	to 0.857	4	26	-22	-2	-1	2	2	-2	-1	-1	3	-1		
	0.857	to 0.881	5	26	-22	-2	5	-5	-5	8	-2	0	0	-1		
	0.881	to 0.890	6	26	-22	3	-4	-1	-1	-4	8	-2	-1			
	0.890	to 0.899 <sup>-</sup>	6	26	-17	-6	-5	8	-5	-4	8	-2	-1			
	0.899 <sup>-</sup>	to 0.912	7	26	-17	-6	-1	1	-2	0	-4	7	-1	-1		
	0.912	to 0.922	8	26	-17	-6	-1	1	-2	3	-2	-6	7	-1		
	0.922	to 0.930	9	28	-20	-5	-1	1	-2	1	1	-2	-3	4		
	0.930	to 0.938	10	28	-20	-5	-1	1	-1	1	-1	-1				
	0.938	to 0.960	10	29	-22	-4	-1	1	-1	0	0	0	-1			
0.960	to 1.000	10	29	-22	-4	0	1	-3	0	1	0	-1				
<i>H</i> <sub>2</sub> (11)	0.000	to 0.618	1	11												
	0.618	to 0.755	2	21	-19	7	-6	5	-4	3	-2	1	0	-1	1	
	0.755	to 0.819	3	21	-10	-9	-2	9	-6	-2	6	-3	-2	3	-1	
	0.819	to 0.857	4	29	-25	-2	-1	3	1	-2	-1	-1	4	-1	-1	
	0.857	to 0.881	5	29	-25	-2	6	-6	-5	8	-2	1	-1	-3	3	
	0.881	to 0.890	6	29	-25	4	-5	-1	-1	-4	8	-2	0	0	-1	
	0.890	to 0.899 <sup>-</sup>	6	29	-19	-7	-6	10	-6	-4	8	-2	0	0	-1	
	0.899 <sup>-</sup>	to 0.912	7	29	-19	-7	-1	1	-2	0	-4	8	-2	-1		
	0.912	to 0.922	8	29	-19	-7	-1	1	-2	4	-3	-8	10	-1	-1	
	0.922	to 0.930	9	32	-24	-5	-1	1	-2	1	2	-3	-5	7	-1	
	0.930	to 0.936	10	32	-24	-5	-1	1	0	0	-2	1	-1	3	4	
	0.936	to 0.938	11	32	-24	-5	0	1	-2	1	-1	-1				
0.938	to 0.960	11	33	-26	-4	0	1	-2	0	0	0	-1				
0.960	to 1.000	11	33	-26	-4	1	0	-4	2	1	-1	-1				
<i>H</i> <sub>2</sub> (12)	0.000	to 0.618	1	12												
	0.618	to 0.755	2	23	-21	8	-7	6	-5	4	-3	2	-1	0	1	-1
	0.755	to 0.819	3	23	-11	-10	-2	10	-7	-2	7	-4	-2	4	-1	-1
	0.819	to 0.857	4	32	-28	-2	-1	4	0	-2	-1	0	4	-2	-1	
	0.857	to 0.881	5	32	-28	-2	7	-7	-5	8	-2	2	-2	-4	5	-1
	0.881	to 0.890	6	32	-28	5	-6	-1	-1	-4	8	-1	0	-1	-1	
	0.890	to 0.899 <sup>-</sup>	6	32	-21	-8	-7	12	-7	-4	9	-2	-1	0	-1	
	0.899 <sup>-</sup>	to 0.912	7	32	-21	-8	-1	1	-2	0	-4	8	-2	0	0	-1
	0.912	to 0.922	8	32	-21	-8	-1	1	-2	5	-4	-9	12	-2	-1	
	0.922	to 0.930	9	36	-28	-5	-1	1	-2	1	3	-4	-7	10	-1	-1
	0.930	to 0.936	10	36	-28	-5	-1	1	1	-1	-3	3	-2	-5	7	-1
	0.936	to 0.938	11	36	-28	-5	1	0	-3	3	-2	-2	2	-1	-3	4
0.938	to 0.941	11	37	-30	-4	1	0	-3	2	-1	-1	1	-1	-3	4	
0.941	to 0.960	12	37	-30	-4	1	0	-3	2	-1	0	0	-1			
0.960	to 0.972	12	37	-30	-4	2	-1	-5	4	0	-1	0	-1			
0.972	to 1.000	12	37	-29	-4	0	0	-4	2	1	-1	-1				

The exponential symbols +, - indicate only the relative magnitude of two different roots that are equal to three decimal places (i.e.,  $a^- < a^+$ ).

TABLE VII — THE DIVIDING POINTS BETWEEN  $x$  AND  $x + 1$  FOR THE INFORMATION PROCEDURE  $R_2$

$G$ -Situation

$x$	$m$									
	4	6	7	8	9	11	12	13	14	16
1	0.7549	0.6518	0.6369	0.6289	0.6245	0.6204	0.6195	0.6189	0.6186	0.6182
2		0.8899	0.8376	0.8087	0.7913	0.7728	0.7677	0.7642	0.7617	0.7586
3				0.9378	0.9016	0.8631	0.8524	0.8446	0.8388	0.8313
4						0.9340	0.9160	0.9031	0.8935	0.8806
5							0.9723	0.9528	0.9384	0.9193
6									0.9796	0.9520
7										0.9844

$G$ -Situation

$x$	$m$									
	10	20	30	40	50	60	70	80	90	100
1	0.6219	0.6181	0.6180	0.6180	0.6180	0.6180	0.6180	0.6180	0.6180	0.6180
2	0.7802	0.7560	0.7549	0.7549	0.7549	0.7549	0.7549	0.7549	0.7549	0.7549
3	0.8786	0.8241	0.8198	0.8193	0.8192	0.8192	0.8192	0.8192	0.8192	0.8192
4	0.9601	0.8677	0.8587	0.8571	0.8568	0.8567	0.8567	0.8567	0.8567	0.8567
5		0.8999	0.8854	0.8823	0.8816	0.8814	0.8813	0.8813	0.8813	0.8813
6		0.9262	0.9056	0.9008	0.8993	0.8989	0.8987	0.8987	0.8987	0.8987
7		0.9490	0.9218	0.9150	0.9129	0.9121	0.9118	0.9117	0.9116	0.9116
8		0.9699	0.9355	0.9267	0.9236	0.9225	0.9220	0.9218	0.9217	0.9216
9		0.9900	0.9474	0.9364	0.9325	0.9309	0.9302	0.9299	0.9297	0.9296
10			0.9582	0.9449	0.9400	0.9380	0.9370	0.9365	0.9363	0.9362
11			0.9682	0.9524	0.9466	0.9440	0.9428	0.9422	0.9418	0.9417
12			0.9776	0.9593	0.9524	0.9493	0.9478	0.9470	0.9466	0.9463
13			0.9867	0.9655	0.9576	0.9540	0.9522	0.9512	0.9507	0.9504
14			0.9956	0.9714	0.9624	0.9582	0.9561	0.9549	0.9543	0.9539
15	46	0.9991	0.9770	0.9668	0.9620	0.9596	0.9583	0.9575	0.9570	0.9570
16	45	0.9982	0.9823	0.9709	0.9656	0.9628	0.9613	0.9604	0.9604	0.9598
17	44	0.9973	0.9874	0.9747	0.9689	0.9658	0.9640	0.9630	0.9623	0.9623
18	43	0.9964	0.9925	0.9784	0.9719	0.9685	0.9665	0.9654	0.9654	0.9646
19	42	0.9955	0.9975	0.9975	0.9820	0.9748	0.9710	0.9689	0.9676	0.9667
20	41	0.9946	0.9989	0.9854	0.9776	0.9734	0.9711	0.9696	0.9687	0.9687
21	40	0.9937	0.9978	0.9887	0.9802	0.9757	0.9731	0.9715	0.9705	0.9705
22	39	0.9928	0.9967	0.9920	0.9827	0.9779	0.9750	0.9733	0.9721	0.9721
23	38	0.9919	0.9956	0.9952	0.9852	0.9799	0.9769	0.9750	0.9737	0.9737
24	37	0.9909	0.9944	0.9984	0.9876	0.9819	0.9786	0.9765	0.9752	0.9752
25	36	0.9899	0.9933	0.9986	0.9899	0.9838	0.9803	0.9780	0.9766	0.9766
26	35	0.9889	0.9921	0.9972	0.9922	0.9856	0.9819	0.9795	0.9779	0.9779
27	34	0.9879	0.9910	0.9957	0.9944	0.9874	0.9834	0.9809	0.9792	0.9792
28	33	0.9869	0.9898	0.9943	0.9967	0.9892	0.9849	0.9822	0.9804	0.9804
29	32	0.9858	0.9886	0.9928	0.9989	0.9909	0.9863	0.9835	0.9816	0.9816
30	31	0.9847	0.9873	0.9914	0.9981	0.9926	0.9877	0.9847	0.9827	0.9827
31	30	0.9836	0.9860	0.9899	0.9962	0.9943	0.9891	0.9859	0.9838	0.9838
32	29	0.9824	0.9847	0.9883	0.9943	0.9959	0.9904	0.9871	0.9848	0.9848
33	28	0.9812	0.9834	0.9868	0.9924	0.9976	0.9918	0.9882	0.9858	0.9858
34	27	0.9800	0.9820	0.9852	0.9904	0.9992	0.9931	0.9893	0.9868	0.9868
35	26	0.9786	0.9805	0.9835	0.9884	0.9974	0.9943	0.9904	0.9878	0.9878
36	25	0.9773	0.9790	0.9818	0.9864	0.9947	0.9956	0.9914	0.9887	0.9887
37	24	0.9758	0.9775	0.9800	0.9843	0.9920	0.9969	0.9925	0.9896	0.9896
38	23	0.9743	0.9758	0.9782	0.9822	0.9893	0.9981	0.9935	0.9905	0.9905
39	22	0.9727	0.9741	0.9763	0.9800	0.9866	0.9994	0.9945	0.9914	0.9914
40	21	0.9709	0.9722	0.9742	0.9776	0.9837	0.9961	0.9955	0.9922	0.9922
41	20	0.9691	0.9702	0.9721	0.9752	0.9808	0.9921	0.9965	0.9931	0.9931
42	19	0.9671	0.9681	0.9698	0.9727	0.9778	0.9881	0.9975	0.9939	0.9939
43	18	0.9649	0.9659	0.9674	0.9700	0.9746	0.9840	0.9985	0.9948	0.9948
44	17	0.9626	0.9634	0.9648	0.9671	0.9713	0.9798	0.9995	0.9956	0.9956
45	16	0.9600	0.9608	0.9619	0.9640	0.9678	0.9754	0.9935	0.9904	0.9904
46	15	0.9572	0.9578	0.9588	0.9606	0.9640	0.9708	0.9869	0.9972	0.9972
47	14	0.9541	0.9546	0.9554	0.9570	0.9599	0.9659	0.9802	0.9980	0.9980
48	13	0.9505	0.9509	0.9516	0.9529	0.9555	0.9607	0.9733	0.9988	0.9988
49	12	0.9464	0.9467	0.9473	0.9484	0.9506	0.9551	0.9660	0.9996	0.9996
50	11	0.9417	0.9420	0.9424	0.9433	0.9451	0.9489	0.9582	0.9872	0.9872
51	10	0.9362	0.9364	0.9367	0.9374	0.9388	0.9419	0.9498	0.9742	0.9742
52	9	0.9297	0.9298	0.9300	0.9305	0.9315	0.9340	0.9404	0.9608	0.9608
53	8	0.9216	0.9217	0.9218	0.9222	0.9229	0.9248	0.9298	0.9463	0.9463
54	7	0.9116	0.9116	0.9117	0.9119	0.9124	0.9137	0.9174	0.9303	0.9303
55	6	0.8987	0.8987	0.8987	0.8988	0.8991	0.8999	0.9024	0.9120	0.9120
56	5	0.8813	0.8813	0.8813	0.8813	0.8814	0.8818	0.8834	0.8898	0.8898
57	4	0.8567	0.8567	0.8567	0.8567	0.8567	0.8569	0.8576	0.8612	0.8612
58	3	0.8192	0.8192	0.8192	0.8192	0.8192	0.8192	0.8194	0.8209	0.8209
59	2	0.7549	0.7549	0.7549	0.7549	0.7549	0.7549	0.7549	0.7552	0.7552
60	1	0.6180	0.6180	0.6180	0.6180	0.6180	0.6180	0.6180	0.6184	0.6184
		95	85	75	65	55	45	35	25	15
										5

TABLE VII — *Continued*  
H-Situation

$x$	$q$	$x$	$q$
1	0.6180	51	0.9866
2	0.7549	52	0.9869
3	0.8192	53	0.9871
4	0.8567	54	0.9874
5	0.8813	55	0.9876
6	0.8987	56	0.9878
7	0.9116	57	0.9880
8	0.9216	58	0.9882
9	0.9296	59	0.9884
10	0.9361	60	0.9886
11	0.9415 <sup>-</sup>	61	0.9888
12	0.9460	62	0.9890
13	0.9499	63	0.9891
14	0.9533	64	0.9893
15	0.9563	65	0.9895 <sup>-</sup>
16	0.9588	66	0.9896
17	0.9612	67	0.9898
18	0.9632	68	0.9899
19	0.9651	69	0.9901
20	0.9667	70	0.9902
21	0.9683	71	0.9904
22	0.9697	72	0.9905 <sup>-</sup>
23	0.9709	73	0.9906
24	0.9721	74	0.9907
25	0.9732	75	0.9909
26	0.9742	76	0.9910
27	0.9751	77	0.9911
28	0.9760	78	0.9912
29	0.9768	79	0.9913
30	0.9775 <sup>+</sup>	80	0.9914
31	0.9782	81	0.9915 <sup>+</sup>
32	0.9789	82	0.9916
33	0.9795 <sup>+</sup>	83	0.9917
34	0.9801	84	0.9918
35	0.9807	85	0.9919
36	0.9812	86	0.9920
37	0.9817	87	0.9921
38	0.9822	88	0.9922
39	0.9826	89	0.9923
40	0.9830	90	0.9924
41	0.9834	91	0.9925 <sup>-</sup>
42	0.9838	92	0.9925 <sup>+</sup>
43	0.9842	93	0.9926
44	0.9845 <sup>+</sup>	94	0.9927
45	0.9849	95	0.9928
46	0.9852	96	0.9928
47	0.9855 <sup>+</sup>	97	0.9929
48	0.9858	98	0.9930
49	0.9861	99	0.9931
50	0.9864	100	0.9931

The exponents <sup>+</sup> and <sup>-</sup> are to be used for rounding in the usual manner.

TABLE VIII — POLYNOMIAL EXPRESSIONS FOR  $F_2^*(m)$   
FOR PROCEDURE  $R_2$

$m$	Coefficient of											$q$ -interval	Next Test Group Size			
	1	$q$	$q^2$	$q^3$	$q^4$	$q^5$	$q^6$	$q^7$	$q^8$	$q^9$	$q^{10}$			$q^{11}$		
2	1	1											0.000	$q$	1.000	1
3	1	2											0.000	$q$	1.000	1
4	2	2	2										0.755	$q$	1.000	2
5	2	2	2	3									0.682	$q$	1.000	2
6	2	2	3	3	3								0.652	$q$	0.890	2
	2	3	3	2	3	3							0.890	$q$	1.000	3
7	2	3	3	3	3	3							0.838	$q$	1.000	3
	2	3	3	3	3	3	3						0.809	$q$	0.938	3
8	2	3	3	3	3	3	4						0.938	$q$	1.000	4
	3	3	3	3	3	3	3	3					0.902	$q$	1.000	4
9	3	3	3	3	3	3	3	4	4				0.879	$q$	0.960	4
	3	3	3	3	3	3	3	4	4	4			0.960	$q$	1.000	4
10	3	3	3	4	4	3	3	3	4	4			0.934	$q$	1.000	5
	3	3	3	4	4	3	3	3	4	4	4		0.916	$q$	0.972	5
11	3	3	3	4	4	3	4	4	4	4	4		0.934	$q$	1.000	5
	3	3	3	4	4	3	4	4	4	4	4	4	0.916	$q$	0.972	5
12	3	3	3	4	4	3	4	4	4	4	4	4	0.972	$q$	1.000	6
	3	4	4	3	4	4	3	4	4	3	4	4	0.972	$q$	1.000	6